

AWARD TRANSFER AGREEMENT

This agreement, entered into by the Maine Emergency Management Agency (MEMA), and the ConnectME Authority,
Original Grantee/TRANSFEROR **Replacement Grantee/TRANSFEEE**

is for the purpose of transferring from the TRANSFEROR to the TRANSFEEE the following award:

AWARD NUMBER: 23-10-S13023
TITLE OF PROJECT: State of Maine SLIGP

Total of Federal funds obligated by the TRANSFEROR to date: \$0

Estimate of unobligated Federal funds to be transferred to TRANSFEEE: \$1,045,904

The parties agree as follows:

1. The award will be administered by the transferee under the same award conditions and fully consistent with the requirements of the award as described in the application approved by NIST. The same key personnel will conduct the work of the transferred award or substitute personnel who have been approved by NIST as meeting the qualifications of the original key personnel.
2. The TRANSFEROR waives all claims, demands, and rights against NIST that it now has or may hereafter have in connection with this award.
3. The TRANSFEEE and TRANSFEROR agree to comply with the terms and conditions of the award including cooperating with closeout and audit procedures.
4. The TRANSFEEE agrees to draw down payments based on the budget approved for the remainder of the project.
5. NIST recognizes the TRANSFEEE as the TRANSFEROR'S successor to the award.
6. Except as expressly provided in the agreement, nothing in it shall be construed as a waiver of any rights of NIST against the TRANSFEROR.
7. Transfer of any PROPERTY related to this award from the TRANSFEROR to the TRANSFEEE shall be undertaken in accordance with the Department of Commerce Uniform Administrative Requirements, 15 Code of Federal Regulations (CFR) Part 14, Sections 14.30-37, or 15 CFR Part 24, Sections 24.31-34, whichever is applicable. (Part 14 applies to institutions of higher education, hospitals and non-profit organizations; Part 24 applies to State and local governments, including Federally-recognized Indian tribal governments). The list of property transferred, if any, is attached to this agreement. A description of when and how the property will be transferred is also attached. Property includes equipment, pertinent files, publications, dissemination materials, and other items needed by the TRANSFEEE to complete the project as described in the original application.
8. TRANSFEEE and TRANSFEROR have demonstrated in their transfer documentation that the award will still meet the original conditions of the authorizing program legislation.

The TRANSFEEE certifies that it is eligible to hold the award under applicable statutes and regulations. This agreement becomes effective upon the NIST Grants Officer's approval via amending the subject award.

TRANSFEROR:

Maine Emergency Management Agency
(Name of Original Grantee)
Bruce Fitzgerald, Director
(Name and Title of Authorized Representative)
[Signature]
(Signature of Authorized Representative)
April 16, 2014
(Date)

TRANSFEEE:

CONNECTME AUTHORITY
(Name of Replacement Grantee)
PHILLIP WADLEY, EXEC. DIRECTOR
(Name and Title of Authorized Representative)
[Signature]
(Signature of Authorized Representative)
4/17/14
(Date)

EIN: 016000001
DUNS: 137202052

EIN: 016000001
DUNS: 831453258

**AMENDMENT TO
FINANCIAL ASSISTANCE AWARD**

ACCOUNTING CODE

See Attached

AWARD NUMBER

23-10-S13023

RECIPIENT NAME

State of Maine - Maine Emergency Management Agency

AMENDMENT NUMBER

1

STREET ADDRESS

72 State House Station

EFFECTIVE DATE

DEC 19 2013

CITY, STATE ZIP

Augusta, ME 04333

EXTEND WORK COMPLETION TO

August 31, 2016

CFDA NO. AND PROJECT TITLE:

11.549 State of Maine SLIGP

COSTS ARE REVISED AS FOLLOWS:	PREVIOUS ESTIMATED COST	ADD	DEDUCT	TOTAL ESTIMATED COST
FEDERAL SHARE OF COST	\$1,045,904.00	\$0.00	\$0.00	\$1,045,904.00
RECIPIENT SHARE OF COST	\$262,363.00	\$0.00	\$0.00	\$262,363.00
TOTAL ESTIMATED COST	\$1,308,267.00	\$0.00	\$0.00	\$1,308,267.00

REASON(S) FOR AMENDMENT

This grant is hereby amended to: (1) Acknowledge the receipt and approval of the Baseline/Expenditure Plan to comply with SAC #7; (2) Change the Grants Officer from Jannet Cancino to Calvin Mitchell.

ALL PREVIOUS TERMS AND CONDITIONS REMAIN IN EFFECT.

This Amendment approved by the Grants Officer is issued in triplicate and constitutes an obligation of Federal funding. By signing the three documents, the Recipient agrees to comply with the Amendment provisions checked below and attached, as well as previous provisions incorporated into the Award. Upon acceptance by the Recipient, two signed Amendment documents shall be returned to the Grants Officer and the third document shall be retained by the Recipient. If not signed and returned without modification by the Recipient within 30 days of receipt, the Grants Officer may unilaterally terminate this Amendment.

Special Award Conditions

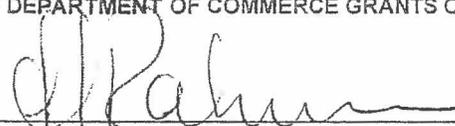
Line Item Budget

Other:

SIGNATURE OF DEPARTMENT OF COMMERCE GRANTS OFFICER

DATE

Calvin Mitchell

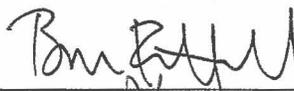


12/18/2013

TYPED NAME, TYPED TITLE, AND SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL

DATE

Bruce Fitzgerald, Director



4/17/14

Phillip Hindley, Exec. Director



4/17/14

Award Number: 23-10-S13023, Amendment Number 1
 Federal Program Officer: Michael Dame
 Requisition Number: S13023
 Employer Identification Number: 01-6000001
 Dun & Bradstreet No: 137202052
 Recipient ID: 2350329
 Requestor ID: 2350329

Award ACCS Information

Bureau Code	FCFY	Project-Task	Org Code	Obj Class	Obligation Amount
61	2013	8150000-000	11-00-0000-00-00-00-00	41-19-00-00	\$0.00

Award Contact Information

Contact Name	Contact Type	Email	Phone
Mr. Robert McAleer	Administrative	Robert.McAleer@maine.gov	207-624-4401
Mr. Bruce Fitzgerald	Technical	Bruce.Fitzgerald@maine.gov	207-624-4471

NIST Grants Officer:

Calvin Mitchell
 100 Bureau Drive, MS 1650
 Gaithersburg, MD 20899-1650
 (301) 975-4585

NIST Grants Specialist:

Ebony Simmons
 100 Bureau Drive, MS 1650
 Gaithersburg, MD 20899-1650
 (301) 975-4166

Grant Award Number: 23-10-S13023-01

Recipient Organization: Maine Emergency Management Agency

**National Institute of Standards and Technology
State and Local Implementation Grant Program
Special Award Conditions**

4. The Grants Officer's name, address, and telephone number are:

Calvin Mitchell
National Institute of Standards and Technology
Grants and Agreements Management Division
Bldg. 411, Room A-141
100 Bureau Drive, Mail Stop 1650
Gaithersburg, Maryland 20899-1650
Phone: (301) 975-4585
E-mail: calvin.mitchell@nist.gov

AMENDMENT TO FINANCIAL ASSISTANCE AWARD

ACCOUNTING CODE

See Attached

AWARD NUMBER

23-10-S13023

RECIPIENT NAME

State of Maine - Maine Emergency Management Agency

AMENDMENT NUMBER

2

STREET ADDRESS

72 State House Station

EFFECTIVE DATE

APR 17 2014

CITY, STATE ZIP

Augusta, ME 04333

EXTEND WORK COMPLETION TO

August 31, 2016

CFDA NO. AND PROJECT TITLE:

11.549 State of Maine SLIGP

COSTS ARE REVISED AS FOLLOWS:	PREVIOUS ESTIMATED COST	ADD	DEDUCT	TOTAL ESTIMATED COST
FEDERAL SHARE OF COST	\$1,045,904.00	\$0.00	\$1,045,904.00	\$0.00
RECIPIENT SHARE OF COST	\$262,363.00	\$0.00	\$260,808.00	\$1,555.00
TOTAL ESTIMATED COST	\$1,308,267.00	\$0.00	\$1,306,712.00	\$1,555.00

REASON(S) FOR AMENDMENT

This Award is hereby amended to de-obligate \$1,045,904.00 of Federal funds from ASAP and the Core Financial System for the transfer of award No. 23-10-S13023 from Maine Emergency Management Agency (MEMA) to ConnectME Authority in accordance with the recipient's January 1, 2014 request which was approved by the Federal Program Officer. Federal funds in the amount of \$1,045,904.00 and \$260,808.00 of non-federal funds will be re-obligated to the ConnectME Authority under Award Number 23-10-S13023N.

ALL PREVIOUS TERMS AND CONDITIONS REMAIN IN EFFECT.

This Amendment approved by the Grants Officer is issued in triplicate and constitutes an obligation of Federal funding. By signing the three documents, the Recipient agrees to comply with the Amendment provisions checked below and attached, as well as previous provisions incorporated into the Award. Upon acceptance by the Recipient, two signed Amendment documents shall be returned to the Grants Officer and the third document shall be retained by the Recipient. If not signed and returned without modification by the Recipient within 30 days of receipt, the Grants Officer may unilaterally terminate this Amendment.

Special Award Conditions

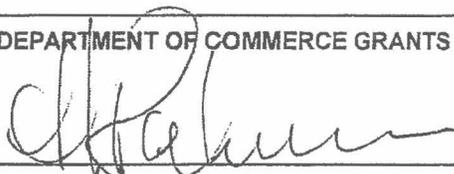
Line Item Budget

Other:

SIGNATURE OF DEPARTMENT OF COMMERCE GRANTS OFFICER

DATE

Calvin Mitchell

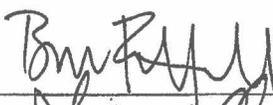


4/16/2014

TYPED NAME, TYPED TITLE, AND SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL

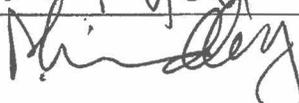
DATE

Bruce Fitzgerald, Director



4/17/14

Phillip Lindley, Exec. Director



4/17/14

Award Number: 23-10-S13023, Amendment Number 2

Federal Program Officer: Yuki Miyamoto-Mendez

Requisition Number: 13023

Employer Identification Number: 01-6000001

Dun & Bradstreet No: 137202052

Recipient ID: 2350329

Requestor ID: 2350329

Transfer: Yes

Award ACCS Information

Bureau Code	FGFY	Project-Task	Org Code	Obj Class	Obligation Amount
61	2013	8150000-000	11-00-0000-00-00-00-00	41-19-00-00	\$-1,045,904.00

Award Contact Information

Contact Name	Contact Type	Email	Phone
Mr. Robert McAleer	Administrative	Robert.McAleer@maine.gov	207-624-4401
Mr. Bruce Fitzgerald	Technical	Bruce.Fitzgerald@maine.gov	207-624-4471

NIST Grants Officer:

Calvin Mitchell
100 Bureau Drive, MS 1650
Gaithersburg, MD 20899-1650
(301) 975-4585

NIST Grants Specialist:

Ebony Simmons
100 Bureau Drive, MS 1650
Gaithersburg, MD 20899-1650
(301) 975-4166

Grant Award Number: 23-10-S13023-02

Recipient Organization: Maine Emergency Management Agency

**National Institute of Standards and Technology
State and Local Implementation Grant Program
Special Award Conditions**

21. Transfer Acknowledgement:

NIST acknowledges the letter dated 01/01/2014, requesting to transfer award number 23-10-S13023 from the Maine Emergency Management Agency (MEMA) to the Connect ME Authority. ConnectME Authority has assumed all responsibilities listed in the transfer agreement of the Maine Emergency Management Authority (MEMA). The amount to be available for the ConnectME Authority under this award is \$1,045,904 for the period January 1, 2014 – August 31, 2016. The execution of a transfer agreement does not preclude the use of any other method available to the Grants Officer to resolve any other issues related to a transfer of recipient assets, including the treatment of costs.

ALL PREVIOUS TERMS AND CONDITIONS REMAIN IN EFFECT.