

**APPLICATION FOR
FEDERAL ASSISTANCE**

OMB Approval No. 0348-0043

1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction Preapplication <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		2. DATE SUBMITTED August 30, 2013	Applicant Identifier DUNS 783506517
		3. DATE RECEIVED BY STATE	State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier
5. APPLICANT INFORMATION			
Legal Name: State of Rhode Island Emergency Management Agency		Organizational Unit: RI Emergency Management Agency	
Address (give city, county, State, and zip code): 645 New London Avenue Cranston, RI 02920		Name and telephone number of person to be contacted on matters involving (his application (give area code)) David.Eaton@governor.ri.gov Jamia R. McDonald 401-946-9996	
6. EMPLOYER IDENTIFICATION NUMBER (EIN): <input type="text" value="0"/> <input type="text" value="5"/> - <input type="text" value="6"/> <input type="text" value="0"/> <input type="text" value="0"/> <input type="text" value="0"/> <input type="text" value="5"/> <input type="text" value="2"/> <input type="text" value="2"/>		7. TYPE OF APPLICANT: (enter appropriate letter in box) A	
8. TYPE OF APPLICATION: <input type="checkbox"/> New <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) B <input type="checkbox"/>		A. State B. County C. Municipal D. Township E. Interstate F. Intermunicipal G. Special District H. Independent School Dist. I. State Controlled Institution of Higher Learning J. Private University K. Indian Tribe L. Individual M. Profit Organization N. Other (Specify) _____	
		9. NAME OF FEDERAL AGENCY: National Telecommunications and Information Administration	
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: <input type="text" value="1"/> <input type="text" value="1"/> - <input type="text" value="5"/> <input type="text" value="4"/> <input type="text" value="9"/> TITLE: State and Local Implementation Grant Program		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: State of Rhode Island NTIA Broadband application	
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): Entire state of RI			
13. PROPOSED PROJECT		14. CONGRESSIONAL DISTRICTS OF:	
Start Date 10/1/13	Ending Date 9/30/15	a. Applicant 001	b. Project 001
15. ESTIMATED FUNDING:		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?	
a. Federal	\$ 755,863 ⁰⁰	a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE _____	
b. Applicant	\$ 0 ⁰⁰	b. No. <input type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input checked="" type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
c. State	\$ 188,966 ⁰⁰		
d. Local	\$ 0 ⁰⁰		
e. Other	\$ 0 ⁰⁰		
f. Program Income	\$ 0 ⁰⁰		
g. TOTAL	\$ 944,829 ⁰⁰	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes," attach an explanation. <input checked="" type="checkbox"/> No	
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.			
a. Type Name of Authorized Representative Jamia R. McDonald		b. Title Executive Director	c. Telephone Number (401) 946-9996
d. Signature of Authorized Representative <i>Jamia R. McDonald</i>		e. Date Signed 8/30/13	

BUDGET INFORMATION - Non-Construction Programs

OMB Approval No. 0348-0044

SECTION A - BUDGET SUMMARY						
Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. Leave Blank	Leave Blank	\$ 755,863.00	\$ 188,966.00	\$	\$	\$ 944,829.00
2.						0.00
3.						0.00
4.						0.00
5. Totals		\$ 755,863.00	\$ 188,966.00	\$ 0.00	\$ 0.00	\$ 944,829.00
SECTION B - BUDGET CATEGORIES						
6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)	
	(1)	(2)	(3)	(4)		
a. Personnel	\$ 46,094.00	\$	\$	\$	\$ 46,094.00	
b. Fringe Benefits	12,906.00				12,906.00	
c. Travel	40,500.00				40,500.00	
d. Equipment	0.00				0.00	
e. Supplies	9,200.00				9,200.00	
f. Contractual	743,079.00				743,079.00	
g. Construction	0.00				0.00	
h. Other	91,050.00				91,050.00	
i. Total Direct Charges (sum of 6a-6h)	942,829.00	0.00	0.00	0.00	942,829.00	
j. Indirect Charges	2,000.00				2,000.00	
k. TOTALS (sum of 6i and 6j)	\$ 944,829.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 944,829.00	
7. Program Income	\$ 0.00	\$	\$	\$	\$ 0.00	

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Prescribed by OMB Circular A-102

SECTION C - NON-FEDERAL RESOURCES					
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS	
8.	\$	\$ 188,966.00	\$	\$ 188,966.00	
9.				0.00	
10.				0.00	
11.				0.00	
12. TOTAL (sum of lines 8-11)	\$ 0.00	\$ 188,966.00	\$ 0.00	\$ 188,966.00	
SECTION D - FORECASTED CASH NEEDS					
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ 0.00	\$	\$	\$	\$
14. Non-Federal	0.00				
15. TOTAL (sum of lines 13 and 14)	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00
SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT					
(a) Grant Program	FUTURE FUNDING PERIODS (Years)				
	(b) First	(c) Second	(d) Third	(e) Fourth	
16.	\$	\$	\$	\$	
17.					
18.					
19.					
20. TOTAL (sum of lines 16-19)	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	
SECTION F - OTHER BUDGET INFORMATION					
21. Direct Charges:		22. Indirect Charges:			
23. Remarks:					

**State and Local Implementation Grant Program (SLIGP)
Supplemental Application Narrative**

1. Existing Governance Body

- a. Describe the organizational structure and membership of the existing Statewide Interoperability Governing Body (SIGB), or its equivalent, that is responsible for public safety communications in the State.

The Rhode Island Emergency Management Agency (RIEMA) is authorized and empowered for installation, operation, and maintenance of the statewide interoperable emergency communications system for police, fire, first responders, and first receiving health care facilities in the state. The Executive Director of RIEMA is also the chair for Interoperable Communications Committee (ICC) with the purpose of addressing unique challenges associated with statewide communications interoperability. In addition to the Executive Director of RIEMA, the ICC consists of twenty-one (21) appointed officials from all disciplines including Police, Fire, the Department of Health, the Department of Environmental Management, transportation personnel, as well as tribal representation to name a few of the disciplines. Please refer to attachment 1, which depicts RI General Law 30-15-42 – establishment of the ICC within RIEMA, as well as 30-15-43, the Statewide Interoperable Communications System.

The following personnel compose the make-up of the ICC:

- (1) The adjutant general of the Rhode Island national guard or his/her designee;
- (2) The president of the Rhode Island fire chief's association or his/her designee;
- (3) The president of the Rhode Island police chief's association or his/her designee;
- (4) The executive director of the Rhode Island emergency management agency or his/her designee;
- (5) A representative from a Rhode Island Level 1 trauma center designated by the president of the facility;
- (6) The chairperson of the hospital association of Rhode Island (HARI) or his/her designee;
- (7) The director of the city of Providence communications division or his/her designee;
- (8) A representative of the Rhode Island statewide communications network (RISCON) system north zone appointed by the executive director of the Rhode Island emergency management agency;
- (9) A representative of the Rhode Island statewide communications network (RISCON) system south zone appointed by the executive director of the Rhode Island emergency management agency;
- (10) The colonel/superintendent of the Rhode Island state police or his/her designee;

- (11) The director of the Rhode Island department of environmental management or his/her designee;
- (12) The director of the Rhode Island department of transportation or his/her designee;
- (13) The director of the Rhode Island department of corrections or his/her designee;
- (14) The director of the Rhode Island department of information technology or his/her designee;
- (15) The director of the Rhode Island department of health or his/her designee;
- (16) The director of the Rhode Island public transit authority or his/her designee;
- (17) The director of the Rhode Island bridge and turnpike authority or his/her designee;
- (18) The associate director of the Rhode Island E-911 system or his/her designee;
- (19) Chief Sachem of the Narragansett Indian Tribe or his/her designee;

Additionally, two (2) members appointed by the executive director of the Rhode Island emergency management agency.

The State of RI recently created the Office of Digital Excellence (ODE) which has a mission to to employ modern technologies to make government more efficient, accessible, and transparent for Rhode Islanders. RIEMA will include the ODE in the planning process for this program.

- b. Describe the SIGB's authority to make decisions regarding public safety communications and how these decisions are implemented.

Through public law, specifically RI General Laws 30-15-42, and 30-15-43, authority to manage statewide communications for first responders rests with RIEMA. Refer to attachment 1.

- c. Describe how the State will leverage its existing SIGB, or its equivalent, to coordinate the implementation of the Public Safety Broadband Network (PSBN) in the State.

The State intends to hire a Statewide Interoperable Communications Full Time Employee (FTE) who will serve as a liaison between the Executive Director, RIEMA, and a breakout group of the ICC tasked with assisting in the broadband initiative to assist with management. The SWIC will be the lead person responsible for the broadband initiative. The SWIC FTE position has been placed in the RIEMA state budget request with an anticipated hiring date on or around July 1, 2013.

- d. How does the State plan to expand its existing SIGB to include representatives with an understanding of wireless broadband and Long Term Evolution (LTE) technology in order to facilitate its consultations with FirstNet.

The State SWIC will have a background in broadband technology as a prerequisite for employment. The State SWIC will leverage the expertise of broadband technology with the new Office of Digital Excellence, the Division of Information

Technology, and the Broadband Rhode Island program. Additionally, planning funds will be used to hire a contractor with an understanding of broadband and to facilitate management, and outreach for all stakeholders. The length of time afforded to fulfill the grant will provide for training time, so consultation with FirstNet provides for clear yet comprehensive communication.

- e. Does the State currently dedicate sufficient financial resources to adequately support the SIGB? Does the State intend to invest funds received from SLIGP to financially support the SIGB? If so, provide the amount the State expects to request and describe the SIGB functions that these funds will support.

The SIGB for the State exists consisting of the disciplines and meeting requirements defined within public law. Please refer to attachment 1, which depicts RI General Law 30-15-42 – establishment of the ICC within RIEMA, as well as 30-15-43, the Statewide Interoperable Communications System. The State may use some funds for contractor services which would encompass training and outreach to stakeholders. Additionally, some funding may be used in support of logistics, such as stenography fees, associated with public meetings, meeting space, or conference costs. Training costs will also be associated with supporting this initiative. Approximately 25% of the funding may be devoted towards those costs.

- f. Define the role and responsibilities of the ICC in regards to wireless broadband. Please identify the funding mechanism for the support of the governance body:

RI General Law (RIGL) 30-15-43 encompasses detail and is broad enough to capture the language as it relates to wireless broadband. RIGL also mandates the funding mechanism for the support of the governance body.

2. Statewide Communications Interoperability Plan (SCIP)

- a. Are there existing strategic goals and initiatives in your SCIP focused on public safety wireless broadband? If so, what are they?

No; however, the SCIP is a working document and the SWIC will be responsible for new amendments concerning broadband. Those updates will be placed into the document within one year, and prior to this grant award expiring.

- b. Describe how the State has engaged local governments and tribal nations, if applicable, in public safety broadband planning activities that have been completed to date.

RIEMA holds monthly meetings which are considered public events for the interoperable communications. Everyone is invited to these meetings from the response community, including the general public. All have an opportunity to speak.

RIEMA currently works with all first responders at the executive level of the local units of government, and routinely notifies them via email, fax, and social media concerning activities such as this. The meetings all conform to the State of RI open meetings law, notifications are made through master contact lists, which is how RIEMA maintains contact with State and Local units of government.

- c. Does the State intend to use SLIGP funding to support efforts to update the SCIP by adding public safety wireless broadband strategic goals and initiatives? If so, provide the amount the State expects to request and describe the activities that these funds will support.

The State will use some of the funds to amend the SCIP in the interest of this initiative. It is anticipated the cost will be approximately \$35,000 to update the current plan.

- a. What is the plan for updating the Statewide Communication Interoperability Plan? What is the plan to hire a Statewide Interoperability Coordinator (SWIC)?

As mentioned above, RIEMA recently appointed a state SWIC on May 15, 2013. The SWIC is currently working with RIEMA's newly appointed Executive Director to establish a work plan which will include stakeholders and implementation with ongoing review. The SWIC will also be developing and assessing the need for consulting to add as needed with the planning process.

3. State-level Involvement

- a. What is the status of the Statewide Interoperability Coordinator (SWIC) for your State? Does this person work full-time in the SWIC capacity? How will this person be involved with SLIGP?

The State intends to hire a SWIC beginning with the 2014 budget which starts July 1, 2013. The person will be an FTE and will serve as the point person for the SLIGP.

- b. How will the State's Chief Information Officer/Chief Technology Officer be involved with SLIGP and with activities related to the implementation of the nationwide public safety broadband network?

The State's Chief Information Officer is in a consulting basis; however, the IT-CTO will be involved in any technical spec reviews or recommendations to ensure it meets our current standards and fits into our current states environment. In addition, Rhode Island has a new Office of Digital Excellence that will be involved in this work.

- c. What other State-level organizations or agencies will be involved with SLIGP?

The other State-level organizations include the following: the Rhode Island State Police; the Department of Environmental Management; the Department of Transportation; the Department of Corrections; the Division of Information Technology; the Office of Digital Excellence; the Department of Health; and the Department of Administration. RIEMA is open to the addition of other State agencies as well as quasi-public agencies participation in this program as needed. The Governor's Office will also be involved.

- d. What are the specific staffing resources the State requires to effectively implement the consultation process with the First Responder Network Authority (FirstNet) and perform the requirements of SLIGP? If the application requests funding for additional staffing, provide the amount the State expects to request and describe the positions these funds will support.

The State SWIC will be the primary staffing resource for contact with FirstNet. It is anticipated a contractor will be needed for advice concerning the broadband initiative. The State anticipates expending approximately 66% of the funding on consultants and temporary staffing to implement phase I. In addition to the contractor, the state anticipated hiring one temporary person to assist the SWIC in implementation. The position will be used to assist the SWIC solely for the broadband initiative. Duties will include assisting in the initiative, participation in training local units of government, out-reach for the program, gathering statistical data, assisting in preparation in reporting requirements, both program and financial, and other duties which assist the SWIC in the program.

- e. How is the State engaging private industry and secondary users (e.g., utilities)?

To reach the utilities, RIEMA could work with the Division of Public Utilities. Public hearings could be scheduled to reach end users. Private industry partners could be reached through DOIT and ODE.

- f. What are other state agencies roles and responsibilities? How does the state engage private industry partners in wireless broadband?

Their primary roles and responsibilities will be to support the project through coordination and alignment of agency and program needs. As the planning process is developed, each agency, if needed, will be assigned tasks through a work plan to leverage and facilitate objectives. RIEMA also has pre-established relationships with several private partners that are also a part of the ICC and are included as stakeholders. Throughout this process the ICC will leverage these private partnerships to grow the relationship base and influence.

4. Coordination with Local Government Jurisdictions

- a. Describe the local government jurisdictional structure (e.g., municipalities, cities, counties, townships, parishes) located within the boundaries of the State, Commonwealth, Territory, or District applying for a grant. How many of these local jurisdictions exist within the State's boundaries?

RI has 39 cities and towns distributed among five (5) counties. There is no county form of government in RI, the State deals directly with the city or town. RIEMA routinely distributes funding to local and state agencies, as well as non-profit groups to ensure readiness for disasters. The prime audience RIEMA deals with is the first responder community.

- b. Describe how your State will involve these local jurisdictions to ensure there is adequate representation of their interests in the FirstNet consultation and in the planning and governance for SLIGP.

RIEMA has vast experience involving all local units of government, as well as state and federal agencies, by virtue of its tasking as lead coordinating agency for disasters and emergencies within the state of Rhode Island. Additionally, the ICC as defined in public law mandates a robust cross section of stake holders participate in projects involving public safety interoperable communications. Local units of government, State agencies and private/non-profit groups are engaged routinely through RIEMA. We will continue these relationships inviting. Representation is ensured through numerous out-reach efforts as well as conferences and trainings, to include Video Tele Conferencing (VTC) to keep stake holders apprised. Additionally, we also have a Public Information Officer (PIO) who is networked with all our partners and will assist in timely and relative contact with all concerned partners for the program.

- c. Describe past methods the State has used to successfully coordinate state-wide projects or activities with local government jurisdictions.

In 2007, RIEMA received the PSIC grant award which enabled a state wide public safety interoperable communications network. This program consisted of many components to include planning, construction, evaluation, and consultation with subject matter experts. Many meetings both local and state wide were conducted to introduce and refine the system. Many local units of governments as well as non-profits received direct grant awards to acquire equipment. Those agencies or municipalities that had procurement issues were assisted through the state with procurement and installation of equipment, to include training. Planning involved large groups of stake holders to ensure all first responders were represented which led to the person on the ground being able to communicate nearly anywhere in the state if response to a large disaster or emergency was required. To this day, the system is still undergoing a build

out to accommodate new users due to the unparalleled success of the system. The interoperable communications system has been tested in times of disaster declaration where great success has been reported in the dependability and ruggedness of the system.

- d. What have been some of the State's primary challenges when engaging with local jurisdictions? What are some of the strategies that the State will employ to overcome these challenges during implementation of SLIGP?

Some of the challenges of the local jurisdictions have been financial issues where the local unit of government did not have enough cash on hand. Some other issues also involved adequate training for organic personnel within a community. RIEMA would assist locals in acquisition of equipment as needed, and receipt-out the equipment to the local units of government. Additionally RIEMA would provide local and statewide training for municipal personnel to facilitate the system. RIEMA would also provide Subject Matter Experts (SME's) on scene as needed to assist local units of government and others for implementation of the system.

- e. What is the plan for coordination with local government jurisdictions? Please remove references to equipment deployment and implementation:

The State is broken up into five regions for coordination that represent the cities and towns regarding emergency preparedness. These regions will be leveraged through the ICC for relationship development. Within these regions, the ICC will facilitate a strategy and objectives to involve planning, which will be assisted by the SWIC and other stakeholders involved in the ICC.

The already existing frameworks will facilitate coordination and information sharing across stakeholders. The state will use the existing organizational structure, with the addition of the SWIC, to notify and engage all partners in the initiative. As ascribed in the statute, the stakeholders are all actively involved and already have frameworks in place to facilitate information sharing

5. Regional Coordination

- a. Does your State have intrastate regional committees that are involved with public safety communications? If so, please describe their organizational structure and membership and how they provide input to the SIGB.

The state currently participates in at least two regional committees: the FCC Region 19 committee, this committee addresses 700/800 MHz issues. Region 19 encompasses the six New England states. RIEMA also participates in Regional Emergency Communication Coordination Working Group (RECCWG). This is chaired by the Department of Homeland Security (DHS) Office of Emergency Communications. Both groups work at coordinating all communications efforts within the region.

The organizational structure of the Region 19 Committee is chaired by the Department of Public Safety in Connecticut, with working group members from all participating states. The group meets quarterly. The composition of the group is primarily police, fire, and EMS. Additionally other groups are included such as subject matter experts, state and local highway departments and others.

The organizational structure of the RECCWG is the following: the committee is chaired by the DHS Office of Emergency Communications (OEC) director. The group is composed of communications professionals who include first responders, SWIC's, and personnel involved in emergency management, state, local, and private entities

- b. Describe any interstate regional bodies in which your State participates that are involved with public safety communications in the State.

Due to the size of the State of RI, and, the lack of a county form of government, there are no regional bodies within the state. However, the State has stood up a system of interoperable communications which provides for all local units of government to participate and benefit from which has been tested in daily and emergency use with great success. This includes the Narragansett Indian tribe, as well as hospital groups and non-profit organizations.

- c. How does the State plan to engage and leverage these existing regional coordination efforts in the nationwide public safety broadband network planning?

The state will use the existing organizational structure with the addition of the SWIC, to notify, and engage all partners in the initiative.

- d. Please identify, if applicable, any other state, territory, or regional entity with which the State collaborated or coordinated in the development and preparation of this application and describe the nature of that collaboration or coordination.

This application has been prepared internally by the State of RI, primarily by RIEMA with input from the Division of Information Technology, the Office of Digital Excellence, the Broadband Rhode Island program, and Rhode Island National Guard staff members. The SWIC will engage other state, territory, and regional entities as the broadband initiative matures.

- e. What is the plan for interstate wireless broadband coordination?

The Executive Director of RIEMA holds the membership with various regional committees involved with interstate relationships. She will leverage her membership in order to give input on communication methods between states.

6. Tribal Nations

- a. How many federally recognized tribes are located within the State boundaries? (If the answer is zero, please skip to question #7.) Information on federally recognized tribes may be located at the Department of Interior, Bureau of Indian Affairs website: <http://www.bia.gov/WhoWeAre/BIA/OIS/TribalGovernmentServices/TribalDirectory/index.htm>

There is one federally recognized tribe in RI: the Narragansett Indian tribe.

- b. Describe how the State will involve the tribal nations to ensure there is adequate representation of their interests in the FirstNet consultation and in the planning/governance for the grant program. Does the State have a process for consulting with the tribes located within State boundaries? If so, please provide a description of that process.

State coordination with the tribe will be done through RIEMA. As previously stated, the tribe has a seat prescribed in law on the ICC. The SWIC will be tasked with coordinating and validating all stakeholders has been consulted with regarding implementation of the program. The Executive Director of RIEMA will hold the SWIC accountable for proper inclusion of the Narragansett Indian Tribe. The process for inclusion has two prongs; Outreach by RIEMA to the stakeholders, and, data supplied by the various representatives generally dealt with on a daily basis in all our programs. These include law enforcement, fire, emergency medical service, Emergency Managers within the communities, elected officials, as well as other personnel who work in the public and private sector. RIEMA employs social media and other means during outreach for these programs to ensure the message reaches all involved.

- c. Describe past methods the State has used to successfully coordinate with tribal nations.

The State has had previous successful grant programs with the Narragansett tribe involving disaster response and recovery, to include a grant involving tribal public safety interoperable communications. We intend on providing service and support as needed to include the tribe in the program. As previously stated, the tribe has a position described in the law enacting the ICC.

- d. Are there tribal representatives who regularly attend your SIGB meetings? If so, please identify the tribes represented.

The Narragansett Indians have an appointment to the ICC as outlined above. As outlined above, the tribe's chief of police regularly attends the ICC meetings. Please refer to attachment 1.

- e. What have been some of the State's primary challenges when engaging with tribal nations? What are some of the strategies that the State will employ to overcome these challenges during implementation of SLIGP?

Some of the State's primary challenges in engaging the tribal nation include poor contact or communication with the tribe in use or availability of programs to assist in emergency preparedness. The State took steps to ensure transparent communication and access by appointing Chief Sachem, or his/her designee to the ICC. The state has assisted the Narragansett's with grant programs such as the PSIC grant.

- f. What is the plan for engaging with the tribe on wireless broadband?

The input of the Narragansett Indian Tribe input will be used to identify strategies and objectives for communication and relationship building.

7. Rural Coverage

- a. Please classify your local jurisdictions into rural and non-rural areas and identify the criteria used in making these rural and non-rural determinations.

Since the landmass of Rhode Island is fairly small, we can classify the rural and non-rural areas from the point-of-view of the state as a single jurisdiction. Using federal classifications of rural vs. non-rural, there are 25,181 census blocks in the State of Rhode Island and 3,328 (13%) are rural. In general, the rural census blocks are on the western side of the state (and also on Block Island and in Little Compton).

b. Please describe the coverage area and availability of broadband service and LTE technology in the rural areas of the State as defined in response to 7.a.

Coverage in those census blocks breaks down as follows:

- 3321 census blocks of 3328 are covered by terrestrial mobile wireless (almost 100%)
- 3328 census blocks of 3328 are covered by satellite (100%)
- 3182 census blocks of 3328 are covered by wire line broadband (94%)
- 1201 census blocks of 3328 are covered by LTE (36%)

LTE is generally concentrated on the eastern side of the state and the rural census blocks that are covered tend to be towards the middle of the state on the edge of the LTE coverage (there are exceptions).

c. Please describe how the State plans to prioritize the grant activities to ensure coverage in, and participation by, rural areas. Please include specific plans, milestones, and metrics to demonstrate how you will achieve these requirements.

As mentioned elsewhere in this document, the public safety community is integrated through the Interoperable Communications Committee (ICC) – regardless of rural or urban designations. Most recently, this group was the central organizing committee for the statewide interoperable 800MHz radio network – covering both urban and rural communities.

With regards to the 700MHz public safety network grant activities, there are two major focuses for the rural areas. First and foremost is understanding the wireless coverage models for those areas as well as the costs associated with building out infrastructure there. Appropriate funds will be designated during the planning phase to make sure an accurate picture of coverage is developed including the challenges associated with these areas.

d. For the purposes of this application, which criteria will be used to define rural? What is the plan to prioritize rural coverage and participation? Please note that coverage planning is not an allocable cost and should be removed.

According to the Health Resources and Services Administration (HRSA) Office of Rural Health Policy, only the town of Westerly meets HRSA criteria for a rural community. Briefly, HRSA defines the following areas as rural: all counties designated non-metro by the US Census, all census tracts with RUCA codes 4-10 in metropolitan counties, or certain Census tracts (those with more than 400 square miles, fewer than 35 people per square mile, and RUCA codes 2-3).

Currently Rhode Island has a 98% broadband coverage rate due to its size. Of the 98%, Westerly falls within the 98% coverage, and going forward the remaining 2% will be prioritized for coverage. This can be done as policy and processes are developed through stakeholder input, as well as through public outreach, various ICC meetings and think groups, and education.

8. Existing Infrastructure

- a. What, if any, databases exist that collect data on government-owned wireless and/or communications infrastructure for the state, local, and/or tribal governments?

The state does not possess any data bases that collect data on government-owned wireless communications infrastructure.

- b. If these databases exist, what is the process for updating them and how often do these updates occur?

Not applicable.

9. Existing Government-Owned Networks

- a. Describe how you plan to identify any hardening, security, reliability, or resiliency requirements that are currently required for existing government-owned networks within the State, including those networks at the local and tribal governments.

The State is currently engaged in putting video with motion activated cameras at the RI State communications sites to target harden and prevent disruption. The State continuously maintains the tower sites, along with other infrastructure by monitoring and replacing failing equipment to ensure reliability. The same process and personnel will be used for the broadband initiative.

- b. Describe how you plan to identify any existing contractual requirements regarding hardening, security, reliability, or resiliency for commercial carriers providing wireless data services within the State, including those at the local and tribal governments.

The SWIC will engage the local and tribal governments for this information.

Engagement is done through email, public notification, and other social media mediums, as well as through the ICC. A survey will be used to develop a data base for this information.

10. Network Users

- a. Describe how you plan to identify the potential users of the nationwide public safety broadband network within the State, including at the local and tribal governments.

Through RIEMA and the ICC, with input from state, local, and tribal government and other private, external partners, potential users will be identified for the broadband safety network.

- b. What is the plan to identify potential network users?

As the SWIC updates the SCIP, there will be an outline and process to incorporate new users. The inventory of the fleet map will be reconciled and SOP's will be updated. In addition, survey's and community outreach will be used to determine a larger strategy for the ICC.

11. Education and Outreach

- a. Describe how you plan to educate and train multi-discipline, public safety and other government users of the nationwide public safety broadband network at your State, local, and tribal levels.

The State intends to hire a sub-contractor to work in conjunction with the SWIC to advertise, and train all partners. When implementing the state wide communications network, trainings, seminars, train the trainer conference were held to disseminate information and train. Additionally, DVD's were created to disseminate as follow up to training. RIEMA personnel also responded down to the local level and did trainings such as roll call training. The State has a large Video Teleconference system which will also be used within the greater Providence area to disseminate information. Finally, local officials can always reach back to RIEMA through the website, social media, and email to inquire about training or other matters.

As with Tribal Nations and new network users, the SWIC and broadband partners throughout State government will stress and identify new forms of outreach and education. Law officials can always reach back to RIEMA through the website, social media, and email to inquire about training and other matters. RIEMA can also facilitate stakeholder engagement with the press, if requested and if necessary.

12. Memorandum of Agreements

- a. Describe any specific obstacles, laws, and/or legal issues that will likely impede your ability to participate fully in the nationwide public safety broadband network or in SLIGP.

At this time we do not anticipate any laws or legal issues that would impede our ability to participate in the nationwide public safety broadband network, or SLIGP.

13. Tools

- a. What are some of the software tools that the State has used and could apply to the planning and data collection activities associated with this program?

The state presently uses the Emergency Management software, webEOC. This is available to all partners in emergency preparedness. Information can be disseminated, and collected, through this system.

- b. Is the State aware of additional tools that could be useful for implementing allowable grant activities?

The state has actively participated in the NTIA-funded State Broadband Initiative in a program called Broadband Rhode Island (BBRI) and has developed several tools that we will be able to leverage in the planning and execution of the Public Safety Broadband Network project. These include our statewide broadband coverage database and maps, as well as the data collection processes. In that regard, we have had a specific focus on understanding the locations and broadband coverage for the state's community anchor institutions.

We envision leveraging and expanding these resources to include state-owned broadband assets and specific state networks not covered in the public maps.

Further, BBRI has developed several assets with regards to education and outreach that can be leveraged to help train both public safety personnel as well as the general public about the overall plan and program specifics.

14. Phase Two Funding

- a. Describe the activities that you expect to undertake with the Phase 2 funding when it is made available to the State, Territory, or District.

A beta site will be established to evaluate effectiveness, then subsequent application statewide. We anticipate using the ICC most for assistance in determining the best order of stand-up for the communities in order to provide a successful approach. In summary,

those activities include an initial testing, using appropriate hard ware and software, evaluation of the effectiveness, adjustments to the program as necessary and then statewide usage .

- c. Implementation is not an allowable cost under SLIGP. Remove all references to implementation.

With the document 14A- Phase 2 Funding, the reference of implementation is being removed and will develop needs dependent on those sites. We are currently accessing sites and developing additional sites to assess what and where the needs are.

15. Other

- a. Please list any consultants, vendors, or other entity that assisted in the preparation of this application.

The Rhode Island Economic Development Corporation Broadband Rhode Island program staff along greatly assisted in preparing, review and submission of this application.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0660-0038, expiring 7/31/2013. Public reporting burden for this collection of information is estimated to average 10 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Michael E. Dame, Director, State and Local Implementation Grant Program, Office of Public Safety Communications, National Telecommunications and Information Administration, U.S. Department of Commerce (DOC), 1401 Constitution Avenue, N.W., HCHB, Room 7324, Washington, D.C. 20230.

FY 2013 Rhode Island SLIGP Detailed Budget Spreadsheet

Category	Detailed Description of Budget (for full grant period)			Breakdown of Costs	
	Quantity	Unit Cost	Total Cost	Non-Federal	Federal
a. Personnel					
SWIC The SWIC will spend approximately 15.36466% of the time on SLIGP grant activities for 3 years. The SWIC's annual salary is \$100,000. \$100,000 x approximately 15.36466% = \$15,364.66)	3 years	\$15,365	\$46,094	\$46,094	\$0
Total Personnel			\$46,094	\$46,094	\$0
b. Fringe Benefits					
SWIC Fringe is calculated at 28% of salary, for the portion of time spent on SLIGP activities	3 year	\$4,302	\$12,906	\$12,906	\$0
Total Fringe Benefits			\$12,906	\$12,906	\$0
c. Travel					
Mileage for Working Group Meetings 15 individuals traveling 25 miles roundtrip for 3 meetings; cost per mile is based on state mileage rates	10125	\$0.56	\$5,670	\$1,134	\$4,536
Travel for Regional and National Meetings with FirstNet 5 individuals will attend 3 meetings per year. Airfare is estimated at \$400/ticket; hotel is estimated at \$157 per night for two night; per diem is estimated at \$30/day for two days, for a total of \$774/trip	3	\$11,610	\$34,830	\$6,966	\$27,864
Total Travel			\$40,500	\$8,100	\$32,400
d. Equipment					
N/A	0	\$0	\$0	\$0	
Total Equipment			\$0	\$0	\$0
e. Supplies					
Printer	1	\$400.00	\$400	\$80	\$320
Desk	1	\$3,000	\$3,000	\$600	\$2,400
Chair	1	\$500	\$500	\$100	\$400
Light Fixtures	1	\$500	\$500	\$100	\$400
Office Supplies budgeted at \$50/month for 3 years	3	\$600	\$1,800	\$360	\$1,440
Laptops to be utilized by SWIC	1	\$3,000	\$3,000	\$600	\$2,400
Total Supplies			\$9,200	\$1,840	\$7,360
f. Contractual					
	Quantity	Unit Cost	Total Cost	Non-Federal	Federal

Contractor Services at \$175 per hour to outsource planning function.	3320	\$175	\$581,000	\$101,816	\$479,184
Temp Services to support SWIC with administrative duties.	1600	\$25	\$40,000	\$0	\$40,000
SCIP Modifications to create SOP language \$78.0055 per hour X 1565 hours equals \$122,078.61	1565	\$78	\$122,079	\$0	\$122,079
Total Contractual			\$ 743,079	\$101,816	\$641,263
g. Construction	Quantity	Unit Cost	Total Cost	Non-Federal	Federal
N/A			\$0		
Total Construction			\$0	\$0	\$0
h. Other	Quantity	Unit Cost	Total Cost	Non-Federal	Federal
\$500 Room rental for for 9 meetings per year.	27	\$500	\$13,500	\$2,700	\$ 10,800
Printer maintenance / copier usage fees \$1,850 per year	3	\$1,850.00	\$5,550	1110	\$4,440
Legal fees at \$200 per hour	360	\$200.00	\$72,000	\$14,400	\$ 57,600.00
Total Other			\$91,050	\$18,210	\$ 72,840
Total Direct Charges			\$942,829	\$188,966	\$753,863
I. Indirect Costs	Quantity	Unit Cost	Total Cost	Non-Federal	Federal
Indirect Costs 3.39% of salary and fringe (\$46,094 + \$12,906) \$59,000 x 3.39% = \$2,000.10.	1	3.39%	\$2,000		\$2,000
Total Indirect			\$2,000	\$0	\$2,000
TOTALS			\$944,829	\$188,966	\$755,863

FFY 2013 Rhode Island Emergency Management Agency SLIGP

Budget Narrative:

Personnel

Provide additional detail regarding how the personnel costs were calculated. Provide additional detail regarding the SLIGP activities for which the SWIC will be responsible.

- Amount: \$46,094 (\$46,094 Non-Federal)
- - o Personnel will be associated with ADIL an contractor that has a set pay scale for an individual being a program manager. This position will be responsible for revising and coordinating with contractors to revise the SCIP as well as assist the ICC in determining strategies, goals and objectives to carry out this program. At this time this individual is the only one on an annual basis to be used for personnel costs.

Fringe

Indicate the fringe rate that is applied to the personnel costs:

- Amount: \$12,906 (\$12,906 Non-Federal)
- - o 28%

Travel

Provide additional detail regarding how the travel costs were calculated. Conference registration fees are considered an "other" expense and should be listed in the "Other" cost category:

- Amount: \$40,500 (\$8,100 Non-Federal) (\$32,400-Federal)
- - o Year 1: \$13,500, Year 2: \$13,500, Year 3: \$13,500
 - o Per year: \$1,890 for 15 people to attend 9 working group meetings at .56 per mile. This will allow the ability to participate in state, local, and other related stakeholder meetings including planning cycles for SOP's preparation meetings, ICC work group meetings, sub-task working group and developing strategies.
 - o Per year: \$34,830 for 5 people to attend 3 Regional and National Meetings with FirstNet. Airfare is estimated at \$400 per ticket. Hotel estimated \$157 per night for two nights. Per diem is estimated at \$30 per day for two days.

Equipment

Items listed in the "Equipment" cost category should meet the definition of equipment as provided in the cost principles (e.g., have a unit cost greater than \$5,000). Please list any items with a unit cost of less than \$5,000 in the "Supplies" cost category. Clarify what is

meant by “technical equipment needed associated with planning and implementation to include scientific devices used in measurement and estimate.” As previously noted, SLIGP’s purpose is planning for a nationwide public safety broadband network. As such, implementation is not an allowable expense. Remove any references to implementation from the Supplemental Application Narrative, budget narrative, and detailed budget spreadsheet.

- Amount: \$0

Supplies

Provide additional detail regarding how the supply costs were calculated:

- Amount: \$ 9,200 (Non-Federal -\$1,840) (Federal - \$7,360)
 - o Year 1: \$8,000, Year 2: \$600, Year 3: \$600
 - o Year 1 includes printer, desk, chair, light fixtures and laptop to be utilized by the SWIC. Paper supplies, folders, pen’s, office supplies: \$600 per year.

Contractual

Please provide additional detail regarding how the contractual costs were calculated:

- Amount: \$743,079 (Non-Federal - \$101,816) (Federal - \$641,263)
 - o Year 1: \$241,954 (\$119,875 per \$175 an hour for 685 hours for contractor services to outsource planning functions and \$122,079 per \$78 an hour for 1,565 hours for SCIP modifications to create SOP language.)
 - o Year 2: \$236,125 (\$216,125 per \$175 an hour for 1,235 hours for contractor services to outsource planning functions and \$20,000 per temp services for \$25 an hour for 800 hours to support the SWIC with administrative duties.)
 - o Year 3: \$265,000 (\$245,000 per \$175 an hour for 1400 hours for contractor services to outsource planning functions and \$20,000 per temp services for \$25 an hour for 800 hours to support SWIC with administrative duties).

Other

Please provide additional detail regarding how the other costs were calculated. Clarify whether the attorney services will be provided by State employees or contractor personnel. If the attorneys are State employees, include under the “Personnel” cost category. If the attorneys are contractors, please list this item in the “Contractual” cost category. Provide additional detail regarding the training costs: what is the topic of training, who will be trained, how many will be trained?

- Amount: \$91,050 (Non-Federal - \$18,210) (Federal - \$72,840)
 - o Year 1: \$30,350, Year 2: \$30,350, Year 3: \$30,350
 - o For each year \$4,500 for meeting site cost for formal presentations to stakeholders for ICC. This includes a room rental fee of \$500 for meetings at 9 meetings per year for 3 years. Printer maintenance fees of \$1,850 per year for 3 years. Legal

fees \$72,000 at \$200 per hour for 360 hours over the period of 3 years for legal counsel and advice for ICC.

Indirect

Provide additional detail regarding how the indirect costs were calculated:

- Amount: \$2,000 (Federal)
 - o An approved Indirect Cost Agreement allows RIEMA to apply a 3.39 percent of salaries and fringe to indirect costs. The \$2,000 is calculated by multiplying total salaries and fringe of \$59,000 x 3.39 percent.

Budget Summary:

Personnel: \$46,094
Fringe: \$12,906
Travel: \$40,500
Equipment: \$0
Supplies: \$9,200
Contract: \$743,079
Construction: \$0
Other: \$91,050
Indirect: \$2,000

Total: 944,829

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee- 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

<p>* SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL</p> <p>Richard Jones</p>	<p>* TITLE</p> <p>Grants Manager</p>
<p>* APPLICANT ORGANIZATION</p> <p>State of Rhode Island Emergency Management Agency</p>	<p>* DATE SUBMITTED</p> <p>03/19/2013</p>

Standard Form 424B (Rev. 7-97) Back

Applicants should also review the instructions for certification included in the regulations before completing this form. Signature on this form provides for compliance with certification requirements under 15 CFR Part 28, 'New Restrictions on Lobbying.' The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Commerce determines to award the covered transaction, grant, or cooperative agreement.

LOBBYING

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 15 CFR Part 28, for persons entering into a grant, cooperative agreement or contract over \$100,000 or a loan or loan guarantee over \$150,000 as defined at 15 CFR Part 28, Sections 28.105 and 28.110, the applicant certifies that to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, 'Disclosure Form to Report Lobbying,' in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure occurring on or before October 23, 1996, and of not less than \$11,000 and not more than \$110,000 for each such failure occurring after October 23, 1996.

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above applicable certification.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

In any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, 'Disclosure Form to Report Lobbying,' in accordance with its instructions.

Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure occurring on or before October 23, 1996, and of not less than \$11,000 and not more than \$110,000 for each such failure occurring after October 23, 1996.

* NAME OF APPLICANT

State of Rhode Island Emergency Management Agency

* AWARD NUMBER

* PROJECT NAME

RI State wide broadband planning grant

Prefix:

Mr.

* First Name:

Richard

Middle Name:

* Last Name:

Jones

Suffix:

* Title: Grants Manager

* SIGNATURE:

Richard Jones

* DATE:

03/19/2013



State of Rhode Island and Providence Plantations

State House, Room 224
Providence, Rhode Island 02903
401-222-2080

Lincoln D. Chafee
Governor

March 18, 2013

Mr. Samuel Ginn
Chairman, First Responder Network Authority
U.S. Department of Commerce
1401 Constitution Ave., NW
Washington, D.C. 20230

Dear Mr. Ginn,

I am writing to designate the Rhode Island Emergency Management Agency (RIEMA) as the governmental body responsible for overseeing implementation of the nationwide interoperable public safety broadband network and the State and Local Implementation Grant Program (SLIGP).

The Executive Director position at RIEMA is currently vacant; however, we will notify your agency when we have filled the position. In the interim, the agency point of contact will be Deputy Director Edward Johnson. He can be contacted at 401-462-7131, or edward.w.johnson1.nfg@mail.mil.

Thank you for your assistance in this matter.

Sincerely,

A handwritten signature in black ink, appearing to read "Lincoln D. Chafee".

Lincoln D. Chafee
Governor