

FORM CD-451 (REV. 11/18)	U.S. DEPARTMENT OF COMMERCE	X GRANT	COOPERATIVE AGREEMENT
<b>AMENDMENT TO FINANCIAL ASSISTANCE AWARD</b>		<b>AWARD NUMBER</b>	
		23-10-S18023	

<b>CFDA NO. AND NAME</b>
11.549 - State and Local Implementation Grant Program

<b>PROJECT TITLE</b>
State of Maine State and Local Implementation Grant Program (SLIG) 2.0

<b>RECIPIENT NAME</b>	<b>AMENDMENT NUMBER</b>
State of Maine, DAFS	3


<b>STREET ADDRESS</b>	<b>EFFECTIVE DATE</b>
145 State House Station	11/24/2020

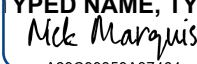
<b>CITY, STATE ZIP</b>	<b>EXTEND PERIOD OF PERFORMANCE TO (IF APPLICABLE)</b>
Augusta, ME 04333-0145	

<b>COSTS ARE REVISED AS FOLLOWS:</b>	<b>PREVIOUS ESTIMATED COST</b>	<b>ADD</b>	<b>DEDUCT</b>	<b>TOTAL ESTIMATED COST</b>
<b>FEDERAL SHARE OF COST</b>	\$674,442.00	\$0.00	\$0.00	\$674,442.00
<b>RECIPIENT SHARE OF COST</b>	\$200,558.00	\$0.00	\$31,948.00	\$168,610.00
<b>TOTAL ESTIMATED COST</b>	\$875,000.00	\$0.00	\$31,948.00	\$843,052.00

<b>REASON(S) FOR AMENDMENT</b>
This award is hereby amended to (1) approve the revised budget and decrease the Non-federal Budgeted amount from \$200,558 to \$168,610 in accordance with the Recipient's initial request dated August 18, 2020, subsequent revision received October 28, 2020, and concurrence from the Program Office; (2) approve the SPOC/AOR change from David Maxwell to Nicholas Marquis (See Specific Award Condition (SAC) #2); and (3) update the NIST contact information (SAC #4).
ALL PREVIOUS TERMS AND CONDITIONS REMAIN IN EFFECT.

<p><b>This Amendment Document (Form CD-451) signed by the Grants Officer constitutes an Amendment of the above-referenced Award, which may include an obligation of Federal funding. By signing this Form CD-451, the Recipient agrees to comply with the Amendment provisions checked below and attached, as well as previous provisions incorporated into the Award. If not signed and returned without modification by the Recipient within 30 days of receipt, the Grants Officer may unilaterally withdraw this Amendment offer and de-obligate any associated funds.</b></p> <p>X SPECIFIC AWARD CONDITION(S)</p> <p>X LINE ITEM BUDGET</p> <p>OTHER(S)</p>
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<b>SIGNATURE OF DEPARTMENT OF COMMERCE GRANTS OFFICER</b>	<b>DATE</b>
 Nuria Martinez Date: 2020.12.15 10:41:08 -05'00'	11/24/2020

<b>TYPED NAME, TYPED TITLE, AND SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL</b>	<b>DATE</b>
 Nick Marquis EX. Director of Client and infrastructure Service/SPOC	1/11/2021

Award Number: 23-10-S18023, Amendment Number 3

Federal Program Officer: Regina Harrison

Requisition Number: S19023

Employer Identification Number: 016000001

Dun & Bradstreet No: 8090452140000

Recipient ID: 2308714

Requestor ID: 2308714

**Award ACCS Information**

Bureau Code	FCFY	Project-Task	Org Code	Obj Class	Obligation Amount
61	2019	8150000-000	11-00-0000-00-00-00-00	41-19-00-00	\$0.00

**Award Contact Information**

Contact Name	Contact Type	Email	Phone
Mr. Nicholas Marquis	Administrative	Nicholas.Marquis@maine.gov	207-615-9602

**NIST Grants Officer:**

Nuria Martinez  
100 Bureau Drive, MS 1650  
Gaithersburg, MD 20899-1650  
(301) 975-6215

**NIST Grants Specialist:**

Mercedes Ernest Martinez  
100 Bureau Drive, MS 1650  
Gaithersburg, MD 20899-1650  
(301) 975-0000

NIST Financial Assistance Award Number: 23-10-S18023

Amendment: 3

Recipient: Department of Administrative And Financial Services Maine

**STATE AND LOCAL IMPLEMENTATION GRANT PROGRAM 2.0  
FINANCIAL ASSISTANCE  
SPECIAL AWARD CONDITIONS**

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**2. Recipient Contact Information:**

**Administrative:**

Nicholas Marquis

Program Director

State of Maine, DAFS

145 State House Station

Augusta, Maine 04333-0145

Telephone: 207-615-9602

Email: [Nicholas.Marquis@maine.gov](mailto:Nicholas.Marquis@maine.gov)

**4. NIST Contact Information:**

**Grants Specialist:**

Mercedes Martinez

National Institute of Standards and Technology

100 Bureau Drive, Mail Stop 1650

Gaithersburg, MD 20899-1650

Fax: 301-975-6368

Email: [mercedes.martinez@nist.gov](mailto:mercedes.martinez@nist.gov)

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**End of Special Award Conditions**

**BUDGET INFORMATION - Non-Construction Programs****SECTION A - BUDGET SUMMARY**

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. Leave Blank	Leave Blank	\$	\$	\$ 674,442.00	\$ 168,610.00	\$ 843,052.00
2.						0.00
3.						0.00
4.						0.00
5. Totals		\$ 0.00	\$ 0.00	\$ 674,442.00	\$ 168,610.00	\$ 843,052.00

**SECTION B - BUDGET CATEGORIES**

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1) Maine SLIGP2 Federal	(2) Maine SLIGP2 Match	(3)	(4)	
a. Personnel	\$ 238,522.00	\$ 65,122.00	\$	\$	\$ 303,644.00
b. Fringe Benefits	118,245.00	33,205.00			151,450.00
c. Travel	11,000.00	5,000.00			16,000.00
d. Equipment	0.00	0.00			0.00
e. Supplies	0.00	0.00			0.00
f. Contractual	243,862.00	15,000.00			258,862.00
g. Construction	0.00	0.00			0.00
h. Other	1,500.00	36,000.00			37,500.00
i. Total Direct Charges (sum of 6a-6h)	613,129.00	154,327.00	0.00	0.00	767,456.00
j. Indirect Charges	61,313.00	14,283.00			75,596.00
k. TOTALS (sum of 6i and 6j)	\$ 674,442.00	\$ 168,610.00	\$ 0.00	\$ 0.00	\$ 843,052.00
7. Program Income	\$	\$	\$	\$	\$ 0.00

Authorized for Local Reproduction

**SECTION C - NON-FEDERAL RESOURCES**

(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8. SLIGP2	\$	\$ 168,610.00	\$	\$ 168,610.00
9.				0.00
10.				0.00
11.				0.00
12. TOTAL (sum of lines 8-11)	\$ 0.00	\$ 168,610.00	\$ 0.00	\$ 168,610.00

**SECTION D - FORECASTED CASH NEEDS**

	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ 350,000.00	\$ 87,500.00	\$ 87,500.00	\$ 87,500.00	\$ 87,500.00
14. Non-Federal	81,110.00	20,277.50	20,277.50	20,277.50	20,277.50
15. TOTAL (sum of lines 13 and 14)	\$ 431,110.00	\$ 107,777.50	\$ 107,777.50	\$ 107,777.50	\$ 107,777.50

**SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT**

(a) Grant Program	FUTURE FUNDING PERIODS (Years)			
	(b) First	(c) Second	(d) Third	(e) Fourth
16.SLIGP2	\$	\$ 350,000.00	\$	\$
17.				
18.				
19.				
20. TOTAL (sum of lines 16-19)	\$ 0.00	\$ 350,000.00	\$ 0.00	\$ 0.00

**SECTION F - OTHER BUDGET INFORMATION**

21. Direct Charges: \$613,129	22. Indirect Charges: \$61,313
23. Remarks:	