OMB Number: 4040-0004

Expiration I	Date:	10/31/2019
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Application for	Federal Assista	ince SF-424		
* 1. Type of Submiss		* 2. Type of Application: New Continuation Revision	* If Revision, select appro	opriate letter(s):
* 3. Date Received: 02/27/2018		4. Applicant Identifier:		
5a. Federal Entity Id	lentifier:		5b. Federal Award Id	lentifier:
State Use Only:				
6. Date Received by	/ State:	7. State Application	n Identifier:	
8. APPLICANT INF	ORMATION:			
* a. Legal Name:	Rhode Island Em	ergency Management A	gency	
* b. Employer/Taxpa 05-6000522	ayer Identification Nur	nber (EIN/TIN):	* c. Organizational D 7835065170000	UNS:
d. Address:				
* Street1: Street2: * City:				
County/Parish:	Cranston]
* State:			RI: Rhode I	sland
Province:				
* Country: * Zip / Postal Code:	02920-3003		USA: UNITED	STATES
e. Organizational i	Unit:			
Department Name:			Division Name:	· · · · · · · · · · · · · · · · · · ·
f. Name and conta	act information of p	erson to be contacted on n	atters involving this a	pplication:
Prefix: <u>Mr</u> Middle Name:	•	* First Nam	He: Stuart	
* Last Name: Fr	eiman			
Title: Project M	lanager			
Organizational Affilia	ation:			
* Telephone Numbe	r: 401-462-7142		Fax Num	ber:
*Email: stuart.	freiman@ema.ri	.gov		
-				

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type:	
A: State Government	
Type of Applicant 2: Select Applicant Type:	
Type of Applicant 3: Select Applicant Type:	_ ·
* Other (specify):	
* 10. Name of Federal Agency:	
National Telecommunications and Information Administration	
11. Catalog of Federal Domestic Assistance Number:	
11.549	
CFDA Title:	
State and Local Implementation Grant Program	
* 12. Funding Opportunity Number:	
2018-NTIA-SLIGP-2	
* Title:	
State and Local Implementation Grant Program (SLIGP) 2.0	
13. Competition Identification Number:	
Title:	
14. Areas Affected by Project (Cities, Counties, States, etc.):	
Add Attachment Delete Attachment View Attachment	
* 15. Descriptive Title of Applicant's Project:	
State of Rhode Island NTIA SLIGP 2.0	
Attach supporting documents as specified in agency instructions.	
Add Attachments Delete Attachments View Attachments	

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1

Application for Federal A	Assistance SF-424				
16. Congressional Districts C	f:				
* a. Applicant RI-001			* b. Program/Project []	RI-001	
Attach an additional list of Progra	am/Project Congressional Districts if ne	eeded.			
	Ad	Id Attachment	Delete Attachment	View Attachment	
17. Proposed Project:				р р	
* a. Start Date: 03/01/2018			* b. End Date:	02/28/2020	
18. Estimated Funding (\$):					
* a. Federal	627,283.00		08		
* b. Applicant	0.00				
* c. State	156,990.00				
* d. Local	0.00				
* e. Other	0.00				
* f. Program Income	0.00				
* g. TOTAL	784,273.00				20
* 19. Is Application Subject to	Review By State Under Executive	e Order 12372 Proces	ss?		-1
a. This application was m	ade available to the State under the	Executive Order 12:	372 Process for revie	w on 12/20/2017	τ.
b. Program is subject to E	.O. 12372 but has not been selecte	ed by the State for rev	view.		
C. Program is not covered	by E.O. 12372.				
* 20. Is the Applicant Delinqu	ent On Any Federal Debt? (If "Yes	," provide explanati	ion in attachment.)		ē 👘
🗌 Yes 🛛 🕅 No					
If "Yes", provide explanation	and attach			pro	
	Ac	dd Attachment	Delete Attachment	View Attachment	
herein are true, complete a comply with any resulting te subject me to criminal, civil,	21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)				
** I AGREE					
** The list of certifications and specific instructions.	assurances, or an internet site where	e you may obtain this	s list, is contained in th	ne announcement or ager	ncy
Authorized Representative:					2
Prefix:	* First Nan	ne: Peter			
Middle Name:					
* Last Name: Gaynor	22				
Suffix:					
* Title: Director, Rho	de Island Emergency Manage	ement A			
* Telephone Number: 401-46	2-7336	Fax N	lumber:		
* Email: peter.gaynor@ema.ri.gov					
* Signature of Authorized Repre	sentative: Peter Gaynor	102	>	* Date Signed:	02/27/2018 3/8/2018

š.

SECTION B - BUDGET CATEGORIES

6. Object Class Categories				GRANT PROGRAM, I	FUN	NCTION OR ACTIVITY				Total
	(1))	(2))	(3)	(4)		(5)
		State of RI NTIA SLIGP 2.0								
a. Personnel	\$	174,855.00	\$		 \$		\$		\$	174,855.00
h Evinge Penefite	+	83,008.00			1					83,008.00
b. Fringe Benefits	+	83,008.00								
c. Travel		10,800.00								10,800.00
d. Equipment		0.00							[0.00
e. Supplies		11,500.00							[11,500.00
f. Contractual		347,120.00		133,272.00					[480,392.00
g. Construction		0.00]				[0.00
h. Other		0.00		23,718.00]				[23,718.00
i. Total Direct Charges (sum of 6a-6h)		627,283.00		156,990.00					\$	784,273.00
j. Indirect Charges		0.00							\$	0.00
k. TOTALS (sum of 6i and 6j)	\$	627,283.00	\$	156,990.00	\$		\$		\$	784,273.00
7. Program Income	\$		\$	horized for Local Reg	\$		\$		\$	ard Form 424A (Rev. 7- 97)

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BUDGET INFORMATION - Non-Construction Programs

Grant Program Catalog of Federal **Estimated Unobligated Funds** New or Revised Budget Function or Domestic Assistance Activity Number Federal Non-Federal Federal Non-Federal Total (a) (c) (d) (f) (g) (b) (e) 1. State of RI NTIA 11.549 \$ 627,283.00 \$ \$ \$ 156,990.00 784,273.00 SLIGP 2.0 2. 3. 4. 5. \$ \$ Totals \$ \$ \$ 627,283.00 156,990.00 784,273.00

SECTION A - BUDGET SUMMARY

Standard Form 424A (Rev. 7- 97) Prescribed by OMB (Circular A -102) Page 1

		SECTION	C -	NON-FEDERAL RESO	URO	CES				
	(a) Grant Program			(b) Applicant		(c) State	(d) Other Sources		(e)TOTALS
8.	State of RI NTIA SLIGP 2.0		\$		\$	156,990.00	\$		\$	156,990.00
9.										
10.										
11.										
12.	TOTAL (sum of lines 8-11)		\$		\$	156,990.00	\$		\$	156,990.00
		SECTION	D -	FORECASTED CASH	NEE	EDS				
		Total for 1st Year		1st Quarter		2nd Quarter		3rd Quarter		4th Quarter
13.	Federal	\$ 313,642.00	\$	78,411.00	\$_	78,410.00	\$	78,411.00	\$	78,410.00
14.	Non-Federal	\$ 78,496.00		19,624.00		19,624.00		19,624.00		19,624.00
15.	TOTAL (sum of lines 13 and 14)	\$ 392,138.00	\$	98,035.00	\$	98,034.00	\$	98,035.00	\$	98,034.00
	SECTION E - BUD	GET ESTIMATES OF FE	DE	RAL FUNDS NEEDED	FOF	R BALANCE OF THE	PR			
	(a) Grant Program				-	FUTURE FUNDING	PEI		1	
	[(b)First	<u> </u>	(c) Second		(d) Third	-	(e) Fourth
16.	State of RI NTIA SLIGP 2.0		\$	313,642.00	\$	313,641.00	\$		\$	
17.										
18.										
19.										
20. TOTAL (sum of lines 16 - 19)		\$	313,642.00	\$	313,641.00	\$		\$		
	· · · · · · · · · · · · · · · · · · ·	SECTION F	- 0	THER BUDGET INFOR		TION			1	
21.	21. Direct Charges: 22. Indirect Charges:									
				1						

Rhode Island Emergency Management Agency (RIEMA) SLIGP 2.0 (2018-NTIA-SLIGP-2) Grant Narrative December 26, 2017

I. Activities that will be conducted using SLIGP 2.0 funds

The State of Rhode Island officially accepted the FirstNet/AT&T State Plan (opted-in) on December 20, 2017. With this decision, planning for the National Public Safety Broadband Network (NPSBN) in the State of Rhode Island will turn from development and evaluation of the State Plan to implementation of the network and the development of statewide network policies & procedures.

To that end, the State under the leadership of RIEMA, will maintain a program office that continues to coordinate with both FirstNet and AT&T as well as State leadership and public safety officials. In addition to communications and planning, the program office will assure that SLIGP 2.0 grant commitments and deliverables are met, properly accounted, and reported.

It is hard to predict actual quarterly deliverables as the State has yet to receive a build/implementation schedule from FirstNet. At the highest level, the program office will be responsible for:

- 1) Ongoing coordination with NTIA
 - a. Continued quarterly grant progress and financial reports
 - b. Continued quarterly program office meetings
 - c. Grant closeout activities
- 2) Ongoing coordination with FirstNet/AT&T
 - a. Work with FirstNet/AT&T to identify coverage gaps.
 - b. Respond to inquiries and data requests from FirstNet/AT&T.
 - c. Assist FirstNet/AT&T to gain inclusion on applicable statewide contract vehicles.
 - d. Convene stakeholder outreach events as requested by FirstNet.
- 3) Ongoing coordination with State public safety officials & leadership
 - a. Identify all the potential primary and secondary users of the network.
 - b. Prepare for emergency communications technology transitions
 - i. Create plan for broadband data implementation.
 - ii. Develop policies and agreements to increase sharing of data between existing public safety systems across various agencies within the State
 - iii. Identify and plan for the transition of public safety applications, software, and databases.
 - c. Monthly attendance and reporting to the State Interoperable Communications Committee (ICC)

II. Plan to Resource and Conduct SLIGP Activities

The FirstNet Program Office will be staffed as follows:

Peter Gaynor	Director, RIEMA	Grant Recipient and Coordinator
Tom Guthlein	Operations Chief, RIEMA	Program Officer
Stuart Freiman	Project Mgr., RIEMA	Program Manager
Michael Previty	CIMS Coord., RIEMA	Public Safety Software
Chris McGrath	Ops Support Chief, RIEMA	Broadband Technology Transition
Catherine Avila	CFO, RIEMA	Grant Finance and Accounting

Aside from Michael Previty and Chris McGrath, this team was responsible for managing and executing the SLIGP 1.0 grant for the State and guided the entire multi-year decision process. As such it has extensive FirstNet knowledge and experience, and have developed and will maintain the relationships and governance necessary to manage the implementation of the network. Other staff may be made available as needed.

As detailed in the budget narrative, the majority of grant proceeds will be used to pay for program office staff, allocated appropriately based on project involvement. Funds have also been allocated for two contracted projects:

- Development of a Technology Transition Plan
 - Consulting firm will create a transition plan for the public safety community. Plan will include procedures for broadband data implementation, policies, and agreements to increase sharing of data between existing public safety systems within the State, and identify and plan for the transition of public safety applications, software, and databases.
- Data collection project
 - This project has yet to be defined, but anticipates that FirstNet will request some data collection effort from the State that requires the use of a consultant to accomplish.

A small amount of proceeds will be designated for requisite travel, supplies, and miscellaneous costs necessary to execute grant deliverables.

We expect that a significant portion of the required match will come from donated indirect costs assigned to Stuart Freiman as an employee of the Rhode Island Commerce Corporation. Additional match will be provided through donated stakeholder time. Details are provided in the Budget Narrative.

III. Objectives and Outcomes

<u>Objective 1</u>: Create a FirstNet Program Office that provides a single-point-of-focus for FirstNet planning, information, and decision-making.

Outcome:	Well-defined governance and information flow will facilitate efficient network implementation.
Objective 2:	Analyze and identify network coverage gaps.
Outcome:	Network coverage meets Rhode Island public safety objectives
Objective 3:	Assure that all qualified entities in the State are familiar with and offered FirstNet services
Outcome:	Increased likelihood that network affords ubiquitous subscribership across the State
Objective 4:	Develop Communications Technology Transition Plan
Outcome:	Maximize FirstNet usage and potential offered by new technology and services

Rhode Island Emergency Management Agency (RIEMA) SLIGP 2.0 (2018-NTIA-SLIGP-2) Budget Narrative Amended 2/27/18

TOTALS

	Total Award	Increment 1	Increment 2 NTE
Federal:	\$627,283	\$249,669	\$377,614
Non-Federal:	\$156,990	\$61,836	\$95,154
Total:	\$784,273	\$311,505	\$472,768

Personnel: Total Amount \$174,856 (Federal \$174,856, Non-Federal \$0)

	Increment 1	Increment 2 NTE
Federal:	\$65,571	\$109,285
Non-Federal:	\$0	\$0
Increment Total:	\$65,571	\$109,285

See the Detailed Budget Spreadsheet for calculations

- Program Officer, Tom Guthlein (Federal \$140,021, Non-Federal \$0)
 - Oversight of the entire broadband program within the state. Serves as the State representative for the program and keeps executives within the State informed on the program. Will spend 75% of his time on SLIGP 2.0 Grant Activities for 2 years. Annual salary is \$93,347.
- Critical Incident Management Software (CIMS) Coordinator, Michael Previty (Federal \$8,852, Non-Federal \$0)
 - CIMS Coordinator will be involved in the project to identify and plan for the transition of public safety applications, software, and databases. Will spend 10% of his time on SLIGP 2.0 Grant Activities from Q2 thru Q8 of grant. Annual salary is \$50,580.
- Operations Support Branch Chief, Chris McGrath (Federal \$14,467, Non-Federal \$0)
 - Ops Support Chief will be involved in creating standard operating procedures (SOPs) for broadband data implementation as well as developing new data sharing policies. Will spend 10% of his time on SLIGP 2.0 Grant Activities from Q2 thru Q8 of grant. Annual salary is \$82,669.
- Finance, Catherine Avila (Federal \$11,516, Non-Federal \$0):
 - Manage the project's budget and financial reporting, ensure grant compliance, and daily accounting. Will spend 6% of time on SLIGP 2.0 grant activities for 2 years. The annual salary is \$95,963.

Fringe: Total Amount \$83,008 (Federal \$83,008, Non-Federal \$0)

	Increment 1	Increment 2 NTE
Federal:	\$31,128	\$51,880
Non-Federal:	\$0	\$0
Increment Total:	\$31,128	\$51,880

The calculations in this section are based on a standard State rate that has a couple of variations as indicated below. The numbers for each employee are actual calculations based on these categories:

Retirement	25.75%
FICA	7.65%
Retiree Health	5.98%
Fringe	4.20%
Accrual	.4%
Health	Varies based on salary, contribution & plan elections
Defined Contribution	Varies by employee

See the Detailed Budget Spreadsheet for calculations

- Program Officer, Tom Guthlein (Federal \$63,915, Non-Federal \$0)
 - Total fringe is \$42,610 per year and grant portion is calculated at 75% of total for the portion of time spent on SLIGP 2.0 activities. \$42,610 * 2 *.75 = \$63,915
- Critical Incident Management Software (CIMS) Coordinator, Michael Previty (Federal \$3,893, Non-Federal \$0)
 - Total fringe is \$22,247 per year and grant portion is calculated at 10% of total for the portion of time spent on SLIGP 2.0 activities. \$22,247 * 1.75 *.10 = \$3,893
- Operations Support Branch Chief, Chris McGrath (Federal \$9,181, Non-Federal \$0)
 - Total fringe is \$52,465 per year and grant portion is calculated at 10% of total for the portion of time spent on SLIGP 2.0 activities. \$52,465 * 1.75 *.10 = \$9,181
- Finance, (Federal \$6,019, Non-Federal \$0):
 - Total fringe is \$50,158 per year and grant portion is calculated at 6% of total for the portion of time spent on SLIGP 2.0 activities. \$50,158 * 2 * .06 = \$6,019

Travel: Total Amount \$10,800 (Federal \$10,800, Non-Federal \$0)

	Increment 1	Increment 2 NTE
Federal:	\$4,050	\$6,750
Non-Federal:	\$0	\$0
Increment Total:	\$4,050	\$6,750

See the Detailed Budget Spreadsheet for calculations

 Out of State Employee Travel (Federal \$10,800, Non-Federal \$0): Out of state travel for regional and national meetings with FirstNet or other approved stakeholders. Two employees will travel to a total of 4 meetings each. Total number of trips is 8 at a cost of approximately \$1,350 including: \$800 round trip airfare, \$370 total lodging for two nights, estimated per diem of \$90 at \$30 a day for three days, and parking/ground transport of \$90.

Equipment: Total Amount \$0

We do not anticipate any equipment costs for this grant program.

Supplies: Total Amount \$11,500 (Federal \$11,500, Non-Federal \$0)

	Increment 1	Increment 2 NTE
Federal:	\$7,125	\$4,375
Non-Federal:	\$0	\$0
Increment Total:	\$7,125	\$4,375

See the Detailed Budget Spreadsheet for calculations

- Meeting Supplies (Federal \$5,200, Non-Federal \$0): Meeting supplies to include but are not limited to handouts, binders, memory sticks, estimated at \$650 x 8 quarters
- General Office Supplies (Federal \$1,800, Non-Federal \$0): General Office supplies include but are not limited to copy paper, pens, binders, etc. The average estimate is \$75.00 per month over a period of 24 months for a total of \$1,800.
- Laptops (Federal \$4,500, Non-Federal \$0);
 - Laptops and the associated hardware and initial start-up up costs for two laptops for grant personnel. Includes 1 hardened and 1 standard laptop. Estimate for the hardened "Toughbook" is \$3000 and estimate for the standard laptop is \$2,000. FTE equivalent is 1.8 (90%).

Contractual: Total Amount \$480,392 (Federal \$347,120, Non-Federal \$133,272)

	Increment 1	Increment 2 NTE
Federal:	\$141,795	\$205,325
Non-Federal:	\$49,977	\$83,295
Increment Total:	\$180,147	\$300,245

See the Detailed Budget Spreadsheet for calculations

- Program Manager (Federal \$222,120, Non-Federal \$133,272)
 - Overall tactical program management for SLIGP 2.0 grant program. Includes project management, grant reporting, resource management, external communications, project liaison, issue resolution, information resource and other functions as needed. Resourced at 80% time. Non-federal portion calculated as indirect rate contributed by Rhode Island Commerce Corporation allocated @ 60% of salary & benefits for portion of time spent on SLIGP 2.0.
- Development of Technology Transition Plan (Federal \$75,000, Non-Federal \$0)
 - The plan will detail State policies and procedures for transitioning public safety to broadband technology, including: network primary and extended users, decision trees for enabling preemption, and network interoperability.
- Data Collection Project (Federal \$50,000, Non-Federal \$0)
 - This project has yet to be defined, but anticipates that FirstNet will request some data collection effort from the State that requires the use of a consultant to accomplish.

Construction: Total Amount \$0

We do not anticipate any construction costs for this grant program.

Other: Total Amount \$23,718 (Federal \$0, Non-Federal \$23,718)

	Increment 1	Increment 2 NTE
Federal:	\$0	\$0
Non-Federal:	\$11,859	\$11,859
Increment Total:	\$11,859	\$11,859

See the Detailed Budget Spreadsheet for calculations

- Stakeholder Time (Federal \$0, Non-Federal \$23,718):
 - Stakeholder time for attendance at governance meetings, stakeholder events and FirstNet or partner events. Standard rate of \$23.53/hour. 21 stakeholders for 12 4-hour meetings for a total of 1,008 hours.

Indirect: Total Amount \$0

We do not anticipate any indirect costs for this grant program.

RI SLIGP 2.0 Detailed Budget Spreadsheet

Category			Description of Budget full grant period)			Increment 1				Increr	ment 2	Total Breakdown of Costs				Total Project Costs	
a. Personnel	Quantity	Un	it Cost	Т	otal Cost		F	ederal	Non-Federal		Federal	Non-Federal		Federal	Non-Federal		
Program Officer: The program officer will spend 75% of their time on SLIGP 2.0 Grant Activities for 2 years. The annual salary is \$93,347.00	2	\$	70,010	\$	140,021		\$	52,508		\$	87,513		\$	140,021	\$-	\$	140,021
Critical Incident Managment Software Coordinator. The Coordinator will spend 10% of their time on SLIGP 2.0 grant activities for 1.75 years. The annual salary is \$50,580.00	1.75	\$	5,058	\$	8,852		\$	3,319		\$	5,532		\$	8,852	\$-	\$	8,852
Operations Branch Support Chief: This position will spend 10% of their time on SLIGP 2.0 grant activities. The annual salary is \$82,669.00.	1.75	\$	8,267	\$	14,467		\$	5,425		\$	9,042		\$	14,467	\$-	\$	14,467
Finance Officer: This position will spend 6% of their time on SLIGP 2.0 grant activities. The annual salary is \$95,963.	2	\$	5,758	\$	11,516		\$	4,318		\$	7,197		\$	11,516	\$-	\$	11,516
Total Personnel				\$	174,855		\$	65,570	\$-	\$	109,284	\$-	\$	174,855	\$-	\$	174,855
b. Fringe	Quantity	Un	it Cost	Т	otal Cost		F	ederal	Non-Federal		Federal	Non-Federal		Federal	Non-Federal		
Program Manager: Fringe is calculated at 75% of total of \$42,610/yr for the portion of time spent on SLIGP 2.0 activities.	2	\$	31,958	\$	63,915		\$	23,968		\$	39,947		\$	63,915		\$	63,915
Critical Incident Managent Software Coordinator: Fringe is calculated as 10% of total of \$22,247/yr for the portion of time spent on SLIGP activites	1.75	\$	2,225	\$	3,893		\$	1,460		\$	2,433		\$	3,893		\$	3,893
Operations Branch Support Chief: Fringe is calculated at 10% of \$52,465/yr, for the portion of time spent on SLIGP 2.0 activities.	1.75	\$	5,247	\$	9,181		\$	3,443		\$	5,738		\$	9,181		\$	9,181

The SLIGP 2.0 NOFO is the official competition document.

Nothing in this document or other supplemental materials is intended to conflict with or supersede the NOFO in any way.

Any perceived conflict must be resolved by reference to the NOFO.

for the portion of time spent on SLIGP 2.0 activities. Total Fringe	2	\$ 3,009	\$ 6,019 83,009	-	\$ 2,257 31,128	•	\$	3,762 51,880		\$ 6,019 83,009	-	\$ 6,019 83,009
Finance Officer: Fringe is calculated at 6% of \$50,158/yr												

c. Travel	Quantity	Un	it Cost	Тс	otal Cost		Federal	Non-Federal	Federal	Non-Federal	Federal	Non-Federal	
Out of state travel for regional and national meetings with FirstNet or other approved stakeholders. Two employees will travel to a total of 4 meetings. Total number of trips is 8 at a cost of approximately \$1,350 including: \$800 round trip airfare, \$370 total lodging for two nights, per diem of \$90 at \$30 a day for three days, and parking/ground transport of \$90.													
	8	\$	1,350	\$	10,800	\$	4,050		\$ 6,750		\$ 10,800	\$-	\$ 10,800
				\$	-								
Total Travel				\$	10,800	\$	4,050	\$-	\$ 6,750	\$-	\$ 10,800	\$-	\$ 10,800
d. Equipment	Quantity	Uni	it Cost	Тс	otal Cost		Federal	Non-Federal	Federal	Non-Federal	Federal	Non-Federal	
N/A	0		\$0	\$	-		\$0		\$; -	\$-	\$ -		
Total Equipment				\$	-		\$0	\$-			\$ -		\$ -

e. Supplies	Quantity	U	nit Cost	То	tal Cost		Federal	Non-Federa	I	Federal	No	n-Federal	Federal	Non	-Federal		
Meeting supplies to include but not limited to, handouts, binders, memory sticks, estimated at \$650 x 8 quarters	8	\$	650.00	\$	5,200		\$ 1,950		ę	\$ 3,250			\$ 5,200	\$	-	\$	5,200
General Office supplies, to include but not limited to copy paper,pens,binders, etc - average estimate \$225.00 per quarter.	8	\$	225	\$	1,800		\$ 675		2	5 1,125			\$ 1,800	\$	_	\$	1,800
Laptops, hardware, and initial start-up up costs for 2 Laptops @ average cost of \$2,500 each. FTE Toal is 1.8 (including Program Manager).	1.8	\$	2,500		4,500	ł 🛏	\$ 4,500			\$-			\$ 4,500		-	\$	4,500
Total Supplies				\$	11,500		\$ 7,125		\$			-	\$ 11,500		-	\$	11,500
f. Contractual	Quantity	Uı	nit Cost	То	otal Cost		Federal	Non-Federa	I	Federal	No	n-Federal	Federal	Non	h-Federal		
Program Manager. Overall tactical program management for SLIGP 2.0 grant program. Includes project management, grant reporting, resource management, external communications, project liaison, issue resolution, information resource and other functions as needed. Resourced at 80% time. Salary of \$101,325/yr and benefits of \$37,500/yr, total \$138,825/yr. Non-federal portion calculated as indirect rate contributed by Rhode Island Commerce Corporation allocated @ 60% of salary & benefits for portion of time spent on SLIGP 2.0 or \$83,295/yr.	1.6	\$	222,120	\$	355,392		\$ 83,295				\$	83,295	\$ 222,120		133,272		355,392
Technology Transition Plan	1	\$	75,000	\$	75,000		\$ 39,750			\$ 35,250			\$ 75,000	\$	-	\$	75,000
Data Collection Project	1	\$	50,000	\$	50,000		\$ 18,750		, ,	\$ 31,250			\$ 50,000	\$	-	\$	50,000
Total Contractual				\$	480,392		\$ 141,795	\$ 49,977	7 \$	5 205,325		83,295	\$ 347,120			\$	480,392
g. Construction	Quantity	U	nit Cost		otal Cost		Federal	Non-Federa	_	Federal		n-Federal	Federal		-Federal		
N/A	0		0	\$	-	ł 🕨	\$-	\$-	\$	-	\$	-	\$ -	\$	-		
Total Construction				\$	-		\$-	\$-	٩		\$	-	\$ -	\$	-	\$	-
h. Other	Quantity	U	nit Cost	То	tal Cost		Federal	Non-Federa	I	Federal	No	n-Federal	Federal	Non	-Federal	J	

Stakeholder Time (Federal \$0, Non-Federal \$23,718): Stakeholder time for attendance at governance meetings, stakeholder events and FirstNet or partner events. Standard rate of \$23.53/hour. 21 stakeholders for 12 4-hour meetings for a total of 1,008 hours.	1,008	\$ 23.53	\$ 23,71	8		\$ 11,859		\$ 11,855	\$-	\$ 23,718	\$ 23,718
Total Other			\$ 23,71	В	\$-	\$ 11,859	\$-	\$ 11,859	\$-	\$ 23,718	\$ 23,718
					Federal	Non-Federal	Federal	Non-Federal	Federal	Non-Federal	
Total Direct Charges											
i. Indirect Costs	Quantity	Unit Cost	Total Cos		Federal	Non-Federal	Federal	Non-Federal	Federal	Non-Federal	
N/A	0	0	\$	-	\$-	\$-	\$-	\$-	\$-	\$-	
Total Indirect			\$-		\$-	\$-	\$-	\$-	\$-	\$-	\$-
TOTALS			\$ 784,27	3	\$ 249,669	\$ 61,836	\$ 377,614	\$ 95,154	\$ 627,283	\$ 156,990	\$ 784,273

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

- 1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
- 2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
- 4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
- Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to:

 (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352)
 which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education
 Amendments of 1972, as amended (20 U.S.C.§§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation

Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U. S.C. §§6101-6107), which prohibits discrimination on the basis of age: (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee- 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

- 7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
- Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

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- Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
- 10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- 11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental guality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
- 12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.

- Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
- 14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
- 15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
- 16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
- 17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
- Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
- 19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
Richard Jones	ND Grants Manager
APPLICANT ORGANIZATION	DATE SUBMITTED
Rhode Island Emergency Management Agency	12/28/2017

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Applicants should also review the instructions for certification included in the regulations before completing this form. Signature on this form provides for compliance with certification requirements under 15 CFR Part 28, 'New Restrictions on Lobbying.' The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Commerce determines to award the covered transaction, grant, or cooperative agreement.

LOBBYING

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 15 CFR Part 28, for persons entering into a grant, cooperative agreement or contract over \$100,000 or a loan or loan guarantee over \$150,000 as defined at 15 CFR Part 28, Sections 28.105 and 28.110, the applicant certifies that to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, 'Disclosure Form to Report Lobbying.' in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure occurring on or before October 23, 1996, and of not less than \$11,000 and not more than \$11,000 for each such failure occurring after October 23, 1996.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

In any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, 'Disclosure Form to Report Lobbying,' in accordance with its instructions.

Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure occurring on or before October 23, 1996, and of not less than \$11,000 and not more than \$110,000 for each such failure occurring after October 23, 1996.

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above applicable certification.

* NAM	E OF APPLI	CANT					
Rhode	Island E	Emergency Management Agency					
* AWA	RD NUMBE	R		* PROJECT NA	ME		
2018-	NTIA-SLIG	BP-2		RI EMA SLIG	P 2 Planning Progr	am	
Prefix:		* First Name:		Mic	dle Name:		
		Richard					
* Last I	Name:					Suffix:	
Jones	1						
* Title:	ND Grant	s Manager					
* SIGN	ATURE:				* DATE:		
Richa	rd Jones]		12/28/2017		



State of Rhode Island and Providence Plantations State House Providence, Rhode Island 02903-1196 401-222-2080

Gina M. Raimondo Governor

December 21, 2017

The Honorable David J. Redl Assistant Secretary for Communications and Information U.S. Department of Commerce 1401 Constitution Avenue, NW Washington, D.C. 20230

Dear Mr. Redl:

As required by the State and Local Implementation Grant Program (SLIGP) 2.0 Federal Funding Opportunity Announcement dated September 27, 2017, I designate Peter Gaynor, Director, Rhode Island Emergency Management Agency, as recipient and coordinator of SLIGP 2.0 grant funds.

Sincerely Gina M. Raimondo

Governor