

U.S. Department of Commerce		2. Award or Grant Number 36-10-S13036
Performance Progress Report		4. EIN 146013200
1. Recipient Name New York State Division of Homeland Security and Emergency Services		6. Report Date (MM/DD/YYYY) 04/28/2015
3. Street Address 1220 Washington Ave, State Campus Building 7A, Suite 610		7. Reporting Period End Date: 03/31/2015
5. City, State, Zip Code Albany, NY 12226		8. Final Report <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
		9. Report Frequency <input checked="" type="checkbox"/> Quarterly
10a. Project/Grant Period Start Date: 08/01/2013	10b. End Date: (MM/DD/YYYY) 07/31/2016 (*as of 3/31/15)	

11. List the individual projects in your approved Project Plan					
	Project Type (Capacity Building, SCIP Update, Outreach, Training etc.)	Project Deliverable Quantity (Number & Indicator Description)	Total Federal Funding Amount	Total Federal Funding Amount expended at the end of this reporting period	Percent of Total Federal Funding Amount expended
1	Stakeholder Meetings	64			
2	Training Sessions	0			
3	Broadband Conferences	0			
4	Staff Hires	0			
5	Contract Executions	0			
6	Statutory or Regulatory Changes	None			
7	Governance Meetings	0 – not SLIGP funded			
8	Education and Outreach Materials	940 (website page views)			
9	Subrecipient Agreements Executed	None – none planned			
10	Phase 2	None at this time			

11a. Describe your progress meeting each major activity/milestone approved in the Baseline Report for this project; any challenges or obstacles encountered and mitigation strategies you have employed; planned major activities for the next quarter; and any additional project milestones or information.

#1 – We held two meetings of our public safety broadband working group, to prepare for our April consultation. And while not specific to FirstNet, we also held a meeting of the State Interoperable and Emergency Communication Board, where a public safety broadband update was provided to the attendees.

#2 – N/A per NTIA

#3 – None.

#4 – Staff are working on SLIGP activities as needed. Staff are not yet working at their predicted match rate. This is due to limited public safety broadband activities for staff to work on. We expect that as FirstNet begins consultation with the State our efforts will increase.

#5 – We have an executed contract with our outreach contractor and they have been conducting work.

#6 – N/A per NTIA

#7 – Per NTIA, since our governance meetings are not SLIGP funded, this value will be 0 in the progress reports. However, a governance board meeting was held in March.
 #8 – Our website went operational at the end of December. According to Google Analytics, we received 940 page views for the quarter, 482 of them were unique page views.
 #9 – None are planned.
 #10 –No Phase 2 activities have been conducted yet. With the new guidance provided by NTIA and FirstNet on phase 2, we will be revising our budget.

11b. If the project team anticipates requesting any changes to the approved Baseline Report in the next quarter, describe those below. Note that any substantive changes to the Baseline Report must be approved by the Department of Commerce before implementation.

We need to remove our need for a website development contract (as this is being done internally and by our existing outreach contractor). This will be done at the same time as the Phase 2 modifications.

11c. Provide any other information that would be useful to NTIA as it assesses this project’s progress.

During Q6 we released our mobile data survey to counties and continue to collect the data during Q7. FirstNet scheduled New York’s initial consultation for April 20th (Q8).

11d. Describe any success stories or best practices you have identified. Please be as specific as possible.

We believe the public safety broadband website is beginning to gain traction and will prove to be a good outreach tool.

12. Personnel

12a. If the project is not fully staffed, describe how any lack of staffing may impact the project’s time line and when the project will be fully staffed.

12b. Staffing Table

Job Title	FTE %	Project(s) Assigned	Change
SWIC	0.15	Overall SLIGP and policy oversight and liaison	Continues SLIGP/PSBB Work.
Radio Engineer (#1)	0.50	SLIGP/State FirstNet Primary	Continues SLIGP/PSBB Work.
Radio Engineer (#2)	0.40	Support SLIGP and FirstNet Activities	Continues SLIGP/PSBB Work.
Agency Budget Analyst	0.40	Supports communication’s office budget/fiscal	Continues SLIGP/PSBB Work.
Senior Administrative Analyst	0.15	Supports office activities, including the Interop Board and its working groups	Continues SLIGP/PSBB Work.
Senior Administrative Assistant	0.15	Supports office outreach and project management efforts	Continues SLIGP/PSBB Work.

13. Subcontracts (Vendors and/or Subrecipients)

13a. Subcontracts Table – Include all subcontractors. The totals from this table must equal the “Subcontracts Total” in Question 14f.

Name	Subcontract Purpose	Type (Vendor/Subrec.)	RFP/RFQ Issued (Y/N)	Contract Executed (Y/N)	Start Date	End Date	Total Federal Funds Allocated	Total Matching Funds Allocated	Project and % Assigned
New York State Technology Enterprise Corporation (NYSTEC)	Outreach, project management, support	Vendor	Not needed (existing State contract)	Y	08/01/2013 (*approved by OSC 03/03/2014)	07/31/2016	\$2,013,960.00	\$0.00	
TBD (As noted above, this may not be needed).	Website development	Vendor	Likely not needed due to existing state IT contracts	N			\$84,864	\$0.00	

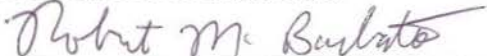
13b. Describe any challenges encountered with vendors and/or subrecipients.

No challenges at this time. We will likely extend our outreach contract, now that our SLIGP award has been extended. We have not decided if this will be a no-cost contract extension, or if we will dedicate additional funds to the contract.

14. Budget Worksheet

Columns 2, 3 and 4 must match your current project budget for the entire award, which is the SF-424A on file. Only list matching funds that the Department of Commerce has already approved.

Project Budget Element (1)	Federal Funds Awarded (2)	Approved Matching Funds (3)	Total Budget (4)	Federal Funds Expended (5)	Approved Matching Funds Expended (6)	Total Funds Expended (7)
a. Personnel Salaries	\$0	\$706,815	\$706,815	\$0	\$57,713.56	\$57,713.56
b. Personnel Fringe Benefits	\$0	\$405,995	\$405,995	\$0	\$31,794.24	\$31,794.24
c. Travel	\$301,320	\$0	\$301,320	\$14,975.85	\$0	\$14,975.85
d. Equipment	\$0	\$0	\$0	\$0	\$0	\$0

e. Materials/Supplies	\$18,940	\$0	\$18,940	\$0	\$0	\$0
f. Subcontracts Total	\$2,098,824	\$0	\$2,098,824	\$203,680.35	\$0	\$203,680.35
g. Other	\$2,448,128	\$103,993	\$2,552,121	\$0	\$0	\$0
h. Total Costs	\$4,867,212	\$1,216,803	\$6,084,015	\$218,656.20	\$89,507.80	\$308,164.00
i. % of Total	80%	20%	100%	71%	29%	100%
15. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purpose(s) set forth in the award documents.						
16a. Typed or printed name and title of Authorized Certifying Official				16c. Telephone (area code, number, and extension)		
Robert Barbato				518-322-4915		
Director, Office of Interoperable and Emergency Communications				16d. Email Address Robert.Barbato@dhses.ny.gov		
16b. Signature of Authorized Certifying Official				16e. Date Report Submitted (month, day, year)		
				April 28, 2015		

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a currently valid OMB control number. Public reporting burden for this collection of information is estimated to average 10 hours per response for the application process, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Michael Dame, Director, State and Local Implementation Grant Program, Office of Public Safety Communications, National Telecommunications and Information Administration, U.S. Department of Commerce (DOC), 1401 Constitution Avenue, N.W., HCHB, Room 7324, Washington, D.C. 20230.