

AMENDMENT TO FINANCIAL ASSISTANCE AWARD

AWARD NUMBER

36-10-S13036

CFDA NO. AND NAME

11.549 - State and Local Implementation Grant Program

PROJECT TITLE

FY 2013 State and Local Implementation Grant Program (SLIGP)

RECIPIENT NAME

NY State Division of Homeland Security and Emergency Services

AMENDMENT NUMBER

5

STREET ADDRESS

1220 Washington Avenue State Campus Building 7A, Suite 610

EFFECTIVE DATE

08/15/2017

CITY, STATE ZIP

Albany, NY 12226-1800

**EXTEND PERIOD OF PERFORMANCE TO
(IF APPLICABLE)**

COSTS ARE REVISED AS FOLLOWS:	PREVIOUS ESTIMATED COST	ADD	DEDUCT	TOTAL ESTIMATED COST
FEDERAL SHARE OF COST	\$4,867,212.00	\$0.00	\$3,060,681.00	\$1,806,531.00
RECIPIENT SHARE OF COST	\$1,216,803.00	\$0.00	\$765,120.00	\$451,683.00
TOTAL ESTIMATED COST	\$6,084,015.00	\$0.00	\$3,825,801.00	\$2,258,214.00

REASON(S) FOR AMENDMENT

This grant is hereby amended to: 1) acknowledge the receipt and approval of the budget modification and de-obligation of funds submitted June 26, 2017; and 2) update Grants Officer information listed under Special Award Condition (SAC) No. 04.

All previous terms and conditions remain the same.

This Amendment Document (Form CD-451) signed by the Grants Officer constitutes an Amendment of the above-referenced Award, which may include an obligation of Federal funding. By signing this Form CD-451, the Recipient agrees to comply with the Amendment provisions checked below and attached, as well as previous provisions incorporated into the Award. If not signed and returned without modification by the Recipient within 30 days of receipt, the Grants Officer may unilaterally withdraw this Amendment offer and de-obligate any associated funds.

SPECIAL AWARD CONDITIONS

LINE ITEM BUDGET

OTHER(S)

SIGNATURE OF DEPARTMENT OF COMMERCE GRANTS OFFICER

Dean Iwasaki



DEAN
IWASAKI

Digitally signed by DEAN
IWASAKI
Date: 2017.08.15 16:26:42
-04'00'

DATE

8/15/2017

TYPED NAME, TYPED TITLE, AND SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL

Shelley Wahrlich, Director of Grants


DATE

8/17/17

Award Number: 36-10-S13036, Amendment Number 5
 Federal Program Officer: Yuki Miyamoto-Mendez
 Requisition Number: 17015
 Employer Identification Number: 146013200
 Dun & Bradstreet No: 616804055
 Recipient ID: 1106990
 Requestor ID: 1106990

Award ACCS Information

Bureau Code	FCFY	Project-Task	Org Code	Obj Class	Obligation Amount
61	2013	8150000-000	11-00-0000-00-00-00-00	41-19-00-00	\$-3,060,681.00

Award Contact Information

Contact Name	Contact Type	Email	Phone
Ms. Shelley Wahrlich	Administrative	shelley.wahrlich@dhses.ny.gov	5182425108
Mr. Matthew Delaney	Technical	Matthew.Delaney@dhses.ny.gov	(518) 322-4910

NIST Grants Officer:

Nuria Martinez
 100 Bureau Drive, MS 1650
 Gaithersburg, MD 20899-1650
 (301) 975-6215

NIST Grants Specialist:

Shiou Liu
 100 Bureau Drive, MS 1650
 Gaithersburg, MD 20899-1650
 (301) 975-8245

Grant Award Number: 36-10-S13036

Recipient Organization: NY State Division of Homeland Security and Emergency Services

Amendment No. 05

**National Institute of Standards and Technology
State and Local Implementation Grant Program
Special Award Conditions**

4. The Grants Officer's name, address, telephone number, and email address:

Nuria Martinez
National Institute of Standards and Technology
100 Bureau Drive, Mail Stop 1650
Gaithersburg, MD 20899-1650
Telephone: 301-975-6215
Email: nuria.martinez@nist.gov

BUDGET INFORMATION - Non-Construction Programs

OMB Number: 4040-0006
Expiration Date: 06/30/2014

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. State and Local Implementation Grant Program	11.549	\$	\$	\$ 1,806,531.00	\$ 451,683.00	\$ 2,258,214.00
2. Match						
3.						
4.						
5. Totals		\$	\$	\$ 1,806,531.00	\$ 451,683.00	\$ 2,258,214.00

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1) State and Local Implementation Grant Program	(2) Match	(3)	(4)	
a. Personnel	\$ 0.00	\$ 215,096.00	\$	\$	\$ 215,096.00
b. Fringe Benefits		123,707.00			123,707.00
c. Travel	52,164.00				52,164.00
d. Equipment	0.00				
e. Supplies	16,499.53				16,499.53
f. Contractual	1,737,867.47				1,737,867.47
g. Construction	0.00				
h. Other	0.00	112,880.00			112,880.00
i. Total Direct Charges (sum of 6a-6h)	1,806,531.00	451,683.00			\$ 2,258,214.00
j. Indirect Charges					\$
k. TOTALS (sum of 6i and 6j)	\$ 1,806,531.00	\$ 451,683.00	\$	\$	\$ 2,258,214.00
7. Program Income	\$	\$	\$	\$	\$

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SECTION C - NON-FEDERAL RESOURCES

(a) Grant Program		(b) Applicant	(c) State	(d) Other Sources	(e)TOTALS
8.	State and Local Implementation Grant Program	\$ 451,683.50	\$	\$	\$ 451,683.50
9.	Match				
10.					
11.					
12. TOTAL (sum of lines 8-11)		\$ 451,683.50	\$	\$	\$ 451,683.50

SECTION D - FORECASTED CASH NEEDS

	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$	\$	\$	\$	\$
14. Non-Federal	\$				
15. TOTAL (sum of lines 13 and 14)	\$	\$	\$	\$	\$

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT

(a) Grant Program	FUTURE FUNDING PERIODS (YEARS)			
	(b)First	(c) Second	(d) Third	(e) Fourth
16. State and Local Implementation Grant Program	\$	\$	\$	\$
17. Match				
18.				
19.				
20. TOTAL (sum of lines 16 - 19)	\$	\$	\$	\$

SECTION F - OTHER BUDGET INFORMATION

21. Direct Charges:	2,258,213.97	22. Indirect Charges:	0
23. Remarks:	June 2017		