

U.S. Department of Commerce Performance Progress Report				2. Award or Grant Number:	21-10-S13021	
				4. EIN:		
1. Recipient Name	Commonwealth of Kentucky			6. Report Date (MM/DD/YYYY)	10/30/2015	
3. Street Address	702 Capital Avenue Room 195			7. Reporting Period End Date: (MM/DD/YYYY)	9/30/2015	
5. City, State, Zip Code	Frankfort, KY 40601			8. Final Report Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	9. Report Frequency Quarterly <input checked="" type="checkbox"/>	
<b>10a. Project/Grant Period</b>						
Start Date: (MM/DD/YYYY)		7/1/2013	10b. End Date: (MM/DD/YYYY)	12/31/2017		
<b>11. List the individual projects in your approved Project Plan</b>						
	Project Type (Capacity Building, SCIP Update,	Project Deliverable Quantity (Number & Indicator Description)	Total Federal Funding Amount	Total Federal Funding Amount expended at the end of this reporting period	Percent of Total Federal Amount expended	
1	Stakeholder Meetings	648				
2	Broadband Conferences	2				
3	Staff Hires	0				
4	Contract Executions	0				
5	Governance Meetings	4				
6	Education and Outreach	10116				
7	Subrecipient Agreement Executed	0				
8	Phase 2 - Coverage	Stage 2,3,4				
9	Phase 2 – Users and Their Operational Areas	Stage 2,3,4				
10	Phase 2 – Capacity Planning	Stage 2,3,4				
11	Phase 2 – Current Providers/Procurement	Stage 2,3,4				
12	Phase 2 – State Plan Decision	1				
<b>11a. Describe your progress meeting each major activity/milestone approved in the Baseline Report for this project; any challenges or obstacles encountered and mitigation strategies you have employed; planned major activities for the next quarter; and any additional project milestones or information.</b>						
<p><b>Milestone activities for Q9:</b>            (1.) Phase 2 SLIGP grant money was approved and released to KY July, 13 2015. (2.) We have continued outreach and education. KY developed and implemented a plan for outreach and data collection using emails, face to face meetings, state first responder conferences, Ky's FirstNet website and phone calls. The KY FirstNet team built out ten specific stakeholder workgroups across the state centering on urban cities and reaching out to surrounding counties. The workgroup face to face meetings were held from Aug 1st to Sept 15th that included several different state and local first responders and local 911 PSAP's. (3.) There were three public safety working group meetings and one Executive Governance meeting held in Q9. (4.) All four of the data elements were collected using a data survey tool developed by our FirstNet contractors. Data gathered was sent to FirstNet by the Sept 30th deadline. (5.) The KY SPOC/SWIC attended and spoke to the KY Emergency Service annual conference in Louisville--Sept 6. (6.) KY's governance body (KWEIC) met on August 12th. A short FirstNet presentation was given by the team and focused on data collection. (7.) Two from KY attended the APCO 2015 conference in DC, August 16-18.</p> <p><b>Planned Major events for Q10:</b>            1. Three from KY will attend the Fall 2015 SPOC meeting in Westminster, Colorado.            2. KY will continue to work on the Governor's state plan decision and other data collection required by FirstNet. Kentucky will be electing a new governor in November.            3. KY SPOC will attend the NASEMSO in Louisville.            4. Kentucky will be voting in a new Governor on Nov 3.</p>						
<b>11b. If the project team anticipates requesting any changes to the approved Baseline Report in the next quarter, describe those below. Note that any substantive changes to the Baseline Report must be approved by the Department of Commerce before implementation.</b>						
No changes requested.						

**11c. Provide any other information that would be useful to NTIA as it assesses this project's progress.**

KY FirstNet website up and working to provide training and updated information out the the stakeholders/users. Website link <https://www.kyfirstnet.com/> Baker & Associates have developed and continue to maintain the KY FirstNet website as well as developed the outreach training material with assistance and approval from the KY team.

**11d. Describe any success stories or best practices you have identified. Please be as specific as possible.**

The Kentucky State Police and Baker teams are continuing outreach to all public safety & first responders across the state. Breaking the state up into 10 specific user workgroups using the counties with larger metro's worked quite well. These work groups included local fire, ems, police, 911 coordinaters, and em personnel. These were smaller groups and usually one or two were willing to make contact with other 1st responder's in adjacent counties or give us contact names of individual's that would be willing to work with us on getting data. Kentucky is contiuing to recieve data and put out FirstNet information through the workgroups in Q10.

**12. Personnel**

**12a. If the project is not fully staffed, describe how any lack of staffing may impact the project's time line and when the project will be fully staffed.**

**12b. Staffing Table**

Job Title	FTE%	Project (s) Assigned	Change
SWIC	75%	Provide Oversight of SLIGP project	No Change
Staff Member	100%	Provide Administrative Support	No Change
Staff Member	100%	Provide Project Support	No Change

**13. Subcontracts (Vendors and/or Subrecipients)**

**13a. Subcontracts Table – Include all subcontractors. The totals from this table must equal the "Subcontracts Total" in Question 14f.**

Name	Subcontract Purpose	Type (Vendor/Subrec.)	RFP/RFQ Issued (Y/N)	Contract Executed (Y/N)	Start Date	End Date	Total Federal Funds Allocated	Total Matching Funds Allocated
Michael Baker & Associates	Website Development	Vendor	N	Y	2/20/2014	6/30/2016	\$225,000.00	
Michael Baker & Associates	Data Collection	Vendor	N	Y	2/20/2014	6/30/2016	\$500,000.00	
Michael Baker & Associates	Outreach & Education	Vendor	N	Y	2/20/2014	6/30/2016	\$543,750.00	

**13b. Describe any challenges encountered with vendors and/or subrecipients.**

No Challenges or issues.

**14. Budget Worksheet**

Columns 2, 3 and 4 must match your current project budget for the entire award, which is the SF-424A on file.  
 Only list matching funds that the Department of Commerce has already approved.

Project Budget Element (1)	Federal Funds Awarded (2)	Approved Matching Funds (3)	Total Budget (4)	Federal Funds Expended (5)	Approved Matching Funds Expended (6)	Total funds Expended (7)
a. Personnel Salaries	\$227,240.00	\$318,840.00	\$546,080.00	\$93,781.78	\$200,027.16	\$293,808.94
b. Personnel Fringe Benefits	\$6,000.00	\$144,000.00	\$150,000.00	\$5,789.12	\$90,586.99	\$96,376.11
c. Travel	\$165,000.00		\$165,000.00	\$19,253.94	\$0.00	\$19,253.94
d. Equipment	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
e. Materials/Supplies	\$7,048.00	\$297.00	\$7,345.00	\$124.37	\$239.42	\$363.79
f. Subcontracts Total	\$1,268,750.00		\$1,268,750.00	\$610,129.61	\$0.00	\$610,129.61
g. Other	\$178,510.00		\$178,510.00	\$5,615.70	\$0.00	\$5,615.70
h. Indirect	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
i. Total Costs	\$1,852,548.00	\$463,137.00	\$2,315,685.00	\$734,694.52	\$290,853.57	\$1,025,548.09
j. % of Total	80%	20%	100%	72%	28%	100%

**15. Certification:** I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purpose(s) set forth in the award documents.

**16a. Typed or printed name and title of Authorized Certifying Official:**

Derek Nesselrode

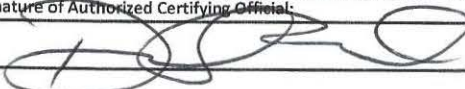
**16c. Telephone (area code, number, and extension)**

(502) 782-2064

**16d. Email Address:**

[derek.nesselrode@ky.gov](mailto:derek.nesselrode@ky.gov)

**16b. Signature of Authorized Certifying Official:**



**Date:**

30 Oct 15