

**Application for Federal Assistance SF-424**

\* 1. Type of Submission:

- Preapplication
- Application
- Changed/Corrected Application

\* 2. Type of Application:

- New
- Continuation
- Revision

\* If Revision, select appropriate letter(s):

E

\* Other (Specify)

State of Maine grantee transfer

\* 3. Date Received:

4. Applicant Identifier:

5a. Federal Entity Identifier:

\* 5b. Federal Award Identifier:

23-10-S13023

State Use Only:

6. Date Received by State:

7. State Application Identifier:

**8. APPLICANT INFORMATION:**

\* a. Legal Name: State of Maine - ConnectME Authority

\* b. Employer/Taxpayer Identification Number (EIN/TIN):

016000001

\* c. Organizational DUNS:

831453258

d. Address:

\* Street1:

78 State House Station

Street2:

\* City:

Augusta

County:

\* State:

ME

Province:

\* Country:

USA

\* Zip / Postal Code:

04333-0078

e. Organizational Unit:

Department Name:

ConnectME Authority

Division Name:

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

\* First Name:

Lisa

Middle Name:

\* Last Name:

Leahy

Suffix:

Title:

Associate Executive Director

Organizational Affiliation:

ConnectME Authority

\* Telephone Number:

(207) 592-0668

Fax Number:

(207) 624-7826

\* Email:

lisa.leahy@maine.gov

**Application for Federal Assistance SF-424**

**9. Type of Applicant 1: Select Applicant Type:**

A. State

**Type of Applicant 2: Select Applicant Type:**

**Type of Applicant 3: Select Applicant Type:**

\* Other (specify):

**\* 10. Name of Federal Agency:**

National Telecommunications and Information Administration (NTIA), US Department of Commerce

**11. Catalog of Federal Domestic Assistance Number:**

11549

CFDA Title:

State and Local Implementation Grant Program

**\* 12. Funding Opportunity Number:**

2013-NTIA-SLIGP-01

\* Title:

State and Local Implementation Grant Program (SLIGP)

**13. Competition Identification Number:**

Title:

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

**\* 15. Descriptive Title of Applicant's Project:**

State of Maine SLIGP Application

Attach supporting documents as specified in agency instructions.

**Application for Federal Assistance SF-424**

**16. Congressional Districts Of:**

\* a. Applicant

\* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

**17. Proposed Project:**

\* a. Start Date:

\* b. End Date:

**18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="1,045,904.00"/>
* b. Applicant	<input type="text" value="260,808.00"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="1,306,712.00"/>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation in attachment.)**

- Yes
- No
- If "Yes", provide explanation and attach.

21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

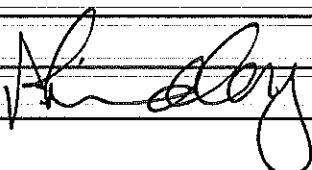
**Authorized Representative:**

Prefix:  \* First Name:   
Middle Name:   
\* Last Name:   
Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative:  \* Date Signed:

## BUDGET INFORMATION - Non-Construction Programs

OMB Approval No. 4040-0006  
Expiration Date 07/30/2010

### SECTION A - BUDGET SUMMARY

Grant Program Function or Activity  (a)	Catalog of Federal Domestic Assistance Number  (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. State and Local Implementation Grant Program (Maine)	11.549	\$	\$	\$ 1045904	\$ 260,808.00	\$ 1,306,712.00
2.		0.00	0.00	0.00	0.00	0.00
3.		0.00	0.00	0.00	0.00	0.00
4.		0.00	0.00	0.00	0.00	0.00
<b>5. Totals</b>		\$ 0.00	\$ 0.00	\$ 1,045,904.00	\$ 260,808.00	\$ 1,306,712.00

**SECTION B - BUDGET CATEGORIES**

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1) State and Local Implementation Grant Program (Maine) (federal funds)	(2) State and Local Implementation Grant Program (Maine) (non- Federal funds)	(3)	(4)	
a. Personnel	\$ 116,850.00	\$ 31,290.00	\$ 0.00	\$ 0.00	\$ 148,140.00
b. Fringe Benefits	65,894.00	13,455.00	0.00	0.00	79,349.00
c. Travel	57,800.00	31,680.00	0.00	0.00	89,480.00
d. Equipment	0.00	0.00	0.00	0.00	0.00
e. Supplies	7,800.00	0.00	0.00	0.00	7,800.00
f. Contractual	775,075.00	0.00	0.00	0.00	775,075.00
g. Construction	0.00	0.00	0.00	0.00	0.00
h. Other	2,337.00	184,383.00	0.00	0.00	186,720.00
i. Total Direct Charges (sum of 6a-6h)	1,025,756	260,808.00	0.00	0.00	\$ 1,286,564.00
j. Indirect Charges	20,148.00	0.00			\$ 20,148.00
k. TOTALS (sum of 6i and 6j)	\$ 1,045,904	\$ 260,808.00	\$ 0.00	\$ 0.00	\$ 1,306,712.00
7. Program Income	\$ 1,045,904	\$ 260,808.00	\$ 0.00	\$ 0.00	\$ 1,306,712.00

Authorized for Local Reproduction

SECTION C - NON-FEDERAL RESOURCES				
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8. State and Local Implementation Grant Program (Maine)	\$ 48,206.00	\$	\$ 212,602.00	\$ 260,808.00
9.	0.00	0.00	0.00	0.00
10.	0.00	0.00	0.00	0.00
11.	0.00	0.00	0.00	0.00
12. TOTAL (sum of lines 8-11)	\$ 48,206.00	\$ 0.00	\$ 212,602.00	\$ 260,808.00

SECTION D - FORECASTED CASH NEEDS					
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$	\$	\$	\$	\$
14. Non-Federal	\$				
15. TOTAL (sum of lines 13 and 14)	\$	\$	\$	\$	\$

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT					
(a) Grant Program	FUTURE FUNDING PERIODS (YEARS)				
	(b) First	(c) Second	(d) Third	(e) Fourth	
16.	\$	\$	\$	\$ 0.00	
17.	0.00	0.00	0.00	0.00	
18.	0.00	0.00	0.00	0.00	
19.	0.00	0.00	0.00	0.00	
20. TOTAL (sum of lines 16 - 19)	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	

SECTION F - OTHER BUDGET INFORMATION	
21. Direct Charges: \$1,288,119	22. Indirect Charges: \$20,148

23. Remarks: SF-424A revised by ConnectME Authority (L. Leahy) on 04/14/2014

Category	Detailed Description of Budget (for full			Breakdown of Costs		Comments
	Quantity	Unit Cost	Total Cost	Federal	Non-Federal	
<b>a. Personnel</b>						
ConnectME: 50% of the time on SLIGP grant activities for 3 years. Assoc. Exec. Dir. Salary is \$38,950.	3 years	\$ 38,950	\$ 116,850	\$ 116,850		
OIT Associate CIO: 10% of the time on SLIGP grant activities for 3 years. The Assoc CIO's annual salary is \$104,300	3 years	\$ 10,430	\$ 31,290		\$ 31,290	
total personnel			\$ 148,140	\$ 116,850	\$ 31,290	
<b>b. Fringe Benefits</b>	<b>Quantity</b>	<b>Unit Cost</b>	<b>Total Cost</b>	<b>Federal</b>	<b>Non-Federal</b>	
ConnectME: calculated at 56% of salary for the portion of time spent on SLIGP	\$ 116,850	56%	\$ 65,894	\$ 65,894		
OIT Associate CIO: calculated at 43% of salary	\$ 31,290	43%	\$ 13,455		\$ 13,455	
total fringe benefits			\$ 79,349	\$ 65,894	\$ 13,455	
<b>c. Travel</b>	<b>Quantity</b>	<b>Unit Cost</b>	<b>Total Cost</b>	<b>Federal</b>	<b>Non-Federal</b>	
Mileage for Working Group meetings: travel for 10 non-State members at avg round trip of 75 miles, times 4 meetings per year, times three years. State mileage rate is \$0.44/mi	9,000 mi	\$ 0.44	\$ 3,960	\$ 3,960		
Mileage for Public Outreach workshops and meetings: travel for 5 state staff to regional meetings, average round trip of 150 miles, 16 county meetings, one per year for three years	36,000 mi	\$ 0.44	\$ 15,840	\$ 15,840		
Mileage for non-State attendance at Public Outreach workshops and meetings: est 30 attendees at each of 16 county meetings, one per year for three years, average round trip of 50 miles	72,000 mi	\$ 0.44	\$ 31,680		\$ 31,680	
Travel for Regional and National meetings with FirstNet: travel for 5 working group members to attend total of 8 meetings in 3 yrs, airfare est \$500, hotel est \$150/nt for 2 nts, per diem est 50/day for 3 days = total avg trip of \$950	40 trips	\$ 950	\$ 38,000	\$ 38,000		
total travel			\$ 89,480	\$ 57,800	\$ 31,680	
<b>d. Equipment</b>	<b>Quantity</b>	<b>Unit Cost</b>	<b>Total Cost</b>	<b>Federal</b>	<b>Non-Federal</b>	
N/A	\$ -	\$ -				
total equipment			\$ -	\$ -	\$ -	
<b>e. Supplies</b>	<b>Quantity</b>	<b>Unit Cost</b>	<b>Total Cost</b>	<b>Federal</b>	<b>Non-Federal</b>	
Office Supplies: budgeted at \$50/mo for three years	36 months	\$ 50	\$ 1,800	\$ 1,800		
Printing of meeting and public outreach materials: average \$100 cost per print run, times 12 Working Group meetings and 48 county meetings over three years	60 printings	\$ 100	\$ 6,000	\$ 6,000		
total supplies			\$ 7,800	\$ 7,800	\$ -	





In-kind value of county/local responder attendance at Working Group meetings: 12 meetings over three years, at four hours each (mtg + travel), times 10 non-state members. ConnectME uses an avg rate of \$17.28/hr when calculating in-kind match	480 hours	\$ 17.28	\$ 8,294
In-kind value of county/local responder attendance at Public Outreach workshops and meetings: 48 meetings over three years, at four hours each (mtg+ travel), times 30 attendees. ConnectME uses an avg rate of \$17.28/hr when calculating in-kind match	5,670 hours	\$ 17.28	\$ 97,978
In-kind value of county/local responder attendance at FirstNet training sessions: 48 trainings over three years, at three hours each (trn + travel), times 30 non-state attendees. ConnectME uses an avg rate of \$17.28/hr when calculating in-kind match	4,320 hours	\$ 17.28	\$ 74,650
total other			\$ 186,720
<b>Total Direct Charges</b>			\$ 1,286,564
<b>i. Indirect Charges</b>	<b>Quantity</b>	<b>Unit Cost</b>	<b>Total Cost</b>
STACAP charges on all State of Maine expenses: 1.965% percent fee charged on the total of federal funds expended by ConnectME that are not passed-through to County/Local governments.	\$ 1,025,352	1.965%	\$ 20,148
total indirect			\$ 20,148
<b>TOTALS</b>			\$ 1,306,712

	\$ 8,294
	\$ 97,978
	\$ 74,650
\$ 2,337	\$ 184,383
\$ 1,025,756	\$ 260,808
\$ 20,148	
\$ 20,148	\$ -
\$ 1,045,904	\$ 260,808

See Maine State Statute at: <a href="http://www.mainelegislature.org/legis/statutes/5/title5sec1877-A.html">http://www.mainelegislature.org/legis/statutes/5/title5sec1877-A.html</a>

**State and Local Implementation Grant Program (SLIGP)**

**STATE OF MAINE  
ConnectME Authority**

**DETAILED BUDGET JUSTIFICATION NARRATIVE**

The State of Maine, ConnectME Authority, submits the following proposed budget for SLIGP grant funds awarded to the State. The Maine Emergency Management Agency (MEMA) was the original grantee. The ConnectME Authority has applied to manage the grant. Budget justification narratives and costs per category will remain the same. The only difference is that ConnectME will be resourcing the grant differently. MEMA will remain the primary resource for interactions with public safety personnel, meetings and plans. A spreadsheet of each cost category and breakdown of federal vs. non-federal spending is attached.

**Category A: Personnel**

- **Federal:** \$116,850
- **Non-Federal:** \$31,290
- **Total:** \$148,140

Maine will fund 50% of the cost of a staff position within the ConnectME Authority for the three year SLIGP grant performance period. The position will provide grant oversight and administration working closely with the SWIC in coordinating the Maine Interoperable Communications Committee (MICC), leading the development and updating of public safety wireless broadband strategies and their inclusion in the State Communications Interoperability Plan (SCIP), and will coordinate and organize public outreach and education sessions to bring County, Local, other partners and interoperable communications projects into the consultation process.

Part of the in-kind match for SLIGP funds will be derived from non-federal funds expended within the Maine Office of Information Technology (OIT) on similarly focused staff. OIT maintains and operates the State Radio Network as well as all State broadband networks. OIT staff will be involved in the development of the public safety wireless broadband efforts under SLIGP.

**Category B: Fringe**

- **Federal:** \$65,894
- **Non-Federal:** \$13,455
- **Total:** \$79,349

Costs projected in this category reflect the three-year fringe benefits associated with the staff position at the ConnectME Authority. Fringe is calculated as 56% of ConnectME Authority staff salary. Fringe includes health insurance, retirement contributions, and other benefits offered to all State of Maine employees.

In-kind matching funds will be derived from the non-federal funds which cover fringe benefits of aforementioned OIT staff working on the State Radio Network and State broadband networks.

**Category C: Travel**

- **Federal:** \$57,800
- **Non-Federal:** \$31,680
- **Total:** \$89,480

Projected costs in this category will cover the travel costs of ConnectME staff and other State personnel working to develop the public safety wireless broadband components of the SCIP plan, and/or participating in the data collection required by FirstNet under the SLIGP program. Travel may be in-state or out of state to conferences or regional meetings in New England, nationwide, or in the neighboring Canadian Provinces of Quebec and New Brunswick. Maine officials participate in many meetings throughout the year with the Canadian Interoperability Technology Interest Group (CITIG) and have already presented at conferences on the upcoming FirstNet project. Maine officials may continue to present or solicit information from Canadian partners as the FirstNet project moves forward. All Canadian travel will be pre-cleared by NTIA following the Department of Commerce's grant terms and conditions.

Additional travel expenses may be reimbursed to members of the MICC committee, if it is determined that the MICC's increasing workload requires greater in-person participation by its members on a regular basis.

Specifically, Maine projects to hold one meeting of the MICC per quarter over the three year SLIGP grant period. Many MICC members are state employees and/or located in the Augusta area. For non-state, outside-Augusta members, Maine is budgeting for an estimated 10 persons to attend MICC meetings, at an average round trip of 75 miles.

Maine also projects to host FirstNet meetings in each of the state's 16 counties once per year over the three year grant period. State staff will need to travel to these meetings at an average round trip of 150 miles.

It is anticipated that regional and national coordination meetings will be attended. Maine is budgeting for 5 individuals to attend a total of 8 meetings over the three year grant period.

In-kind matching funds for this category will be paid for in part by non-federal funds used toward the mileage for non-state partners to attend the County meetings. It is

estimated that an average of 30 non-state attendees will participate in the County meetings each year, at an average round trip of 50 miles per attendee.

\*\* Note: for all mileage costs, the State of Maine's current reimbursement rate is \$0.44/mile.

**Category D: Equipment**

None.

**Category E: Supplies**

- **Federal:** \$7,800
- **Non-Federal:** \$0
- **Total:** \$7,800

Supplies such as paper and printer ink, and other office supplies would be funded under this category. ConnectME estimates a supply cost of \$50 per month over the three year grant period.

ConnectME will also need to produce materials for MICC meetings, public outreach and training sessions in each of the 16 counties over the three year grant period. Maine estimates an average cost of \$100 per meeting for printed materials, binding (if necessary), folders, etc.

**Category F: Contractual**

- **Federal:** \$775,075
- **Non-Federal:** \$0
- **Total:** \$775,075

Funding budgeted in this category will likely be awarded to private sector consultants with detailed knowledge and experience in the public safety communications and/or wireless broadband industry. ConnectME and Maine OIT already work closely with several vendors, both in-state and outside of Maine, for various projects ongoing in the state. As the planning requirements and data collection needs are developed by the ConnectME Authority, MICC, MEMA and OIT, it will be necessary to employ contract assistance to ensure that comprehensive and accurate data is collected from across the state. Additional contractual services will likely be needed to conduct public education and outreach sessions, training on the new PSBN, and other activities designed to promote awareness of FirstNet and prepare the State of Maine to consult with NTIA.

Maine estimates needing at least one, and possibly two updates of the State SCIP plan to accommodate the development and rollout of FirstNet. Other consultant activities will include the development of data collection strategies and methodologies, identification of

potential FirstNet users, outreach to these user groups, and the planning/hosting of FirstNet public outreach and training sessions across the State during the three year SLIGP grant period. During Phase II of SLIGP, consultants will be used to collect and analyze data and prepare Maine's datasets to deliver to FirstNet.

The SWIC will perform the primary duties of coordinating the Maine Interoperable Communications Committee (MICC), leading the development and updating of public safety wireless broadband strategies and their inclusion in the State Communications Interoperability Plan (SCIP), and will coordinate and organize public outreach and education sessions to bring County, Local, Tribal, and other partners into the consultation process.

All of these consultant activities will be conducted under contract with the State of Maine using the State's established RFP and purchasing processes. As such, it is impossible to estimate the exact amount that will be spent on consultant services in this category. We are providing estimates and these will be considered "not to exceed" without requesting a budget modification from NTIA if it should become necessary. The estimates are based on historical experience from similar planning efforts such as the development of the original SCIP plan in 2007. The contracts will be competitively bid under RFP for a firm fixed price, rather than on a time & materials basis.

#### **Category G: Construction**

None.

#### **Category H: Other**

- **Federal:** \$2,337
- **Non-Federal:** \$184,383
- **Total:** \$186,720

Funding budgeted in this category will be expended on technology expenses for ConnectME staff pro-rated for the amount of time they will spend on SLIGP. Expenses include technology charges such as network access, email, and blackberry. Technology costs amount to approximately .8% of salary.

Overhead expenses for ConnectME staff are calculated by OIT as 11% of salary, and are included in the non-federal portion of the budget. These costs include payroll/personnel services, training/conference costs, employee bonding/insurance, and IT tools used by the employee. OIT's statement regarding these overhead costs can be found online at: <http://www.maine.gov/oit/services/OITServiceCatalog-ExplanationofFullyBurdenedRates.html>

Maine will derive other non-federal contributions to the SLIGP project budget from non-state attendees at Working Group meetings, public outreach and training sessions. As noted above, Maine intends to hold four Working Group meetings and one public

potential FirstNet users, outreach to these user groups, and the planning/hosting of FirstNet public outreach and training sessions across the State during the three year SLIGP grant period. During Phase II of SLIGP, consultants will be used to collect and analyze data and prepare Maine's datasets to deliver to FirstNet.

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Maine will derive other non-federal contributions to the SLIGP project budget from non-state attendees at Working Group meetings, public outreach and training sessions. As noted above, Maine intends to hold four Working Group meetings and one public

outreach meeting in each of the 16 counties during each year of the SLIGP performance period. We also intend to conduct FirstNet training as more details of the system, technology, and user requirements become available.

In-kind matching funds for the other category will be derived from non-federal sources of funding within OIT (including the ConnectME Authority, which has previously conducted broadband surveys and programs under the BTOP grant program). Additional matching funds will be counted from in-kind sources, derived from the participation of County, Local and Tribal partners at outreach meetings and training sessions.

For the purposes of calculating in-kind match, ConnectME uses an average rate of \$17.28 per hour to determine the total in-kind contribution from meetings and workshops. A sign-in sheet will be used at all meetings in order to certify participation and determine the number of hours eligible to count toward in-kind match. We will also capture the round-trip miles travelled by participants and a certification of their non-federal pay status.

**Category I: Indirect Charge**

- **Federal:** \$20,148
- **Non-Federal:** \$0
- **Total:** \$20,148

The State of Maine charges all agencies a percentage fee on funds administered by the agency and not passed through to other non-state agencies. ConnectME's STACAP percentage is 1.965%, assessed on the total federal funds utilized by the agency for SLIGP.

State statute authorizing the addition of STACAP as an indirect charge on federal grants can be found at: <http://www.mainelegislature.org/legis/statutes/5/title5sec1877-A.html>

### CERTIFICATION REGARDING LOBBYING

Applicants should also review the instructions for certification included in the regulations before completing this form. Signature on this form provides for compliance with certification requirements under 15 CFR Part 28, "New Restrictions on Lobbying." The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Commerce determines to award the covered transaction, grant, or cooperative agreement.

#### LOBBYING

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 15 CFR Part 28, for persons entering into a grant, cooperative agreement or contract over \$100,000 or a loan or loan guarantee over \$150,000 as defined at 15 CFR Part 28, Sections 28.105 and 28.110, the applicant certifies that to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure occurring on or before October 23, 1996, and of not less than \$11,000 and not more than \$110,000 for each such failure occurring after October 23, 1996.

#### Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

In any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure occurring on or before October 23, 1996, and of not less than \$11,000 and not more than \$110,000 for each such failure occurring after October 23, 1996.

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above applicable certification.

NAME OF APPLICANT

CONNECT ME AUTHORITY

23-10-5130,23 <sup>state local</sup> Implementation Grant  
AWARD NUMBER AND/OR PROJECT NAME *program*

PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE

PHILLIP LINDLEY

4/10/14

SIGNATURE

*Phillip Lindley*

DATE



## ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

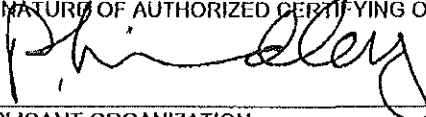
**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to proscribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (Identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE EXECUTIVE DIRECTOR
APPLICANT ORGANIZATION CONNECTICUT AUTHORITY STATE OF ME	DATE SUBMITTED March 17, 2014



STATE OF MAINE  
OFFICE OF THE GOVERNOR  
1 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0001

Paul R. LePage  
GOVERNOR

March 3, 2014

State and Local Implementation Grant Program  
Office of Public Safety Communications (OPSC)  
National Telecommunications and Information Administration  
US Department of Commerce  
1401 Constitution Avenue, NW  
Room 7324  
Washington, DC 20230

Attn: Mr. Michael E. Dame, Program Director

Dear Mr. Dame,

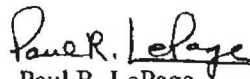
This letter serves to designate the ConnectME Authority as the single governmental body to serve as the coordinator of implementation of the State and Local Implementation Grant Program (SLIGP) in the State of Maine.

ConnectME has been working closely with the Maine Emergency Management Agency (MEMA) to develop and carry out Maine's broadband strategy by identifying unserved areas of the state; developing proposals for broadband expansion projects, demonstration projects and other initiatives; administering the process for selecting specific broadband projects; identifying and security federal funding sources for broadband or wireless deployment or education; identifying opportunities among providers, state and local governmental entities, including coordination with the statewide emergency radio network.

The Executive Director of ConnectME, **Mr. Phil Lindley**, has my full confidence in his Authority's ability to manage the funding and carry out the activities of the SLIGP. ConnectME is best suited to provide grant management and oversight of the day to day administration, contractual obligations, vendor contact, invoicing, payment, data gathering, reporting, and federal interaction and oversight required under SLIGP. The Authority will continue to work closely with MEMA for the public safety outreach aspects of the SLIGP program. MEMA has managed other interoperable communications grants that have assisted Maine communities, and maintains strong relationships with first responders at the County, Local and Tribal levels.

Thank you very much for your attention to this matter.

Sincerely,

  
Paul R. LePage  
Governor



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