

| U.S. Department of Commerce Performance Progress Report | | | | 2. Award or Grant Number: | 37-10-S13037N |
|---|---|---|-----------------------------|---|---|
| 1. Recipient Name | | Executive Office of the State of North Carolina (North Carolina Department of Information Technology) | | 4. EIN: | 300712287 |
| 3. Street Address | | 3700 Wake Forest Road | | 6. Report Date (MM/DD/YYYY) | 04-29-2016 |
| 5. City, State, Zip Code | | Raleigh, NC 27609 | | 7. Reporting Period End Date: (MM/DD/YYYY) | 03-31-2016 |
| | | | | 8. Final Report Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | 9. Report Frequency Quarterly <input checked="" type="checkbox"/> |
| 10a. Project/Grant Period | | | | | |
| Start Date: (MM/DD/YYYY) | | 1/7/2014 | 10b. End Date: (MM/DD/YYYY) | 01-31-2018 | |
| 11. List the individual projects in your approved Project Plan | | | | | |
| | Project Type (Capacity Building, SCIP Update, | Project Deliverable Quantity (Number & Indicator Description) | | | |
| 1 | Stakeholder Meetings | 460 | | | |
| 2 | Broadband Conferences | 3 | | | |
| 3 | Staff Hires | 0 | | | |
| 4 | Contract Executions | 0 | | | |
| 5 | Governance Meetings | 1 | | | |
| 6 | Education and Outreach | 6433 | | | |
| 7 | Subrecipient Agreement Executed | 0 | | | |
| 8 | Phase 2 - Coverage | Stage 4 | | | |
| 9 | Phase 2 - Users and Their Operational Areas | Stage 5 | | | |
| 10 | Phase 2 - Capacity Planning | Stage 4 | | | |
| 11 | Phase 2 - Current Providers/Procurement | Stage 4 | | | |
| 12 | Phase 2 - State Plan Decision | Stage 1 | | | |
| 11a. Describe your progress meeting each major activity/milestone approved in the Baseline Report for this project; any challenges or obstacles encountered and mitigation strategies you have employed; planned major activities for the next quarter; and any additional project milestones or information. | | | | | |
| <p>North Carolina has continued outreach and education program to provide information on FirstNet during this past quarter. Though no substantial new information is known about the FirstNet project due to the progressing RFP process, FirstNetNC continues to staff informational booths at conventions and conferences in order to provide the opportunity to engage stakeholders in one on one conversations. Additional data collection work is being performed to enhance the data already submitted. This includes a review of operational areas in North Carolina. North Carolina sent 4 people to participate in 3 Broadband conferences this past quarter. The Statewide Interoperability Executive Committee (Governance) held a regular meeting in which FirstNetNC provided an update. Additionally, FirstNetNC held it's 2016 Kickoff meeting with FirstNet staff to outline some of the goals for the continued consultation process. FirstNetNC has been engaged with FirstNet staff on the formation of the Consultation Task Teams and desire to have meetings with the Executive/Governor's staff and the SIEC as a Governance body. A total of 460 stakeholders were reached, 2 staff member at Governance meetings as FirstNetNC participation, and 6433 materials were distributed including both digital and physical methods (no new Facebook likes or new Twitter followers - 5413 emails sent, 586 website visits (hits) and 434 handouts. We have had another lot of brochures printed recently. In this period staff also attended two state NG911 meetings, participated in three NASCIO meetings, two NGA meetings, and a major in state Fire Expo.</p> | | | | | |
| 11b. If the project team anticipates requesting any changes to the approved Baseline Report in the next quarter, describe those below. Note that any substantive changes to the Baseline Report must be approved by the Department of Commerce before implementation. | | | | | |
| Per North Carolina's Amendment #5, a revised SLIGP budget was submitted Q4 CY2015 and approved Q1 CY2016. This is the first PPR using the new approved budget - no changes are planned for the next quarter. | | | | | |

11c. Provide any other information that would be useful to NTIA as it assesses this project's progress.
As per last quarter, little new information from FirstNet due to the release of RFP. We continue to ramp up Liaison staff (education and outreach) a process that will continue as we ramp up education and outreach once FirstNet has significant information for responders and decision makers (cost, coverage, capacity, etc.).

11d. Describe any success stories or best practices you have identified. Please be as specific as possible.
The part time Outreach Liaison staff has brought a vast amount of experience to the team and tremendous credibility for our program.

12. Personnel

12a. If the project is not fully staffed, describe how any lack of staffing may impact the project's time line and when the project will be fully staffed.
The North Carolina continues to operate with limited staff. Never-the-less, the Education and Outreach Mission continues. As discussed with NTIA, match efforts will continue to be counted for First Responder stakeholders attending FirstNetNC meetings.

12b. Staffing Table

| Job Title | FTE% | Project (s) Assigned | Change |
|---|------|---|--|
| IT Project Program Manager (FirstNetNC Director/SPOC) | 100 | (federal) Overall responsibility for SLIGP and FirstNetNC effort, including SPOC duties | |
| Networking Specialist (Technical Consultant, FirstNetNC) | 100 | (federal) Supports FirstNetNC with focus on technical questions/opportunities with responders, vendors, potential partners | |
| Networking Specialist (Assistant Director, FirstNetNC) | 100 | (federal) Supports FirstNetNC / oversees Education and Outreach with first responders and other stakeholders | |
| Information & Communications Specialist III (Dir. of Comms, Digital Infrastructure) | 0 | (federal) Development/responsibility for all media and communications materials/coordination | |
| Administrative Assistant I (Administrative Assistant, Digital Infrastructure) | 0 | (federal) Administrative support to FirstNetNC staff, including travel | |
| IT Director (Executive Director, Digital Infrastructure) | 37 | (federal) Oversight of Digital Infrastructure team. Coordination/strategy between FirstNetNC, Broadband Infrastructure Office and DIT | Effort charged against grant is 37% - for Governor and Legislative coordination. |
| IT Manager (Program Management Specialist) | 0 | (federal) Supports FirstNetNC with focus on Program Management and Budget responsibilities | |

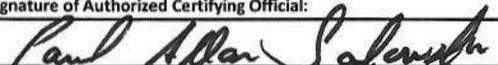
13. Subcontracts (Vendors and/or Subrecipients)

13a. Subcontracts Table – Include all subcontractors. The totals from this table must equal the "Subcontracts Total" in Question 14f.

| Name | Subcontract Purpose | Type (Vendor/Subrec.) | RFP/RFQ Issued (Y/N) | Contract Executed (Y/N) | Start Date | End Date | Total Federal Funds Allocated | Total Matching Funds Allocated |
|------------------------------------|---|----------------------------------|----------------------|-------------------------|------------|-------------|-------------------------------|--------------------------------|
| GIS-DIT | Planning, GIS, Consultation | State Service | Y | Y | 1/Jul/2014 | 30/Jan/2018 | \$175,460.00 | |
| Research Analyst - DOC | Data analysis, research, consultation | State Service | Y | N | 1/Jul/2016 | 30/Jan/2018 | \$150,000.00 | |
| Outreach/Data Collection/Inventory | Contractors - education, outreach, data collection, & inventory | State Service & Vendor | Y | Y | 1/Jul/2014 | 30/Jan/2018 | \$244,234.98 | \$326,918.06 |
| Hosting Services | Database, webpage development & maintenance | State Service | Y | Y | 1/Jul/2015 | 30/Jan/2018 | \$112,000.00 | |
| Conferences | Education & staff development | State Service & Vendor | Y | Y | 1/Jul/2015 | 30/Jan/2018 | \$86,400.00 | |
| Administrative Support | Administrative & Budget support | State Contract | Y | Y | 7/1/2015 | 30/Jan/2018 | \$105,000.00 | |
| Local Cooperative Efforts | Local government expenditures | State & Local Government Service | Y | Y | 7/1/2015 | 30/Jan/2018 | \$139,469.99 | |

13b. Describe any challenges encountered with vendors and/or subrecipients.

Other contractors include the outreach field staff (Law Enforcement Liaison, EM Liaison, and EMS Liaison). These individuals are temporary staff through the State temporary agency, Temp Solutions. They were previously reported in the staffing table, but expenses are actually reported under Subcontracts so are now being described here for consistency.

| 14. Budget Worksheet | | | | | | |
|---|---------------------------|-----------------------------|------------------|---|--|--------------------------|
| Columns 2, 3 and 4 must match your current project budget for the entire award, which is the SF-424A on file. Only list matching funds that the Department of Commerce has already approved. | | | | | | |
| Project Budget Element (1) | Federal Funds Awarded (2) | Approved Matching Funds (3) | Total Budget (4) | Federal Funds Expended (5) | Approved Matching Funds Expended (6) | Total funds Expended (7) |
| a. Personnel Salaries | \$1,338,683.67 | \$47,465.40 | \$1,386,149.07 | \$555,267.56 | \$47,465.40 | \$602,732.96 |
| b. Personnel Fringe Benefits | \$383,414.95 | \$9,171.03 | \$392,585.98 | \$154,665.14 | \$9,171.03 | \$163,836.17 |
| c. Travel | \$205,785.00 | \$23,136.83 | \$228,921.83 | \$26,543.74 | \$8,505.67 | \$35,049.41 |
| d. Equipment | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| e. Materials/Supplies | \$15,215.55 | \$0.00 | \$15,215.55 | \$2,910.55 | \$742.53 | \$3,653.08 |
| f. Subcontracts Total | \$1,012,564.97 | \$326,918.06 | \$1,339,483.03 | \$108,237.64 | \$48,104.00 | \$156,341.64 |
| g. Other | \$162,035.64 | \$186,347.19 | \$348,382.83 | \$41,341.87 | \$34,997.37 | \$76,339.24 |
| h. Indirect | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| i. Total Costs | \$3,117,699.78 | \$593,038.51 | \$3,710,738.29 | \$888,966.50 | \$148,986.00 | \$1,037,952.50 |
| j. % of Total | 84% | 16% | 100% | 86% | 14% | 100% |
| 15. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purpose(s) set forth in the award documents. | | | | | | |
| 16a. Typed or printed name and title of Authorized Certifying Official: | | | | 16c. Telephone (area code, number, and extension) | 919-754-6693 | |
| Allan Sadowski, North Carolina FirstNet Program Director, NC Department of Information Technology | | | | 16d. Email Address: | allan.sadowski@nc.gov | |
| 16b. Signature of Authorized Certifying Official: | | | | Date: | 5/16/2016 | |
|  | | | | | | |

FINANCIAL REPORT

(See instructions)

| | | | |
|---|--|------|---------------|
| 1. Federal Agency and Organizational Element to Which Report is Submitted US Department of Commerce | 2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) 37-10-S13037N | Page | of 1 pages |
|---|--|------|---------------|

3. Recipient Organization (Name and complete address including Zip code)
 Executive Office of the State of North Carolina_3700 Wake Forest Road_Raleigh_NC_27606

| | | | | |
|---|------------------------------|---|---|--|
| 4a. DUNS Number 87-290-4193 | 4b. EIN 26-2032825 | 5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment) | 6. Report Type <input checked="" type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input type="checkbox"/> Final | 7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual |
| 8. Project/Grant Period From: (Month, Day, Year) 07/01/2014 | | To: (Month, Day, Year) 01/31/2018 | 9. Reporting Period End Date (Month, Day, Year) 03/31/2016 | |
| 10. Transactions (Use lines a-c for single or multiple grant reporting) | | | Cumulative | |

| | |
|---|------------|
| Federal Cash (To report multiple grants, also use FFR Attachment): | |
| a. Cash Receipts | 789,113.93 |
| b. Cash Disbursements | 789,113.93 |
| c. Cash on Hand (line a minus b) | 0.00 |

| | |
|--|--------------|
| Federal Expenditures and Unobligated Balance: | |
| d. Total Federal funds authorized | 3,117,699.78 |
| e. Federal share of expenditures | 789,113.93 |
| f. Federal share of unliquidated obligations | 99,852.67 |
| g. Total Federal share (sum of lines e and f) | 888,966.50 |
| h. Unobligated balance of Federal funds (line d minus g) | 2,228,733.28 |

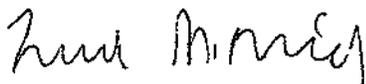
| | |
|--|------------|
| Recipient Share: | |
| i. Total recipient share required | 593,038.51 |
| j. Recipient share of expenditures | 148,986.00 |
| k. Remaining recipient share to be provided (line i minus j) | 444,052.51 |

| | |
|---|---|
| Program Income: | |
| l. Total Federal program income earned | 0 |
| m. Program income expended in accordance with the deduction alternative | |
| n. Program income expended in accordance with the addition alternative | |
| o. Unexpended program income (line l minus line m or line n) | |

| 11. Indirect Expense | a. Type | b. Rate | c. Period From | Period To | d. Base | e. Amount Charged | f. Federal Share |
|----------------------|---------|---------|----------------|-----------|---------|-------------------|------------------|
| | | | | | | | |
| g. Total: | | | | | | | |

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.

13. Certification: By signing this report, I certify that it is true, complete, and accurate to the best of my knowledge. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

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|--|--|
| a. Typed or Printed Name and Title of Authorized Certifying Official Trevor Minor, CFO - Department of Information Technology | c. Telephone (Area code, number and extension) 919-754-6000 d. Email address: trevor.minor@nc.gov |
| b. Signature  | e. Date Report Submitted (Month, Day, Year) 5/10/2016 14. Agency use only. |

Standard Form 425
 OMB Approval Number 0348-0061
 Expiration Date: 10/31/2011

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