

## AMENDMENT TO FINANCIAL ASSISTANCE AWARD

**AWARD NUMBER**  
23-10-S18023

**CFDA NO. AND NAME**

11.549 - State and Local Implementation Grant Program

**PROJECT TITLE**

State of Maine State and Local Implementation Grant Program (SLIG) 2.0

**RECIPIENT NAME**

State of Maine, DAFS

**AMENDMENT NUMBER**

1

**STREET ADDRESS**

145 State House Station

**EFFECTIVE DATE**

11/27/2018

**CITY, STATE ZIP**

Augusta, ME 04333-0145

**EXTEND PERIOD OF PERFORMANCE TO  
(IF APPLICABLE)**

COSTS ARE REVISED AS FOLLOWS:	PREVIOUS ESTIMATED COST	ADD	DEDUCT	TOTAL ESTIMATED COST
<b>FEDERAL SHARE OF COST</b>	\$249,960.00	\$424,482.00	\$0.00	\$674,442.00
<b>RECIPIENT SHARE OF COST</b>	\$75,209.00	\$125,349.00	\$0.00	\$200,558.00
<b>TOTAL ESTIMATED COST</b>	\$325,169.00	\$549,831.00	\$0.00	\$875,000.00

**REASON(S) FOR AMENDMENT**

This award is hereby amended to: [1] authorize the second increment funding in the amount of \$424,482.00 (see Special Award Condition #7 Multi-Year Award and Funding Limitations); [2] incorporate revised DoC Financial Assistance Standard Terms and Conditions, effective October 09, 2018 (see SAC # 5, 10, 12, 16, and 19); and [3] indicate on the attached, those terms and conditions affected by these actions. With this amendment, this project is fully funded for the total project period.

ALL PREVIOUS TERMS AND CONDITIONS REMAIN IN EFFECT.

**This Amendment Document (Form CD-451) signed by the Grants Officer constitutes an Amendment of the above-referenced Award, which may include an obligation of Federal funding. By signing this Form CD-451, the Recipient agrees to comply with the Amendment provisions checked below and attached, as well as previous provisions incorporated into the Award. If not signed and returned without modification by the Recipient within 30 days of receipt, the Grants Officer may unilaterally withdraw this Amendment offer and de-obligate any associated funds.**

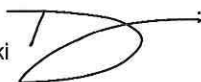
SPECIAL AWARD CONDITIONS

LINE ITEM BUDGET

OTHER(S)

**SIGNATURE OF DEPARTMENT OF COMMERCE GRANTS OFFICER**

Dean Iwasaki



**DEAN**

**IWASAKI**

Digitally signed  
by DEAN IWASAKI  
Date: 2018.11.27  
14:15:17 -05'00'

**DATE**

11/27/2018

**TYPED NAME, TYPED TITLE, AND SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL**

DAVID W. MAXWELL, PROGRAM DIRECTOR



**DATE**

11/30/18

Award Number: 23-10-S18023, Amendment Number 1

Federal Program Officer: Michael Dame

Requisition Number: S19023

Employer Identification Number: 016000001

Dun & Bradstreet No: 8090452140000

Recipient ID: 2308714

Requestor ID: 2308714

**Award ACCS Information**

Bureau Code	FCFY	Project-Task	Org Code	Obj Class	Obligation Amount
61	2019	8150000-000	11-00-0000-00-00-00-00	41-19-00-00	\$424,482.00

**Award Contact Information**

Contact Name	Contact Type	Email	Phone
Mr. David W Maxwell	Administrative	david.w.maxwell@maine.gov	207-624-9793

**NIST Grants Officer:**

Dean Iwasaki  
100 Bureau Drive, MS 1650  
Gaithersburg, MD 20899-1650  
(301) 975-8449

**NIST Grants Specialist:**

Samantha Wigglesworth  
100 Bureau Drive, MS 1650  
Gaithersburg, MD 20899-1650  
(301) 975-4166

NIST Financial Assistance Award Number: 23-10-S18023  
Amendment: 01  
Recipient: State of Maine, DAFS

**STATE AND LOCAL IMPLEMENTATION GRANT PROGRAM 2.0  
FINANCIAL ASSISTANCE  
SPECIAL AWARD CONDITIONS**

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**5. REVISED - Award Payments:**

This award is hereby funded through advanced payments using the Department of Treasury's Automated Standard Application for Payments (ASAP) system. Payments will be issued in accordance with 2 CFR § 200.305 and the Department of Commerce Financial Assistance Standard Terms and Conditions, B.02, dated October 09, 2018.

Payments for allowable costs may be drawn down as needed by the Recipient enrolled in ASAP. Funds may be requested through ASAP by the authorized *Payment Requestor* who is the individual designated by the Recipient to access Federal funds.

This award has the following control or withdrawal limits set in ASAP:

- None
- Agency Review required for all withdrawals (see explanation below)
- Agency Review required for all withdrawal requests over \$ \_\_\_\_\_ (see explanation below)
- Maximum Draw Amount controls (see explanation below)
  - \$ \_\_\_\_\_ each month
  - \$ \_\_\_\_\_ each quarter
  - \$ \_\_\_\_\_ Max drawdown amount control

**7. REVISED - Multi-Year Award and Funding Limitations:**

The scope of work and budget incorporated into this award cover the period from 03/01/2018 to 02/29/2020 (referred to as the "project period"), for a total of \$674,442.00 in Federal funds. This amendment provides \$424,482.00 in Federal funding for the continued funding of this multi-year award for a total of \$674,442.00. This award is being fully funded via this award action.

The maximum amount of NIST funding in support of this award will not exceed the amount specified in the award documents, unless otherwise amended in writing by the NIST Grants Officer. The Department of Commerce is not liable for any obligations, expenditures, or commitments which involve any amount in excess of the Federal funds being made available pursuant to this award.

**10. REVISED - Department of Commerce Financial Assistance Standard Terms and Conditions:**

NIST Financial Assistance Award Number: 23-10-S18023

Amendment: 01

Recipient: State of Maine, DAFS

As indicated on the Form CD-450 for this award, the Department of Commerce Financial Assistance Standard Terms and Conditions (ST&C) issued October 09, 2018 are incorporated by reference into this award. The Department's ST&C, as well as a link to 2 CFR Part 200, are accessible at:

[http://www.osec.doc.gov/oam/grants\\_management/policy/default.htm](http://www.osec.doc.gov/oam/grants_management/policy/default.htm)

**12. REVISED - Indirect or Facilities and Administrative (F&A) Costs:**

NIST will reimburse the Recipient for indirect or F&A costs in accordance with 2 CFR § 200.414 and Section B.06. of the Department of Commerce Financial Assistance Standard Terms and Conditions, dated October 09, 2018.

If an indirect cost rate has not been established, and NIST is identified as the cognizant agency for indirect costs in accordance with 2 CFR § 200.19, "Cognizant agency for indirect costs," within 90 days of the award start date, the Recipient must submit to the address listed below documentation (indirect cost rate proposal, cost allocation plan, etc.) necessary to allow NIST to perform the indirect cost rate proposal review.

The requirements for determining the relevant cognizant agency and for developing and submitting indirect (F&A) cost rate proposals and cost allocation plans are contained in 2 CFR § 200.414 and in Appendices III-VII to 2 CFR Part 200.

National Institute of Standards and Technology  
Office of Acquisition and Agreements Management  
100 Bureau Drive, Stop 1400  
Gaithersburg, MD 20899  
Attn: Indirect Cost Rate Proposal

**16. REVISED - Matching Funds:**

Recipient shall provide, from non-federal sources, not less than 20 percent of the total project cost. Matching funds can be in the form of either cash or in-kind contributions consistent with 2 CFR § 200.306. The Recipient may be asked to provide supporting documentation upon request from the Grants Officer or NTIA.

As provided in 48 U.S.C. § 1469a, NTIA waives the requirement for local matching funds under \$200,000 (including in-kind contributions) for the territorial governments in Guam, American Samoa, Northern Mariana Islands, and the U.S. Virgin Islands.

*Match Proportionality Requirement:*

Pursuant to Section B.03.b of the DOC Standard Terms and Conditions (October 2018), the non-federal share, whether in cash or in-kind, is expected to be paid out at the same general rate as the federal share. Exceptions to this requirement may be granted by the Grants Officer based on sufficient documentation demonstrating previously determined

NIST Financial Assistance Award Number: 23-10-S18023

Amendment: 01

Recipient: State of Maine, DAFS

plans for, or later commitment of, cash or in-kind contributions. In any case, the recipient must meet its cost share commitment over the life of the award.

**19. REVISED - Publication Disclaimers:**

Pursuant to Section G.05.j of the DOC Standard Terms and Conditions (October 2018), the recipient is required to submit a copy(ies) to the funding agency and when releasing information related to a funded project include a statement that the project or effort undertaken was or is sponsored by DOC. The recipient is also responsible for assuring that every publication of material (including Internet sites) based on or developed under an award, except scientific articles or papers appearing in scientific, technical or professional journals, contains the following disclaimer:

*This [report/video/etc.] was prepared by [recipient name] using funds under award [number] from the National Telecommunications and Information Administration (NTIA), U.S. Department of Commerce (DOC). The statements, findings, conclusions, and recommendations are those of the author(s) and do not necessarily reflect the views of the NTIA, DOC, or FirstNet.*

This also applies to videos produced under DOC financial assistance awards.

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**End of Special Award Conditions**