

<b>U.S. Department of Commerce</b>		<b>2. Award or Grant Number</b> 36-10-S13036			
<b>Performance Progress Report</b>		<b>4. EIN</b> 146013200			
<b>1. Recipient Name</b> New York State Division of Homeland Security and Emergency Services		<b>6. Report Date (MM/DD/YYYY)</b> 01/27/2015			
<b>3. Street Address</b> 1220 Washington Ave, State Campus Building 7A, Suite 610		<b>7. Reporting Period End Date:</b> 12/31/2014			
<b>5. City, State, Zip Code</b> Albany, NY 12226		<b>8. Final Report</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>9. Report Frequency</b> <input checked="" type="checkbox"/> Quarterly		
<b>10a. Project/Grant Period</b> Start Date: 08/01/2013	<b>10b. End Date: (MM/DD/YYYY)</b> 07/31/2016				
<b>11. List the individual projects in your approved Project Plan</b>					
	Project Type (Capacity Building, SCIP Update, Outreach, Training etc.)	Project Deliverable Quantity (Number & Indicator Description)	Total Federal Funding Amount	Total Federal Funding Amount expended at the end of this reporting period	Percent of Total Federal Funding Amount expended
1	Stakeholder Meetings	44			
2	Training Sessions	0			
3	Broadband Conferences	0			
4	Staff Hires	0			
5	Contract Executions	0			
6	Statutory or Regulatory Changes	None			
7	Governance Meetings	0 – not SLIGP funded			
8	Education and Outreach Materials	59			
9	Subrecipient Agreements Executed	None – none planned			
10	Phase 2	None at this time			
<b>11a. Describe your progress meeting each major activity/milestone approved in the Baseline Report for this project; any challenges or obstacles encountered and mitigation strategies you have employed; planned major activities for the next quarter; and any additional project milestones or information.</b>					
<p>#1 – While not specific to FirstNet, we did hold two meetings (October and December) of the State Interoperable and Emergency Communication Board, where a public safety broadband update was provided to the attendees. While not New York hosted, we did attend the FirstNet PEIS Scoping Meeting in New York City. We intend to hold a meeting of the New York State Public Safety Broadband Working Group during Q7.</p> <p>#2 – N/A per NTIA</p> <p>#3 – None.</p> <p>#4 – Staff are working on SLIGP activities as needed. Staff are not yet working at their predicted match rate. This is due to limited public safety broadband activities for staff to work on. We expect that as FirstNet begins consultation with the State our efforts will increase.</p> <p>#5 –We have an executed contract with our outreach contractor and they have been conducting work.</p>					

#6 – N/A per NTIA

#7 – Per NTIA, since our governance meetings are not SLIGP funded, this value will be 0 in the progress reports. However, a governance board meeting was held in October and again in December.

#8 – We deployed our new website at the end of December. It is counted as “1” in the above metric, but we will attempt to include specific “hit” metrics in the next quarterly report. <http://psbb.ny.gov> We also distributed a mobile data survey to the counties in New York.

#9 – None are planned.

#10 –No Phase 2 activities have been conducted yet. We are waiting further direction from FirstNet and NTIA.

**11b. If the project team anticipates requesting any changes to the approved Baseline Report in the next quarter, describe those below. Note that any substantive changes to the Baseline Report must be approved by the Department of Commerce before implementation.**

At some point, we will need to remove our need for a website development contract (as this will be done internally). Depending on the timing of Phase 2 modifications, this may be done at the same time. At this time, New York is uncertain what the fund balance will be used for.

**11c. Provide any other information that would be useful to NTIA as it assesses this project’s progress.**

Due to limited direction from FirstNet on details needed for State outreach, there was still a less than anticipated internal activity during Quarter 6. The State continues to wait for direction from FirstNet on specific details needed for outreach. (E.g. What the network will look like, what the monthly cost will be, what the user base will be (first responders, or first responders plus public works, etc.). During Q6 we released our mobile data survey to counties. We are still collecting responses and will report on them during Q7. We also submitted our initial consultation checklist to FirstNet at the end of Q6 and we are waiting for their proposed consultation meeting dates.

**11d. Describe any success stories or best practices you have identified. Please be as specific as possible.**

We launched our PSBB website at <http://psbb.ny.gov> This will be used as part of our outreach effort to public safety across New York.

## 12. Personnel

**12a. If the project is not fully staffed, describe how any lack of staffing may impact the project’s time line and when the project will be fully staffed.**

### 12b. Staffing Table

Job Title	FTE %	Project(s) Assigned	Change
SWIC	0.15	Overall SLIGP and policy oversight and liaison	Continues SLIGP/PSBB Work.
Radio Engineer (#1)	0.50	SLIGP/State FirstNet Primary	Continues SLIGP/PSBB Work.
Radio Engineer (#2)	0.40	Support SLIGP and FirstNet Activities	Continues SLIGP/PSBB Work.
Agency Budget Analyst	0.40	Supports communication’s office budget/fiscal	Continues SLIGP/PSBB Work.

Senior Administrative Analyst	0.15	Supports office activities, including the Interop Board and its working groups	Continues SLIGP/PSBB Work.
Senior Administrative Assistant	0.15	Supports office outreach and project management efforts	Continues SLIGP/PSBB Work.

**13. Subcontracts (Vendors and/or Subrecipients)**

**13a. Subcontracts Table – Include all subcontractors. The totals from this table must equal the “Subcontracts Total” in Question 14f.**

Name	Subcontract Purpose	Type (Vendor/Subrec.)	RFP/RFQ Issued (Y/N)	Contract Executed (Y/N)	Start Date	End Date	Total Federal Funds Allocated	Total Matching Funds Allocated	Project and % Assigned
New York State Technology Enterprise Corporation (NYSTEC)	Outreach, project management, support	Vendor	Not needed (existing State contract)	Y	08/01/2013 (*approved by OSC 03/03/2014)	07/31/2016	\$2,013,960.00	\$0.00	
TBD (As noted above, this may not be needed).	Website development	Vendor	Likely not needed due to existing state IT contracts	N			\$84,864	\$0.00	

**13b. Describe any challenges encountered with vendors and/or subrecipients.**

Due to the delay in getting the grant award funds and the approval process for contracts in New York, no subcontracts were awarded in Q1 or Q2. We had expected our main subcontractor award early in Q2, however the Office of the State Comptroller required a revised contract with lower rates (reflecting a blanket reduction in all contractor rates – not specific to just this award) to be put in place first. This contract was approved in Q3, however it wasn't until Q4 that invoices were paid against this contract. Additionally, it appears we no longer require a separate website development contract, as that is being done in part by our Outreach contractor and in part by State employees in our State Office of Information Technologies Services.

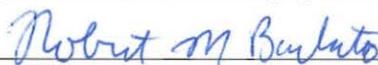
**14. Budget Worksheet**

Columns 2, 3 and 4 must match your current project budget for the entire award, which is the SF-424A on file.  
 Only list matching funds that the Department of Commerce has already approved.

Project Budget Element (1)	Federal Funds Awarded (2)	Approved Matching Funds (3)	Total Budget (4)	Federal Funds Expended (5)	Approved Matching Funds Expended (6)	Total Funds Expended (7)
a. Personnel Salaries	\$0	\$706,815	\$706,815	\$0	\$47,613.25	\$47,613.25
b. Personnel Fringe Benefits	\$0	\$405,995	\$405,995	\$0	\$26,415.83	\$26,415.83
c. Travel	\$301,320	\$0	\$301,320	\$14,398.26	\$0	\$14,398.26
d. Equipment	\$0	\$0	\$0	\$0	\$0	\$0
e. Materials/Supplies	\$18,940	\$0	\$18,940	\$0	\$0	\$0
f. Subcontracts Total	\$2,098,824	\$0	\$2,098,824	\$78,496.31	\$0	\$78,496.31
g. Other	\$2,448,128	\$103,993	\$2,552,121	\$0	\$0	\$0
h. Total Costs	\$4,867,212	\$1,216,803	\$6,084,015	\$92,894.57	\$74,029.08	\$166,923.65
i. % of Total	80%	20%	100%	55.7%	44.3%	100%

15. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purpose(s) set forth in the award documents.

<p>16a. Typed or printed name and title of Authorized Certifying Official</p> <p>Robert Barbato</p> <p>Director, Office of Interoperable and Emergency Communications</p>	<p>16c. Telephone (area code, number, and extension)</p> <p>518-322-4915</p> <p>16d. Email Address Robert.Barbato@dhses.ny.gov</p>
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<p>16b. Signature of Authorized Certifying Official</p> 	<p>16e. Date Report Submitted (month, day, year)</p> <p>January 27, 2015</p>
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According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a currently valid OMB control number. Public reporting burden for this collection of information is estimated to average 10 hours per response for the application process, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Michael Dame, Director, State and Local Implementation Grant Program, Office of Public Safety Communications, National Telecommunications and Information Administration, U.S. Department of Commerce (DOC), 1401 Constitution Avenue, N.W., HCHB, Room 7324, Washington, D.C. 20230.