

U.S. Department of Commerce Performance Progress Report				2. Award or Grant Number:	21-10-S13021
1. Recipient Name	Commonwealth of Kentucky			4. EIN:	610600439
3. Street Address	702 Capitol Avenue Room 195			6. Report Date (MM/DD/YYYY)	10/28/2016
5. City, State, Zip Code	Frankfort, KY 40601			7. Reporting Period End Date: (MM/DD/YYYY)	9/30/2016
				8. Final Report Yes <input type="checkbox"/> No <input type="checkbox"/>	9. Report Frequency Quarterly <input checked="" type="checkbox"/>
10a. Project/Grant Period					
Start Date: (MM/DD/YYYY)	7/1/2013	10b. End Date: (MM/DD/YYYY)	12/31/2017		
11. List the individual projects in your approved Project Plan					
	Project Type (Capacity Building, SCIP Update,	Project Deliverable Quantity (Number & Indicator Description)	Description of Milestone Category		
1	Stakeholders Engaged	35	<i>Actual number of individuals reached via stakeholder meetings during the quarter</i>		
2	Individuals Sent to Broadband Conferences	5	<i>Actual number of individuals who were sent to third-party broadband conferences using SLIGP grant funds during the quarter</i>		
3	Staff Hired (Full-Time Equivalent)(FTE)	0	<i>Actual number of state personnel FTEs who began supporting SLIGP activities during the quarter (may be a decimal)</i>		
4	Contracts Executed	0	<i>Actual number of contracts executed during the quarter</i>		
5	Governance Meetings	3	<i>Actual number of governance, subcommittee, or working group meetings held during the quarter</i>		
6	Education and Outreach Materials Distributed	553	<i>Actual volume of materials distributed (inclusive of paper and electronic materials) plus hits to any website or social media account supported by SLIGP during the quarter</i>		
7	Subrecipient Agreements Executed	0	<i>Actual number of agreements executed during the quarter</i>		
8	Phase 2 - Coverage	Stage 6	<i>For each Phase 2 milestone category, please provide the status of the activity during the quarter:</i> <ul style="list-style-type: none"> • Stage 1 - Process Development • Stage 2 - Data Collection in Progress • Stage 3 - Collection Complete; Analyzing/Aggregating Data • Stage 4 - Data Submitted to FirstNet • Stage 5 - Continued/Iterative Data Collection • Stage 6 - Submitted Iterative Data to FirstNet 		
9	Phase 2 - Users and Their Operational Areas	Stage 6			
10	Phase 2 - Capacity Planning	Stage 6			
11	Phase 2 - Current Providers/Procurement	Stage 6			
12	Phase 2 - State Plan Decision	Stage 4			
11a. Describe your progress meeting each major activity/milestone approved in the Baseline Report for this project; any challenges or obstacles encountered and mitigation strategies you have employed; planned major activities for the next quarter; and any additional project milestones or information.					
<p>(1.) KY had one attend the APCO 2016 convention in Orlando, FL. August 14-17th (2.) Two from KY joined the SPOC webinar on July 16th. Main topics of discussions were about the November RFP award time frame and the state plan progress (3.) Two Public Safety working Group meetings were held in Q13. (4.) The KY SPOC will speak to the Legislative Committee on Advanced Communications on October 12th about FirstNet. (5.) North Carolina hosted a regional QPP CTT training meeting in August for FirstNet. KY SPOC and 3 other subject matter experts attended the one day conference on August 10th. Some of the key items discussed were as follows; Basic LTE Cellular operations, The QPP framework, QPP Definitions, Dynamic states of primary users, states of secondary users, priority levels for state, federal and local users, etc. (6.) The Kentucky's Governance Body (KWIEC) meeting was held August 31st -A FirstNet update was given to the members/Stakeholders. The next meeting will be in November. This meeting will focus on the required governance body meeting with FirstNet (Date TBT). (7.) Five (5) from the Ky FirstNet team took part in the Ky Emergency Services Conference in Louisville, Sept 6-8. The team set up a booth and distributed current information about FirstNet and worked with the different PSAP's that were there to gather data. (8.) Kentucky's final iterative data submittal was downloaded to FirstNet by the September 30th deadline. (9.) Kentucky plans to attend the November SPOC meeting in November, in Pheonix. (10.) A scheduled and required FirstNet Environmental (PEIS)meeting has been set for Tuesday, October 25th, in Frankfort, KY. FirstNet requested that we send notices to stakeholders that may be interested in this meeting.</p>					
11b. If the project team anticipates requesting any changes to the approved Baseline Report in the next quarter, describe those below. Note that any substantive changes to the Baseline Report must be approved by the Department of Commerce before implementation.					
Matching Fringe looks to be under-funded. May need a modification done.					

11c. Provide any other information that would be useful to NTIA as it assesses this project's progress.

KY continues to update our website with local and national FirstNet information. The KyFirstNet team is planning to participate in several upcoming state conferences to continue outreach to specific groups.

11d. Describe any success stories or best practices you have identified. Please be as specific as possible.

Ky will use state first responder conferences to continue outreach, i.e., Emergency Services Conference, Ky Sheriff's association, local fire and rescue venues, etc.

12. Personnel**12a. If the project is not fully staffed, describe how any lack of staffing may impact the project's time line and when the project will be fully staffed.**

Two new Contractor's have been requested to be hired starting in Q14 or 15. These Contractors will be used for rural and grass root outreach.

12b. Staffing Table - Please include all staff that have contributed time to the project. Please do not remove individuals from this table.


Job Title	FTE%	Project (s) Assigned	Change
SWIC	75%	Provide Oversight of SLIGP Project	
Staff Member	100%	Provide Administrative Support	
Staff Member	100%	Provide Project Support	

13. Subcontracts (Vendors and/or Subrecipients)**13a. Subcontracts Table - Include all subcontractors. The totals from this table must equal the "Subcontracts Total" in Question 14f.**

Name	Subcontract Purpose	Type (Vendor/Subrec.)	RFP/RFQ Issued (Y/N)	Contract Executed (Y/N)	Start Date	End Date	Total Federal Funds Allocated	Total Matching Funds Allocated
Micheal Baker & Associates	Website Development	Vendor	N	Y	2/20/2014	6/30/2017	\$225,000.00	
Micheal Baker & Associates	Data Collection	Vendor	N	Y	2/20/2014	6/30/2017	\$500,000.00	
Micheal Baker & Associates	Outreach & Education	Vendor	N	Y	2/20/2014	6/30/2017	\$543,750.00	

13b. Describe any challenges encountered with vendors and/or subrecipients.

The final iterative data submittal was completed and downloaded to FirstNet September 30th. Baker and Associates gathered and compiled data from survey's and local PSAP's that continued to trickle in from original data collection & submittal efforts.

14. Budget Worksheet						
Columns 2, 3 and 4 must match your current project budget for the entire award, which is the SF-424A on file. Only list matching funds that the Department of Commerce has already approved.						
Project Budget Element (1)	Federal Funds Awarded (2)	Approved Matching Funds (3)	Total Budget (4)	Federal Funds Expended (5)	Approved Matching Funds Expended (6)	Total funds Expended (7)
a. Personnel Salaries	\$227,240.00	\$318,840.00	\$546,080.00	\$164,691.78	\$283,232.13	\$447,923.91
b. Personnel Fringe Benefits	\$6,000.00	\$144,000.00	\$150,000.00	\$5,789.12	\$145,465.88	\$151,255.00
c. Travel	\$165,000.00		\$165,000.00	\$40,974.21	\$0.00	\$40,974.21
d. Equipment	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
e. Materials/Supplies	\$7,048.00	\$297.00	\$7,345.00	\$596.18	\$239.42	\$835.60
f. Subcontracts Total	\$1,268,750.00		\$1,268,750.00	\$779,657.53	\$0.00	\$779,657.53
g. Other	\$178,510.00		\$178,510.00	\$8,152.70	\$0.00	\$8,152.70
h. Indirect	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
i. Total Costs	\$1,852,548.00	\$463,137.00	\$2,315,685.00	\$999,861.52	\$428,937.43	\$1,428,798.95
j. % of Total	80%	20%	100%	70%	30%	100%
15. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purpose(s) set forth in the award documents.						
16a. Typed or printed name and title of Authorized Certifying Official:				16c. Telephone (area code, number, and extension)		
Derek Nesselrode				(502) 782-2064		
16b. Signature of Authorized Certifying Official:				16d. Email Address:		
				derek.nesselrode@ky.gov		
				Date:		