UNITED STATES DEPARTMENT OF COMMERCE NATIONAL TELECOMMUNICATIONS AND INFORMATION ADMINISTRATION

COMMERCE SPECTRUM MANAGEMENT ADVISORY COMMITTEE (CSMAC) MEETING

Washington, D.C.

Friday, July 15, 2022

1	PARTICIPANTS:
2	ANTONIO RICHARDSON Organizer, Designated Federal Officer
3	NTIA
4	CHARLA RATH Co-Chair
5	Commerce Spectrum Management Advisory Committee
6	ALAN DAVIDSON
7	Assistant Secretary of Commerce for Communications and Information
8	NTIA
9	CHARLES COOPER Associate Administrator, Office of Spectrum
10	Management NTIA
11	
12	REZA AFEFI Director, Emerging Spectrum Strategies and Planning Intel
13	
14	JENNIFER ALVAREZ CEO
15	Aurora Insight Inc.
16	HILARY CAIN
16	Vice President of Technology, Innovation and Mobility Policy
17	Alliance for Automotive Innovation
18	MICHAEL CALABRESE Director
19	The New American Foundation, Wireless Future Program
20	THOMAS DOMBROWSKY, JR.
21	Senior Engineering Advisor DLA Piper LLP
22	L -

1	PARTICIPANTS (CONT'D):
2	H. MARK GIBSON
3	Senior Director, Business Development. CommScope
4	DALE HATFIELD Senior Fellow
5	Silicon Flatirons Centers for Law, Technology, and Entrepeneurship
6	CAROLYN KAHN
8	Chief Spectrum Economist The MITRE Corporation, Center for Acquisition and Management
9	JENNIFER MANNER Senior VP, Regulatory Affairs
10	Echostar (Co-Chair)
11	PAUL MARGIE Partner
12	Harris, Witshire and Grannis LLP
13	JENNIFER MCCARTHY Vice President, Legal Advocacy
14	Federated Wireless
15	DONNA BETHEA-MURPHY Senior VP, Global Regulatory
16	INMARSAT
17	KARL NEBBIA Senior Spectrum Regulatory Advisor
18	Alion Science and Technology
20	LOUIS PERAERTZ Vice President of Policy WISPA
21	DANIELLE PIÑERES Vice President of Regulatory Affairs and
22	Compliance Planet Labs PBC

1	PARTICIPANTS (CONT'D):
2	CHARLA RATH Independent Consultant (Co-Chair)
3	
4	GLENN REYNOLDS Vice President for Technology Policy and Government Relations
5	Alliance for Telecommunications Industry Solutions
6	DENNIS ROBERSON
7	Research Professor of Computer Science Illinois Institute of Technology
8	ANDREW ROY
9	Director of Engineering Services Aviation Spectrum Resources
10	
11	JESSE RUSSELL Chairman and CEO
12	incNetworks
13	STEVE SHARKEY Vice President, Government Affairs, Technology and Engineering Policy
14	T-Mobile US, Inc.
15	MARIAM SOROND C-Level Technology & Business Executive
16	VMware
17	RIKIN THAKKER CTO
18	Wireless Infrastructure Association
19	BRYAN TRAMONT Managing Partner
20	Wilkinson Barker Knauer, LLP
21	JENNIFER WARREN Vice President, Technology Policy &
22	Regulations Lockheed Martin Corporation

1	PARTICIPANTS (CONT'D):
2	ROBERT WELLER
3	VP for Spectrum Policy. National Association of Broadcasters
4	PATRICK WELSH Vice President, Federal Regulatory and Legal
5	Affairs Verizon
6	DAVE WRIGHT
7	President OnGo Alliance
8	
9	APRIL DELANEY Deputy Assistant Secretary of Commerce for Communications and Information.
10	NTIA
11	DOUGLAS BRAKE Spectrum Policy Specialist
12	NTIA
13	DEREK KHLOPIN Deputy Associate Administrator, Office of
14	Spectrum Management NTIA
15	
16	BRYAN TRAMONT Senior Planning Manager
17	ELI SHERLOCK Attorney-Advisor, Ethics Law and Programs
18	Division U.S. Department of Commerce
19	
20	
21	* * * *
22	

1 PROCEEDINGS 2 (1:01 p.m.)3 MR. RICHARDSON: Good afternoon, 4 everyone, and welcome to the Commerce Spectrum 5 Management Advisory Committee meeting. 6 For those who don't know me, my name is Antonio Richardson. I'm the designated federal officer for this committee. Of course, this is the first meeting of the new term, and I'm really 10 excited to get this going, and I'm also looking 11 forward to working with all the committee members, 12 both new and old, so it's going to be really nice. 13 And with that being said, I'd like to put out 14 a couple of housekeeping notes. One, please mute 15 your phone and or microphones on your computers if 16 you're not talking. I think we're going to do 17 pretty good. I think after two years now, I think 18 we all should be aware of that, you know, open 19 mic, so of course that'll just keep down the extra 20 noise, unnecessary noise. 21 Also, with regards to the ethics briefing that 22 will be done at the conclusion of this meeting, so

- 1 I'm going to need all the CSMAC members to stay
- online after the meeting is adjourned, to take
- maybe ten minutes at the most to do the ethics
- 4 briefing. Mr. Eli Sherlock will come on toward
- 5 the end there and we'll go ahead on and conduct
- 6 that.
- 7 So, with that being said, this meeting is
- being recorded to assist with the drafting the
- 9 summary meeting minutes, and attendees' volunteer
- participation in the meeting demonstrates consents
- to this recording, and I will now turn this over
- to Mr. Charles Cooper, the associate administrator
- for the office of Spectrum management, here at
- 14 NTIA, Mr. Cooper.
- MR. COOPER: Thank you, Antonio. We
- will start off the meeting with opening remarks by
- 17 Assistant Secretary Alan Davidson. Little bit of
- background on Alan, after receiving his recent
- senate confirmation, Alan has been at NTIA now,
- but this is also his first meeting, and of course,
- the first meeting of the cycle. Alan is a
- internet policy expert with over 20 years of

- experience, as an executive, public interest
- 2 advocate, technologist, and attorney.
- 3 He was most recently a senior advisor at the
- 4 Mozilla Foundation, a global nonprofit that
- 5 promotes openness, innovation, and participation
- on the internet. Alan earlier served in the
- Obama-Biden Administration as the first director
- 8 of digital economy at the U.S. Department of
- 9 Commerce. He started Google's public policy
- office in Washington, D.C., leading government
- relations and policy in North and South America
- for seven years, until 2012. It's my pleasure to
- introduce Alan.
- MR. DAVIDSON: Well, thank -- thank you
- 15 Charles, and thank you everyone for joining.
- Welcome to our first CSMAC meeting of 2022 as
- we kick off this new cycle for the committee. I'm
- excited to join you. And first I'll start by
- saying, welcome to all our returning and new
- members of CSMAC. Welcome as well to the public
- that's joining us today, and we really appreciate
- the attention. As Charles noted, this is my first

- 1 CSMAC, my first CSMAC ever I should say. I've
- been hearing about this committee for years. It
- does have one of the acronyms that you can
- 4 actually say, which I think actually helps.
- 5 But it has a high reputation, a high
- 6 reputation, I should say in our community. And
- that's largely because of all of you. It's always
- 8 attracted dedicated people, dedicated
- 9 professionals, with a wide range of experiences to
- help inform our -- our federal work on Spectrum.
- 11 And it's always had a commitment to evidence- and
- science-based decision making that we feel is
- extremely important today.
- 14 This term, of course, we're welcoming back 15
- returning members, so thank you for continuing to
- support this work, and, I think, we've got 13 new
- members, which is terrific to see.
- 18 CSMAC this year is going to be co-chaired by
- 19 Charla Rath and Jennifer Manner, two CSMAC
- veterans, who have been really guiding lights in
- our Spectrum community for many years. I just
- want to say a special thank you to your both -- to

- 1 you both for your service.
- 2 You know, as we think about the work of this
- group, and our work at NTIA, I think there are
- 4 really three top priorities, three things that are
- 5 top of mind for me, as we think about promoting
- 6 effective and efficient use of Spectrum to meet
- 7 our nation's needs. You know, the first is that
- 8 NTIA is really working towards a coordinated
- 9 national approach to Spectrum use, with an
- emphasis on coordinated.
- 11 As I mentioned, we really care deeply now
- about promoting evidence-based approaches to
- 13 Spectrum allocation, and working through the hard
- science issues there, and we are going to be
- leaning on new, innovative technology to ensure
- 16 that we can meet the -- meet the Spectrum needs of
- our country in the future, especially at a time
- of, you know, increasing scarcity, increasing
- demand.
- You know, a starting point in those three --
- in those pieces has been our work to coordinate
- 22 across the federal government, and particularly

- with the FCC. And I'll just do a particular
- 2 shoutout to the FCC chairwoman and the Spectrum
- 3 coordination initiative that we launched together.
- 4 The chairwoman's been a -- a terrific partner for
- 5 me already in this job, very kind and generous in
- 6 her time.
- And also, we are very committed to making sure
- 8 that the commission, and NTIA and the other
- 9 federal agencies, are all working together well
- and in lockstep. Some of you have probably heard
- about the Spectrum coordination initiative that we
- launched, and a piece of that is making sure that
- we're exchanging technical information well.
- And so, today, I'm glad that we're welcome
- Jessica Quinley, of the FCC's Wireless
- 16 Telecommunications Bureau, to CSMAC, as the FCC's
- liaison and representative. We've also similarly
- sent Doug Brake from our -- from OSM, our Office
- of Spectrum Management, has been attending the
- FCC's technical advisory committee meetings as
- NTIA's representative, and I think that that
- cross-pollination is really important. It's

- important symbolically, but it's important truly
- to make sure that we are well in sync on what
- we're doing and learning together.
- I will say, as I think about those priorities
- 5 and goals for us, CSMAC is really actually an
- 6 important element in this overall effort. And I
- 7 know you -- this committee has a long history, but
- your guidance is really more important than ever
- 9 for us. I've said in -- in public hearings and at
- my confirmation process that I hope that our goal
- in Spectrum at NTIA is going to be increasingly to
- look ahead. You know, not just think about the
- next year or two, but to be thinking about five
- years out, ten years out.
- 15 It's the old, you know, ice-skating Wayne
- Gretzky quote that, you know -- "Don't skate to
- where the puck is now, but skate to where the puck
- is going." And that's very much where I hope
- 19 CSMAC can help us. When I was first, you know,
- getting -- learning about a lot about Spectrum 10
- or 12 years ago, 15 years ago, the conversations
- that we're having now about technology, about

- which bands are going to be of use for the private
- sector, so different now than what the
- 3 conversation we were -- I don't have to tell you
- 4 all, right? So -- but it's amazing to reflect
- back and think about how much has changed, and we
- 6 can only imagine how things are going to change in
- the next decade. And so, this is where I really
- 8 feel like the -- the input and guidance of the
- 9 private sector of stakeholders and academics is so
- important for us.
- This cycle, we've got a new set of questions
- for -- that we've -- we're posing to CSMAC to
- 13 study. You know, topics that include Spectrum
- Relocation Fund, the impact of 6G on government
- services, how we can improve electromagnetic
- capability -- I'm sorry, compatibility, and
- Ultra-Wideband rules. But all of this is really
- about how your guidance on these issues will
- impact, and can impact, government and nonfederal
- Spectrum usage for years to come. So, it's very
- important work, and we really value it.
- Last thing I'll just say is, you know, I'm

- 1 very committed to making sure that you have the
- ability to follow the science and the engineering
- wherever they lead you, in the tradition of
- 4 evidence-based policymaking that we feel has
- 5 always guided this committee. You all have access
- 6 to all the data and tools that NTIA can offer to
- 7 help you achieve those objectives, and I'm very
- 8 committed working with Charla and Jennifer to
- 9 ensure that you can organize yourselves well,
- performance research as you need to, and reach
- conclusions and recommendations in a way that you
- feel is appropriate and accurate.
- So, I'll just conclude by saying welcome
- again, I'm really looking forward to the work of
- this committee over this term, and to receiving
- 16 your input, and thank you again for your service.
- 17 And back to you, Charles.
- MR. COOPER: Thank you so much for those
- remarks, Alan. As Alan mentioned, we are pleased
- this cycle to continue what's becoming a
- 21 tradition, to have two Co-Chairs. And this year,
- we even have one returning Co-Chair. Charla Rath

- 1 has served as a member of CSMAC since 2014 and was
- 2 Co- Chair last CSMAC cycle. Charla is an
- independent consultant, who until 2019 was vice
- 4 president of Wireless Policy Development at
- 5 Verizon.
- 6 Our second Co-Chair is Jennifer Manner, who
- 7 became a CSMAC member in 2019. Jennifer is senior
- 8 vice president of regulatory affairs at Echostar
- 9 Hughes. On behalf of Commerce Secretary Armando,
- 10 Assistant Secretary Alan Davidson, all of us here
- at NTIA, thank you to both of you for agreeing to
- be CSMAC chairs, and also to the overall 28 CSMAC
- members. And now, over to the Co-Chairs.
- MS. RATH: Thank you very much, Charles.
- 15 I'm really happy to be returning, not only as a
- 16 CSMAC member, as a Co-Chair with Jennifer Manner,
- and really looking forward to our first session.
- 18 Thank you too to Assistant Secretary Davidson for
- 19 your remarks. We obviously have our marching
- orders, and we in particular, we really do
- appreciate the support that has always been
- incredible from NTIA, just in terms of, you know,

- the staffing on our subcommittees, and the
- 2 professionalism of the people involved, so thank
- you for that, and we really do appreciate it.
- 4 And you know, welcome to returning members,
- 5 and a special welcome to new members, and it's
- 6 actually great to see, you know, as always, that
- 7 NTIA manages to put together such an interesting
- 8 and diverse group of people. It does create some
- 9 interesting moments in subcommittees, and in full
- 10 committees. But that's what this is all about,
- and we're very happy that you're all here, and
- looking forward to kind of just moving forward
- with the work.
- 14 As Assistant Secretary Davidson mentioned, we
- have four topics that NTIA has asked us to address
- this year -- this session, it's -- actually, will
- be two years. And we'll be going through that in
- more detail in not the next section, but the
- 19 session after that.
- And, but just briefly again, we are going to
- revisit the Spectrum Relocation Fund, as, you
- know, some of you on this committee know, we've

- 1 actually -- we take a look at this every few
- years, because it's -- it is a very important and
- a very challenging thing to be working on. And
- 4 so, I think we're looking forward to looking at
- 5 that. 6G and beyond, it goes without saying why
- 6 that's important. It's important for NTIA and the
- 7 government to get ahead of things, and I think
- 8 it'll be a lot of fun to see what comes out of
- 9 that, and I'm looking forward to it.
- 10 Electromagnetic compatibility improvements is
- always an important thing that we look at, and
- it's -- it's the type of thing, it's -- it's kind
- of CSMAC's bread and butter, this type of work.
- So, we're also looking forward to that. And then
- Ultra-Wideband, which for some of us brings back,
- you know, some memories of even a few decades ago,
- will be, I think, interesting to start to reach
- into that again to just see if there's some things
- that we can recommend to help -- to help NTIA with
- some of the issues that are arising as a result of
- 21 it.
- So, with that I'm going to turn it over to my

- 1 Co- Chair, who will roll call to make sure you're
- all here. So, Jennifer, it's all yours.
- MS. MANNER: Thank you so much, Charla,
- 4 and -- and thank you, Assistant secretary
- 5 Davidson. We're super excited for the start of
- 6 CSMAC, and Charla and I look forward working with
- our colleagues to make sure this is a very
- 8 successful effort on the part of all of us. So,
- 9 with that, the people who are going to make us
- successful, I thought I would ask each of you to
- briefly introduce yourself. Just to remind you,
- we are on a tight timeframe, so I would ask you to
- keep it under a minute please. But I'll start --
- 14 I'm going to go in alphabetical order, so Jennifer
- 15 Alvarez first. If she's here.
- MS. ALVAREZ: Hi, everybody. I'm --
- 17 yes, I'm Jennifer Alvarez. Nice to be here. I'm
- a new member. I have spent about 30 years as a
- developer of systems that rely on Spectrum, and in
- 20 the past 5 years, gotten more into Spectrum
- policy, so I'm looking forward to bringing my
- experiences as a Spectrum user to play.

1 MS. MANNER: Thank you so much. Hilary 2 Cain, I don't know if you're on the phone? 3 MS. CAIN: Yeah, hi. Hilary Cain, vice 4 president for technology in the Alliance for 5 Automotive Innovation. I'm at the airport, so I 6 will be with you as long as I can, but we'll -boarding a plane shortly, so, nice to see you all. 8 Have a good flight, and MS. MANNER: thanks for joining us. Michael Calabrese, please. 10 MR. CALABRESE: Yes, hi. So, I'm, 11 relatively speaking, an old hand. I've been on 12 CSMAC since 2009, and I direct the wireless 13 feature project at New America. It's part of the 14 Open Technology Institute. New America's a 15 nonprofit thinktank in D.C., although I'm based in 16 the Bay Area now. I actually moved out here just 17 before the pandemic. So, I really look forward to 18 getting back to these great topics with you all. 19 MS. MANNER: Thank you. Tom Dombrowsky, 20 please. 21 MR. DOMBROWSKY: Hello, I'm Tom 22 Dombrowsky. I'm an engineer. I've been doing

- this kind of stuff for about 35 years, and been on
- 2 CSMAC longer than I'd like to admit, but happy to
- get engaged with everybody again and get going
- 4 again.
- 5 MS. MANNER: Thank you so much. Mark
- 6 Gibson, please.
- 7 MR. GIBSON: Hi everybody, I'm Mark
- 8 Gibson. I've been on CSMAC I think since 2010 or
- 9 2011, so it's nice to see my brethren, and a
- former Co-Chair of CSMAC, I think I co-chaired
- 11 CSMAC before Jennifer -- Jennifer Warren and, not
- Jennifer -- Charla did. My background has been
- primarily in Spectrum sharing and Spectrum
- management. I work for a company called
- 15 CommScope, which has a company called Comsearch as
- part of it, and that's where I cut my teeth. So,
- 17 I'm really happy to get involved. These look like
- really interesting topics, and good to be back.
- 19 Thanks.
- MS. MANNER: Thank you so much. Dale
- 21 Hatfield, please.
- MR. HATFIELD: Yes, I'm Dale Hatfield,

- 1 University of Colorado at Boulder. I go back, of
- course, to the origins of CSMAC, as well. And I
- just -- I actually started getting involved in
- 4 Spectrum in 1963, I -- and I'm -- you can do the
- 5 math. But anyway, I'm really looking forward to
- 6 working with you all, and with this interesting
- 7 set of topics.
- MS. MANNER: Thank you, Dale. I'm
- 9 trying to figure out, which means you started when
- you were one year old? So, we're happy to have
- 11 you join us. Carolyn, please? Khan.
- MS. KAHN: Hi, I'm Carolyn Khan. I've
- been working Spectrum issues for 25 years. My
- expertise is economics policy, but touches across
- a wide range of different Spectrum topics. So,
- 16 I'm a returning member, and look forward to
- working on the great topics. Thanks.
- MS. MANNER: Thanks, Carolyn. Paul
- Margie, please?
- MR. MARGIE: Hello, I'm Paul Margie.
- I'm a lawyer and have been working on Spectrum
- issues at -- on the hill and on the FCC, and as

- part of the United Nations system, and I'm happy
- to be here. I'm a new -- new member.
- MS. MANNER: Thanks, Paul. Jennifer
- 4 McCarthy, please.
- 5 MS. MCCARTHY: Hi everyone, I'm Jennifer
- 6 McCarthy. I'm vice president of legal advocacy
- 7 for Federated Wireless. I'm one of the four
- Jennifers, I believe, on this committee, which is
- 9 fantastic, good to be in that great company.
- 10 I've been in the wireless telecom business for
- about years now at a variety of companies,
- including QualComm, and NextWave, and now
- Federated Wireless, and I'm glad to see so many
- familiar faces here, and look forward to working
- with everyone on these exciting topics. Thanks
- 16 for having me.
- MS. MANNER: Thank you, and as you know
- they say, you can never have too many Jennifers.
- 19 And with that, Karl Nebbia, please.
- MR. NEBBIA: My name's not Jennifer,
- sorry. I'm Karl Nebbia. I was a former associate
- 22 administrator of the Office of Spectrum

- 1 Management, so, my hope in participating here will
- 2 be to offer that kind of perspective from the past
- inside activity, and participation in the process.
- 4 Since retiring from NTIA, I've been continuing to work
- 5 as a Spectrum management and Spectrum regulatory
- 6 consultant through Alliance Science, which has now
- 7 been bought out by Huntington Ingalls Industries. So,
- 8 that's who I officially work for.
- 9 So, anyway, looking forward to continue work with
- 10 folks that I've known for a long time.
- MS. MANNER: All right, thank you Karl.
- 12 Louis Peraertz, please. And --
- MR. PERAERTZ: Thanks, Jennifer.
- MS. MANNER: Sorry --
- MR. PERAERTZ: Excuse me, can you hear
- me okay?
- MS. MANNER: I -- I can, I was just -- I
- noticed Charla had her hand up.
- MR. PERAERTZ: Okay.
- MS. MANNER: So, Charla, did you need
- 21 the floor?
- MS. RATH: No. Sorry, Jennifer.

22

1 MS. MANNER: No, okay. Okay, sorry 2 Louis, I was just hoping it was something 3 important. So, please go ahead, I apologize. 4 MR. PERAERTZ: Okay. No, no, that's all 5 right. And thanks again Jennifer. And my name is 6 Louis Peraertz, I'm the vice president of policy for WISPA, Wireless Internet Service Providers Association. As many of the folks on this committee known, prior to this I was -- I worked 10 for many years at the FCC and was a wireless 11 advisor to FCC former commissioner Ming Yung 12 Clyburn. I'm -- I've heard an awful lot about the 13 tremendous work that this committee does, and I'm 14 thrilled to be a part of it. Thank you. 15 MS. MANNER: Thank you. And Danielle Piñeres, please? 16 MS. PIÑERES: Hi, everyone. My name is 17 18 Danielle Piñeres. I'm VP of Regulatory Affairs and Compliance at Planet Labs PBC, which is a 19 20 satellite manufacturing operator for Earth 21 observation imagery. I've been working on

wireless Spectrum issues for the past decade or

- so, and I've heard a lot about CSMAC. I'm really
- 2 pleased to join all of you as a new member, and
- look forward to working on these issues.
- 4 MS. MANNER: Thank you. Glenn Reynolds,
- 5 please.
- 6 MR. REYNOLDS: Jennifer, thanks. Glenn
- 7 Reynolds from ATIS, the Alliance for
- 8 Telecommunications Informations -- Industry
- 9 Solutions, and its latest initiative, which is the
- Next G Alliance, focused on North American
- leadership in 6G. In one of my previous roles as
- chief of staff of NTIA, I was on the receiving end
- of the, you know, tremendously valuable work that
- this group does, and I'm excited to be part of it.
- MS. MANNER: Thank you so much. Dennis
- Roberson, please.
- MR. ROBERSON: Hey all, good to be with
- 18 you. I'm the president CEO of Roberson and
- 19 Associates, some name association that goes with
- that, and I have been involved with
- 21 Spectrum-related activities for a relatively short
- time, in fact sort of the midpoint of my career 25

- 1 years ago, and -- not nearly as long as Dale, of
- course, but beginning to have a good history.
- 3 And I've been part of CSMAC for a decade now
- or a little more, and very much enjoy the
- 5 activities involved, and hopefully was able to
- 6 provide some level of contribution. And I'm
- 7 looking forward very much to the activities of
- 8 this round of CSMAC, and in particular partnering
- 9 with Paul Margie and undertaking the UWB questions
- for the committee. So, good to be with you.
- 11 MS. MANNER: Thanks, Dennis. Andrew
- 12 Roy, please.
- MR. ROY: Thank you. I'm Andrew Roy,
- 14 I'm an RF engineer with 20 years' experience in
- aviation RF systems, particularly Spectrum,
- started with the military and now the civilian
- world. This is my third time on CSMAC, and I'm
- very much looking forward to working with both the
- existing and new members on the activities we've
- got planned for us. Thank you.
- MS. MANNER: Thank you so much. Jesse
- 22 Russell, please.

- 1 MR. RUSSELL: Hi, Jennifer. Thank you.
- 2 I'm currently chairman of incNetworks, chairman
- and CEO of incNetworks technologies. Been in the
- business for about 40 years, and I have been, I
- 5 guess dubious distinction of starting the concept
- of Gs. We're responsible for the development of
- 7 digital cellular communications, small cell
- 8 technology, and currently focus more on 6G,
- 9 Spectrum technology, and fractal networks.
- MS. MANNER: Thank you so much. Steve
- 11 Sharkey, please.
- MR. SHARKEY: Hi, Steve Sharkey. I'm
- vice president in engineering technology policy at
- 14 T-Mobile. I'm also a long-term or long-time CSMAC
- member, so, pleased to be back. I've been doing
- Spectrum policy work for something over 30 years,
- and a couple of companies in the government as
- well at the FCC. Thank you.
- MS. MANNER: Thank you so much. Mariam
- 20 Sorond, please.
- MS. SOROND: Thank you, Jennifer. Hello
- everyone, I'm Mariam Sorond. I've been a member

- of CSMAC since 2014. Currently I am the CTO for
- the service provider and edge business unit at
- Whyare. I joined in April, sort of consistent
- 4 with where the puck is going. But my background
- is primarily in the Telco cable satellite, most
- 6 recent positions was chief R&D officer at Cable
- 7 Labs, and chief wireless architect at Dish. I
- have been working on Spectrum technology, sort of,
- 9 topics for 27 years. Great to see some of the
- familiar faces. Welcome to all the new members.
- 11 Looking forward to this.
- MS. MANNER: Thanks, Mariam. New name
- for me. Rikin Thakker, please. Welcome.
- 14 MR. THAKKER: Thanks, Jennifer. Hey
- everyone. This is Rikin Thakker. I'm the chief
- technology officer for the Wireless Infrastructure
- 17 Association, WIA, also faculty member at the EC
- Department at the University of Maryland, where
- 19 I've been teaching for the Master's in
- Telecommunications Program. I'm very honored by
- this opportunity to start on this prestigious
- committee. This is my first time, but I am

- 1 somewhat familiar with the structure, as I've
- followed many of you, and CSMAC, since my PhD
- days, and many of you actually advised me during
- 4 that time, that was ten years ago. I see many
- familiar faces, so I'm looking forward to working
- 6 with you all.
- 7 I am also currently serving on the
- 8 Technological Advisory Council with Jennifer at
- 9 the FCC, and at TAC I'm on the 6G working group
- where we talk about 6G advancements, use cases,
- 11 Spectrum, and standard development parts.
- 12 And prior to FCC's TAC, I was serving FCC's
- BDAC, Broadband Deployment Advisory Committee, and
- 14 co-chaired the job skills training opportunity
- working group. Really looking forward to working
- with you all.
- MS. MANNER: Thank you so much. Bryan
- 18 Tramont, please.
- MR. TRAMONT: Yes, Ms. Manner. I'm
- Bryan Tramont, I'm the managing partner at
- Wilkinson Barker Knauer. Previous to that, I
- served at the FCC for a number of years. This --

- 1 I've been on CSMAC since, I think, it was
- originally chartered, and I did a spin as chair,
- 3 so good luck to Charla and Jennifer, it's a great
- 4 gig, and I'm looking forward to working with all
- 5 the new members and the new team at NTIA. Thank
- 6 you.
- 7 MS. MANNER: Thanks, Bryan. Jennifer
- 8 Warren, please.
- 9 MS. WARREN: Hi, I'm a returning member,
- and I had the privilege of chairing the last cycle
- with Charla. I'm looking forward to -- to this
- cycle, and the topics that have been presented.
- 13 My expertise is policies, domestic and
- international, and I bring both an aerospace &
- defense and FCC background. Thank you.
- MS. MANNER: Thank you. We have Bob
- Weller, but I think he couldn't make the meeting.
- But I did want to at least mention that he is a
- member. And next, of course, is Patrick Welsh,
- 20 please. Patrick.
- MR. WELSH: Hi, I'm Patrick Welsh. I'm
- a vice president of federal regulatory and legal

- affairs at Verizon. I've been in the wireless
- industry for 25 years, with a focus on technology
- and Spectrum policy. I'm new to CSMAC this year,
- 4 but I see a lot of familiar faces, and I'm looking
- forward to working with you and some of the new
- faces.
- 7 MS. MANNER: Thank you. And last but
- 8 certainly not least, David Wright, please?
- 9 MR. WRIGHT: Yeah, thank you Jennifer.
- 10 So, yeah. Batting cleanup, I'll just say I see a
- lot of familiar faces. I think Patrick and Rikin
- both noted that. So, I am a new member. Very
- much looking forward to serving with you all.
- 14 I've been in the telecom space for about 30 years.
- 15 I'm currently the president of the OnGo Alliance,
- formerly the CBRS Alliance, so I've worked closely
- with NTIA over the last, oh goodness, I guess
- decade now, you know, getting CBRS commercialized.
- 19 And then, my paying job is with Hewlett- Packard
- 20 Enterprise, where I head up our global wireless
- policy initiatives. And we have interests in
- licensed, unlicensed, and shared Spectrum. So,

- very much looking forward to working with all of
- 2 you.
- MS. MANNER: Thank you, David, and --
- 4 I'm hearing an echo -- and thanks to all of you.
- We're very much looking forward to working with
- 6 everyone. And with that, Charles, I'll turn the
- floor back over to you, please.
- MR. COOPER: All right, thank you
- Jennifer, and appreciate the roll call. It's
- going to be exciting, an exciting cycle for sure.
- But since we haven't met for over a year, due to
- the time involved in rechartering and recruiting a
- new CSMAC, I'd like to briefly review where we are
- in the evolution of the Spectrum issues before us.
- Over the past few years, a total of 533
- megahertz of Spectrum has been made available in
- this critical mid-band range. Spectrum from 3450
- to 3980 megahertz has been made available through
- a combination of federal and nonfederal resources,
- and through relocation and Spectrum sharing.
- We're continuing to work with the commission and
- other federal agencies to manage these

- transitions, which involve Spectrum equities for
- 2 several agencies.
- We continue to take a close look, in
- 4 conjunction of course with the commission and the
- 5 agencies, at what additional Spectrum resources
- 6 can be made available, all while maintaining the
- 7 federal agencies' access to Spectrum. They need
- 8 to complete their critical statutory missions.
- 9 The administration's current focus is on 3100 to
- 10 3450. As an initial step, the Department of
- Defense is evaluating, pursuant to their
- congressional directive and funding, the potential
- to repurpose some or all of the band through
- sharing. NTIA is then tasked to identify whether
- the Spectrum can be made available. On a
- technical side, we continue to focus on dynamic
- 17 Spectrum access, and how we can get best results
- through efforts, like our concept of the Incumbent
- 19 Informing Capability.
- Meanwhile, looking at the past cycle, the
- 21 CSMAC responses to those 30 questions have been
- extremely helpful to NTIA. As Assistant Secretary

- 1 Davison noted, the Spectrum coordination
- initiative we embarked on earlier this year
- included a joint taskforce on the MOU we have come
- 4 with the FCC. We are in the advanced stages of
- 5 reviewing and updating the MOU. I want to
- 6 highlight that CSMAC previously provided valuable
- suggestions on how we may improve this MOU, which
- 8 NTIA took under advisement. We hope an updated
- 9 MOU will bring a strong vehicle for even closer
- coordination with the commission, on a range of
- policy and technical issues. We think it will
- 12 also embody some of the mutual values that we have
- identified, such as the importance of
- evidence-based policymaking, the need for greater
- long-range planning, and a focus on more frequent
- meetings with subsidive (sic) agendas, from the
- staff level all the way up to the leadership
- level. I hope the CSMAC members will recognize
- their input in the new MOU, and in other elements
- of the coordination initiative. I think that this
- is a good example of how relevant CSMAC work can
- be, and how quickly it can flow into policy

- development.
- In the previous term, CSMAC also addressed the
- 3 UAS Command and Control Requirements, and we
- 4 highlighted this important CSMAC work in
- 5 responding late last year to an FCC public notice
- on UAS Spectrum.
- 7 Another CSMAC question was how NTIA's and the
- FCC's equipment authorization rules could be
- 9 modified to require transmitter to use a unique
- identifier as an aid to resolving interference
- sources. The conclusion of that study group was
- that a band-by-band or use case approach should be
- used, rather than some broader mandate and an
- equipment authorization rules. We are certainly
- assessing this as we make our regular manual
- updates.
- And the final question from last term on the
- 18 feasibility of requesting information about future
- 19 Spectrum requirements and current usage from
- industry and other nonfederal users, that will be
- helpful to us as we craft a new Spectrum strategy.
- Looking forward, as Assistant Secretary

- 1 Davison noticed, we have found four new study
- questions from the current CSMAC term. They are,
- 3 should there be additional improvements to the
- 4 Spectrum Relocation Fund? What is the potential
- 5 impact of 6G on government services? What
- 6 improvements could be there to increase
- 7 electromagnetic compatibility? And should there
- 8 be modifications to the Ultra-Wideband rules?
- 9 These questions cover a broad range of policy and
- 10 technical issues.
- 11 This CSMAC meeting will begin the process of
- organizing the committee and addressing these
- challenges. I and our team stand ready to engage
- in the discussions and help in any way that we
- can. As Assistant Secretary Davison noted, you
- have access to all the resources and support you
- 17 need for your research.
- I'm also like to take this opportunity to
- welcome our new Federal Designated Officer to this
- cycle, Antonio Richardson. Thank you, Antonio,
- 21 for being willing to take on this big
- responsibility. I want to conclude by again

- 1 expressing my thanks and appreciation that you are
- willing to take the time and attention to devote
- 3 to the effort to serving on CSMAC. It is the hard
- 4 work and dedication of yourselves and others who
- 5 preceded you that have made this membership on the
- 6 CSMAC an important and well-respected position
- within the Spectrum community. I look forward to
- 8 working with you to add to that legacy. So now,
- back over to our Co-Chairs, and I'm also happy to
- take any questions from CSMAC members. Note, I'll
- be available during the public comment period,
- too. Thank you.
- MS. RATH: And -- and Charles, this is
- 14 Charla. Just as a reminder to folks, if they do
- have questions, you can just raise your hand, and
- we'll call on you. Don't use the chat function
- like we did the last time, for those of you who
- are returning members. It's just purely raise
- 19 your hand. So, if anybody has any questions for
- Charles now, just let us know.
- Well, I think it -- I think it looks like
- we're ready to move on to the next.

1 Yeah. MS. MANNER: So, thank you 2 I did want to just note one thing. I did 3 want to note that April Delaney, whose NTIA's 4 deputy assistant secretary, is on the call today 5 as well, and we're honored to have her join us as 6 She of course has a very long and well. established career in Spectrum and 8 telecommunication. So, April, I just wanted to recognize that you were on with us. 10 So, next on our agenda, we're going to 11 introduce our topics in more detail, and our 12 subcommittee Co-Chairs. And I -- as someone who 13 served last term as a subcommittee Co-Chair, these 14 people really deserve our thanks, because they 15 lead a really big effort, working with the members 16 of the CSMAC to end up creating the reports that, 17 of course -- and the recommendations that CSMAC 18 does release at the end of our term, or during our 19 term, it depends when different questions are 20 So, I wanted to thank them all in advance. 21 But I also want to encourage our members to please 22 get actively involved in each of the four subject

- areas, because, of course, without that, we won't
- 2 be successful.
- 3 So, I'm going to turn it over to Charla to
- 4 introduce our first two subcommittee Co-Chair
- 5 groups. So, Charla, with that?
- 6 MR. RICHARDSON: Can I get -- can I ask
- you guys to hold up a second? April, were you
- 8 trying to speak? I'm sorry.
- 9 MS. DELANEY: No, I just wanted to say,
- 10 I'm thrilled. I've -- it was great to see many
- friends, old and new, and that this is really
- important work, and I really am thrilled to -- and
- I love to see Dale Hatfield, and I know he's been
- going to where the puck has been going for a long
- time. And so, it's thrilling to be here, and I
- will just be listening in. I'm actually on the
- road today, but I will be listening in the whole
- way, and I'm just glad to see friends old and new,
- and to work with all of you.
- MR. RICHARDSON: Okay, thank you. I'm
- sorry there, Charla and Jennifer. Over to you
- 22 guys.

1 MS. RATH: Oh, no. No apologies. 2 no -- no apologies necessary. Just, as Jennifer 3 said, I'm going to introduce the first two 4 subcommittees. We -- for Spectrum Relocation 5 Fund, it is Mariam Sorond and Patrick Welsh, and I 6 think Patrick, you are going to speak first? 7 MR. WELSH: Sure, thank you Charla. Mariam and I will be co-chairing the Spectrum Relocation Fund working group. This is an issue 10 that's been near and dear to my heart since it was 11 enacted by the Commercial Spectrum Enhancement Act 12 in 2003. I've worked on both the ADBS1 and ADBS3 13 auctions, and partnered with federal agencies to 14 make sure that the transition was as smooth as 15 possible, and that they were fully compensated for 16 their work. This -- this Co-Chair will be 17 focusing on, how do we improve the SRF by 18 providing greater incentives to the agencies, 19 repurpose Spectrum for commercial use, both on 20 exclusive use or shared basis. 21 We'll be looking at a couple of issues, like 22 reimbursing agencies that are not currently

- eligible for reimbursement, covering the long-term
- 2 cost for sharing, replenishing the fund, and
- perhaps expanding some of the use, as well as
- 4 assessing some of the studies that were funded by
- 5 the Spectrum Pipeline Act in 2015, as well as
- other improvements that the CSMAC members may
- have. So, turn it over Mariam, the -- maybe talk
- 8 about the structure of the committee and the
- 9 timing of engagement. Mariam?
- MS. SOROND: Yeah, thank you, Patrick.
- 11 I'm going to be really looking forward to working
- with you. And just a note on this subcommittee is
- that it -- the work will be staggered, so
- basically when Subcommittee 4 finishes its work in
- July -- in Spring of 2023, we will kick off
- Subcommittee 1. So, for all of you who are eager
- to see all the good work that's coming up, we're
- going to have to wait until next year. But other
- than that, look forward to -- to this work.
- MS. RATH: Great. Thank you both. Any
- questions for either of them right now? Anyone
- want to volunteer to be on the subcommittee, even

- in advance, that's fine. You can do that, just
- send Antonio your names.
- 3 So, next we have the 6G and beyond, and the
- 4 Co- Chairs are Reza Afefi and Carolyn Khan, and
- 5 Carolyn is going to talk about how the committee
- 6 -- that subcommittee is going to move forward.
- 7 MS. KHAN: Great, thanks Charla. So,
- Reza and I are -- we're looking forward to working
- 9 with all the new CSMAC members and also the
- returning members on this important topic of 6G
- and beyond. So, some background as provided by
- 12 NTIA, research and planning is well underway for
- 13 6G. 6G is expected to be the next major evolution
- of commercial wireless technology, and as the
- commercial -- as the U.S. interest in 5G -- the
- interest for the U.S. to be the world leader in
- 5G, this is expected to only increase for 6G. So,
- we want to get started early, and really work to
- lay down the foundation for success for the U.S.
- 20 as a leader in 6G.
- So, our question is that NTIA is seeking input
- on 6G use cases. Importantly, NTIA would like

- 1 CSMAC to consider use cases beyond traditional
- wireless communications, including safety, sensor,
- radar, space, and other scientific applications,
- 4 and address 6G's potential impact on federal
- 5 government users.
- 6 When considering Spectrum bands that could be
- 7 used for 6G, NTIA has observed that terahertz
- bands have been identified for potential use. So,
- 9 they would like to ask us, how would such use
- impact government users in that range, and what
- recommendations could be made to help prepare for
- this, and are there other Spectrum bands that
- might be appropriate for 6G and beyond use? So,
- we will be kicking off shortly and getting started
- with collecting contributions from the
- subcommittee, potentially conducting interviews,
- analyzing information, and iterating on that as a
- group. So, really looking forward to that, and
- 19 yeah. Back over to you, Charla.
- MS. RATH: Thanks, Carolyn. Any
- questions for Carolyn at this point? And just as
- 22 a reminder, Mariam mentioned that the SRF

- 1 subcommittee isn't beginning until next year, but
- all of the rest of the committees will actually be
- forming and starting to have meetings after this
- 4 meeting. So, in any event, over to you, Jennifer,
- for the following two subcommittees.
- 6 MS. MANNER: Thank you, but I just see,
- 7 Karl Nebbia raised his hand.
- MS. RATH: Oh, he did. Okay, sorry
- 9 Karl. Sorry, I didn't mean to -- didn't mean to
- miss you.
- MR. NEBBIA: No problem. I just wanted
- to be clear whether this group is going to focus
- on government use of 6G, and therefore impacting
- government capabilities, or talking about the
- impact of commercial 6G on government operations,
- particularly up in the terahertz area.
- MS. RATH: So, that -- that's actually a
- really good question, and I guess that is
- something -- Carolyn, I don't know whether you've
- had, you know, a chance to sort of think through
- those (inaudible), but it also is a question that
- we can, you know, go back to Charles, and sort of

- talk to, you know, talk to NTIA about how they
- want us to form a question.
- Because, you know, I was viewing it primarily
- as, you know, government, you know, government use
- of these technologies, but in a way Karl,
- 6 government use of these technologies just
- 7 naturally sort of also overlaps with the potential
- of the -- you know, using commercial technologies,
- 9 too. So, I think it might be hard to separate
- them out, but I think that is something we can
- talk to NTIA about for further guidance. And
- 12 Charles, I don't know whether you want to say
- anything right now, or that would be something we
- can, you know, take offline. And Carolyn, also,
- if you've got anything to add to that.
- MR. COOPER: Yep, so this is Charles.
- We're making notes of this, and of course we're
- going to have a, you know, besides our Designated
- 19 Federal Officer, we'll also have an NTIA
- individual staff member assigned to each committee
- 21 as well that can take back questions that can come
- back to NTIA. So, we're noting it, and appreciate

- 1 the question, Karl.
- MS. RATH: Thank you. Any -- any other
- questions for Carolyn, or others? All right.
- Now, over to you, Jennifer.
- MS. MANNER: Thanks so much, and I'm
- 6 pleased to introduce, right now we have one
- 7 Co-Chair, but we will have two Co-Chairs of the
- 8 Electromagnetic Compatibility Improvements. The
- 9 second chair we're still finalizing, but in the
- meantime, I'd like to turn it over to Tom
- Dombrowsky to perhaps give a little bit of thought
- on this question.
- MR. DOMBROWSKY: Does this mean I get to
- talk twice as much, since I'm just one Co-Chair,
- or does it --
- MS. MANNER: No.
- MR. DOMBROWSKY: Okay. So, my subgroup
- is going to be located -- the Spectrum
- environment, the fact that it's becoming more and
- more congested. We have Spectrum sharing, and
- there's greater and greater use of the Spectrum
- across the board, so we really need to figure out

1 how we can improve EMC between, whether federal 2 and commercial, federal and federal, commercial 3 and commercial, et cetera, as all the folks at 4 home on this committee you're well aware, we've 5 had never-ending battles at the edge of services between different parties, and I won't name names, 6 but everybody knows those names, and what issues. 8 In fact, we've actually had stuff making it into the nightly news, which I didn't think would ever 10 happen in my career, so. Clearly this is sort of 11 a hot, hot issue. I think we have four issues at 12 least as a starting point that we were going to 13 address, since that's what's been tasked to us, 14 but I also welcome other ideas as we get the group 15 up and running, and hope to have broad 16 participation from everybody in the committee on 17 the group. 18 So, the first issue is really about radar, and 19 how radar and other systems can better coexist in 20 cochannel, non-cochannel areas. Folks that have 21 been involved in CBRS, or in the lower 3 gigahertz 22 efforts, where we had radars for the feds, and we

- 1 had the commercial interest in there. So, we've
- done some work there and looking at that, so I'm
- 3 hoping we have some lessons learned and things
- 4 that we can do to improve the process going
- forward, because I do think we have other bands,
- 6 1.3 gigahertz, even lower than the 3 gigahertz
- 5 bands, and some other places where we had radar
- issues, that have coexistence issues coming
- 9 forward.
- In addition, we have the second point, it's
- statistical risk-based analysis techniques.
- Because we're getting more congested in the
- Spectrum realm, we can't just sort of say point A
- to point B, let's look at the interference
- analysis, do a link budget, when you figure out
- real quickly. And instead, we're really needing
- to look at more statistically based approach. The
- FCC has started down that path, NTIA has done that
- for years, but I think the question to the
- committee is really to figure out, how can we
- improve on that, how can we better use those
- techniques? Especially when we're looking at

- operational impacts to the federal systems. So, I
- think that's a really important and a very timely
- question as well.
- In relationship to that, one of the inputs to
- 5 sort of looking at a statistical based analysis
- is, propagation modelling. We keep pushing into
- new and different bands, there's not a lot of
- propagation models as we get into other bands and
- other uses of bands that have never been modelled,
- so I think from my perspective, you know, maybe
- 11 going on ITS. We're calling on other experts to
- look at propagation models and how we can improve
- and or increase different capabilities of
- 14 propagation models that can plugged into these
- statistical based analysis, or other analysis.
- This is a very big and important point, and just
- as one example, so when we moved in the millimeter
- wave for mobile, there was no propagation modeling
- available there, because no one had really looked
- at mobile use at millimeter waves. So, another
- important and timely question.
- 22 And then the final one was, what role should

- 1 NTIA play for each of these interference
- 2 scenarios?
- 3 So, those are the four main points, and I'm
- 4 looking forward to working with everybody, and
- 5 again, I hope others will join in and help us to
- 6 look at these, because I think they're really
- ⁷ interesting questions. Back to you Jennifer.
- MS. MANNER: Thanks so much, Tom. Thank
- 9 you. Does anyone have any questions for Tom? I
- see Andrew Roy.
- MR. ROY: Thanks, Jennifer. Sorry
- 12 Jennifer.
- MS. MANNER: Oh, no, please go ahead.
- 14 And then I was going to say, Dale will go after
- 15 you.
- MR. ROY: Okay. So, I did have a
- question. So, government radar bands, obviously
- we all think radars about the spinny heads
- sometimes you see at airports on the ground, but
- there's also the aeronautical, maritime, and even
- 21 space, and go down to HF and you go all the way up
- to higher. So, are we looking at every single

1 possible option that there could be? It gives us 2 a lot of options, but also when you start talking 3 statistical analysis and propagation, it can be a 4 varied environment as well. So, I was curious if 5 there was thoughts on, is there going to be a 6 particular focus or aspect we're -- we're not 7 going to consider at this point? 8 MR. DOMBROWSKY: I mean, I'll go first, and then I'll defer to NTIA on those too 10 (inaudible). From my perspective, I think the 11 work of the committee is to -- to raise these 12 questions, and sort of try and figure out how we 13 can manage it. I mean, usually when you have a 14 broad question like this, if you try and answer every single possibility, you'll never get 15 16 anywhere. So, I think, from my perspective, we 17 have the question -- I think your question's a 18 valid one, and I think it's one we should discuss 19 in the subcommittee and see if there's a way to 20 narrow it in the most useful way. And I don't 21 know if Charles or Jennifer or Charla had other 22 thoughts on that.

1 MR. COOPER: Yeah, this is Charles. 2 going to -- again, like we did with the 6G 3 question. I'm going to take this one back as 4 well, and again, we're going to have an NTIA staff member assigned to this subcommittee as well that 5 6 can certainly help with -- with the questions. Certainly, from an engineering perspective, I 8 appreciate it when it is scoped out, so I wouldn't be surprised that if there are some bands that are 10 flagged of more interest than other bands. 11 think that's a fair question. 12 MS. MANNER: Thank you. So, just moving 13 on. Dale Hatfield has a question, please. Dale. 14 Actually, it's more of a MR. HATFIELD: 15 comment. And my comment really applies to both 16 DMI and the 6G. And that is talking about enforcement. I think it's very important that 17 18 very early on we talk about enforcement. 19 without enforcement -- without enforcement, rules 20 are valuable -- are not valuable, unless it can be 21 enforced. If they're not enforced, there's 22 essentially no rule. That leads to lawlessness,

- and with the environment that we're leaving --
- living in today with people who can try to do bad
- 3 things, I think it's awfully important that we
- 4 think about enforcement, including the detection,
- 5 identification, location, reporting, mediation,
- 6 and remediation of interference incidents. Thank
- you.
- MS. MANNER: Thank you, Dale. I think
- those are wise words, and ones that certainly are
- 10 -- I'm sure, Co-Chairs will take to heart. Tom, I
- don't know if you have anything else to add?
- MS. RATH: Actually, it looks like
- Jennifer has her hand up too.
- MS. MANNER: I know -- I know, I was
- going to Tom just as Co-Chair, just in case,
- 16 Charla.
- MS. RATH: Yeah.
- MS. MANNER: I was going to call on
- Jennifer after that, if that's okay.
- MR. DOMBROWSKY: Nothing to add for me,
- 21 and Dale and I have talked about enforcement for,
- again, longer than I'd like to admit, so.

- 1 MS. MANNER: Okay, thank you. And then 2 now, of course, to Jennifer Warren, please.
- MS. WARREN: Right, you now have to
- 4 differentiate which Jennifer, so, thank you.
- 5 MS. RATH: Good point.
- 6 MS. WARREN: So, I had my hand raised
- before Dale's comment, but I want to second Dale's
- 8 comment, take the opportunity to do so about
- 9 enforcement. I couldn't agree with him more, so
- 10 I'm glad to hear that that will be part of the
- discussion. But my comment goes back to Tom's
- introduction of this item, and one of the issues,
- or the first issue was radars, and connecting it
- to the comments that Charles made in his opening
- remarks about the process already in place for 3.1
- to 3.45, and that there's a process in place that
- then NTIA will look at. Can I assume from that
- discussion, then, that part -- at least that band
- is not part of this discussion, so that we're not
- duplication resources and efforts with a process
- that's already well underway? Thank you.
- MS. MANNER: Thank you, and I look to

- 1 Charles on that. Charles?
- MR. COOPER: Yeah, had again the usual
- fumble for the mute key, here. So, thank you
- 4 Jennifer. Yep. I think, you know, in line with
- 5 the other -- other ones, we're going to take that
- 6 back. But it's certainly an interesting point and
- 7 a wise point you raise, Jennifer. Thank you.
- MS. MANNER: Thank you so much. Mark
- 9 Gibson has his hand up next. Mark, please.
- MR. GIBSON: Hi, yes. And I also note
- that in previously CSMACs there were five marks.
- So, now I think that I'm the only one now, so I'm
- happy to pass the torch to the Jennifers.
- But I guess I have a question that parses
- maybe a little too much, so if we want to wait
- until we get into the actual meetings that's fine.
- 17 But the first question says, how can radar and
- other systems better coexist in cochannel and
- non-cochannel relationships. And I presume that
- means adjacent, whatever. But the -- so, it seems
- the focus is on radar and other systems, as
- opposed to other systems coexisting with radar.

- So, am I reading too much into this? Is the focus
- of this trying to make radar systems coexist
- better, or other systems better coexist with
- 4 radar, or both?
- 5 MS. MANNER: So, I'm going to look --
- 6 I'm channeling Charles, if you can tell, but I
- 7 think he's probably going to say he's going to
- 8 take this back and we'll have someone who can dig
- 9 into this. So, I think at this point, we should
- probably save these for the first meeting that Tom
- and his mystery Co-Chair has, if that's okay.
- MR GIBSON: All right. That's fine.
- MS. MANNER: And then Dale, you needed
- the floor again, please.
- MR. HATFIELD: Oh.
- MS. MANNER: Dale, we can't hear you,
- you're on mute. Dale, we still can't hear you, I
- think you're on mute.
- MR. HATFIELD: I -- I think I put my
- hand up by accident, which I profoundly apologize.
- MS. MANNER: No worries about that. So,
- unless there's any other questions, I'm going to

1 move onto the next subcommittee, which is 2 Ultra-Wideband. Our Co-Chairs there are Paul 3 Margie and Dennis Roberson, so I thank you both, 4 and I'll turn the floor over to Dennis, please. 5 MR. ROBERSON: Yes, so I'm happy to 6 introduce by far the most exciting question that has been posed to the CSMAC. Obviously, we are enthusiastic about it, and I say that a little tongue-in-cheek, but not completely, because 10 Ultra- Wideband has been something of a neglected 11 technology, not studied by any organization that I 12 know of, as you most perhaps all know in our long 13 tenure with the TAC, and we certainly didn't ever 14 cover it there, and it's not been covered in CSMAC 15 in a decade either. So, I think it's really an 16 interesting topic to jump into. The concerns from 17 the write-up seem to be around the proliferation 18 of Ultra-Wideband and the waivers that are coming 19 in that may collectively cause damage to -- to 20 systems that are important to the government. 21 would like to have -- as is so often the case with others, we would like to have more clarification 22

- on exactly what the concerns are, what
- 2 applications, what frequencies and the like. But
- 3 that will come, I'm sure, in due course. Because
- 4 Charles is going to take that back and get it
- 5 (Laughter) taken care of. Good smile, Charles.
- That's the nature of the topic, the specifics
- 7 -- there are actually four specific questions, and
- 8 those relate to the potential modifications of the
- 9 UWB rules that would make it a more valuable and
- viable technology.
- 11 Secondly, federal bands without the FCC
- involvement that -- where we could make changes to
- NTIA rules, independent of the FCC, and what those
- 14 might look like.
- The third was minor potential changes that
- could be explored in the areas of power limits,
- definitions, and application categories, that
- would have impact from an international standpoint
- 19 -- international harmonization standpoint. So,
- that would be interesting.
- 21 And then finally, NTIA's modifications of
- restrictions, or including new ones, that would

- 1 expand existing frequency bands.
- 2 So those were the four areas of focus for the
- start, and I'm sure we'll evolve that as we get
- 4 into the discussions. I am -- I'm really pleased
- 5 to be co-chairing this with Paul Margie, because
- 6 he is a very hard worker. I -- we had an initial
- meeting about the topic, and we've moved along to
- 8 establish direction, which he will talk about.
- 9 But I talked to him about pulling together a
- general plan about what we ought to do, and what
- he'd provided was an outline for our final
- document. So, we already have the outline for the
- three chapters with a dozen subsections that we
- will be covering as a start out draft.
- So, having someone that aggressive as your
- partner is an absolute delight. And with that,
- let me turn things over to Paul to take us home on
- this topic.
- MR. MARGIE: Thanks for that, and if,
- 20 partly that's because NTIA spooked me by saying
- that we have to go first and get this draft, get
- this document done before all the others, so I

- think Dennis and my goal is to be really focused
- here. It is a broad question, but I think we can
- produce a document that is useful in the time
- 4 period that's given to us.
- 5 And so, I thought what I would do is just
- 6 describe some initial thoughts as to the kind of
- work so that people can decide if they want to
- goin us, so you have some specificity in doing so.
- 9 I think we're going to need engineering technical
- help as well as legal policy help to do a good job
- 11 for NTIA here to answer their four questions.
- And so, I think, one, we're going to take a
- look at the effect of a period of waivers, right.
- We adopted these rules back when Bryan Tramont,
- for goodness's sake, was on the 8th floor of the
- 16 FCC a long time ago. We haven't changed them
- since then. There's been a long period of
- waivers, especially since 2010. We have to stitch
- those together and figure out what the real rules
- on the ground are now from this kind of crazy
- quilt of waivers to understand what the
- 22 environment is.

1 Number two, the expectations of what 2 Ultra-Wideband is and is useful for on the ground 3 now are very different than they were in 2001 and 4 2002, and the type of applications that are there 5 are very different. Back then, I think we were 6 looking at ground penetrating radar and kind of wireless ethernet, you know, as the way that Ultra-Wideband was going to be. Now we're seeing location services and much more commercial 10 operations that are very, very different. So, we 11 want to have some invite in or some talks, some of 12 the Ultra-Wideband people to understand how those 13 changes have made, and I think it'll be a really 14 good opportunity to hear about those from the 15 people that are building them now so that we give 16 a recommendation that's based on the real world. 17 Third, and really critically is going to be 18 discussions with government users to understand 19 both government Ultra-Wideband use and the effect 20 of commercial Ultra-Wideband use on the government 21 because I really want to take it from what we've 22 been hearing from NTIA and DoD and other is about.

1 We have to look at this both as government as user 2 and government as neighbor. And so, we're going 3 to try to do both of those by talking to people. 4 There are going to be a consideration of legal 5 and policy users related to the NTIA question 6 about the relationship between what the FCC does and what NTIA does. That's not an engineering 8 question, but that's a legal policy question to try to figure out, do they have to do it in 10 tandem, you know, what's the relationship with 11 NTIA rules over commercial for when they are, when 12 their government bands are not. So, we've got 13 some interesting legal questions as well as 14 engineering. 15 So, and look, I think bottom line, the fact 16 that NTIA gave us as one of their four questions 17 that Ultra-Wideband was a concern, the fact that 18 it was chosen as one that they needed answered too 19 quickly, and the big increase in Ultra-Wideband 20 devices means that something is afoot at the 21 Circle K here on Ultra-Wideband. And so, I would 22 encourage you to join us. It hit so many bands,

- too. I think it'll be a good experience. And as
- I said, we're going to try to be really focused
- and get this document out rapidly. Thanks.
- MS. MANNER: Thank you, Paul, and
- 5 someone who worked with Bryan and Paul and
- 6 Ultra-Wideband just a couple of years ago, it has
- 7 a very long history, and I can second that, Paul
- 8 Margie. I'm used to make the other advisors look
- 9 pretty bad because he was a really hard worker,
- and good, too, not just hard working.
- So, with that, any questions for Paul and
- Dennis? Okay. Well, I encourage everyone to sign
- up and participate in the work in the
- subcommittee. That work is so critical to our
- success, and with that I'm going to turn it over
- to Charla for the next part of our agenda.
- 17 Charla, please.
- MS. RATH: Great. Thanks, Jennifer.
- And I just have to say though that Paul you should
- actually be writing the PR for all the
- subcommittees because you made me want to join it
- so excellent, excellent. I think you all need to

- 1 take some lessons from that in terms of getting
- 2 people to join your subcommittees.
- In any event, I think before we move on to
- 4 public comment, I just want to make sure that
- 5 there aren't any questions from CSMAC members on
- 6 any of the subcommittees or anything you might
- 7 have forgotten to ask Charles before. And it does
- look like we have a couple of questions. Tom,
- 9 you're first step, Tom Dombrowsky.
- MR. DOMBROWSKY: Yeah, just wondering.
- 11 I know you asked us to sign up for the committees.
- 12 I didn't know as a Co-Chair wondering when we're
- going to get our list of people that have signed
- up so we could get rolling. I think that's the
- 15 question I had.
- MS. RATH: Antonio, I think you're
- working on that list, aren't you?
- MR. RICHARDSON: Yes, that's correct. I
- was hoping to have it out to you guys here this
- afternoon here. Definitely you'll have it by
- Monday who we have so far are, which groups. And
- also again, hopefully I get a whole lot for Paul

1 here to join up really quick, but, yeah, so if you 2 guys would send me which groups you would like to 3 sign up with, I'd appreciate it. I've already 4 received about 10 or 12 emails or so, probably a 5 couple of more, but definitely by at least 10 or 6 So, hoping to, and if you want to go across 12. various groups, that's fine too. It could be a 8 lot of work though I have to admit, you know, and I'm sure you all know that. But if you would, 10 please just send me a request, and now I'll get 11 the current list out to the co-chairs of who they 12 have right now signed up. Now, like I said, I'd 13 have that out by Monday at the latest. 14 Yes, thanks, Antonio. MS. RATH: 15 just to sort of underscore Antonio's point, for 16 new members, you should consider, you know, more 17 than one, you know, want more than one 18 subcommittee but keep in mind that the 19 subcommittees do, you know, they meet frequently, 20 and but I would still urge you not to just limit 21 yourself to one, and, you know, it is also one of 22 the reasons why NTIA actually did stagger, you

- 1 know, two of the questions so that the workload
- would be, you know, would be a little bit more
- reasonable. But please do send Antonio your names
- 4 and hopefully they will be able to get started
- 5 pretty quickly.
- 6 Mark, I think you were next, Mark Gibson, and
- 7 I don't have to say Mark Gibson anymore. Great,
- 8 Mark, just Mark.
- 9 MR. GIBSON: Thank you. I wanted to go
- back and ask a question about the SRF working
- 11 group or subcommittee. Is it fair gain to include
- 12 funding of NTIA activities necessary to port
- support SRF? I know that that's not, it's not
- covered in the Act. The Act covers only agencies
- that have spectrum assignments that are subject to
- being relocated. But it occurs to me that NTIA
- does a whole lot of work to support the SRF
- activities and a lot of us dealt with that, you
- know, for AWS, for example.
- So, I'm just wondering if it's, if that's
- 21 possible, too. I know it seems self-serving for
- NTIA committee to, you know, go fund NTIA, but I

- think that's something that's sort of lacking in
- the Act. So, is that something that we can be
- looking at in that discussion?
- MR. WELSH: Yeah, Mark, this is Patrick.
- 5 Before Charles Johnson, because I know he will
- 6 have some thoughts on this, you know, as a coach
- 7 here I definitely think that should be on the
- 8 table, that's been one of the bottlenecks.
- 9 MR. GIBSON: Yes, good.
- MR. WELSH: To, you know, getting the
- most value out of the SRF. So, I hope that the
- members can look at that and that way we don't
- have to put Charles on the spot.
- MR. GIBSON: I know, great.
- MS. RATH: Next up, I think, Louis, you
- 16 have your hand up?
- MR. PERAERTZ: Yeah, excuse me. And
- Antonio, you will be getting at least one of my
- subcommittees that I'd like to join this
- afternoon. My question is, does it have to be
- decided today? There's another subcommittee I'm
- interested in, but I haven't quite, I might not be

- able to give you that, you know, decision today.
- 2 Can this wait until next week or sometime?
- MS. RATH: Yeah, I can answer that
- 4 question. Yes, absolutely.
- 5 MR. PERAERTZ: Okay. And then the last
- 6 question since this is the first time I've been
- 7 part of the CSMAC, but I'm part of other advisory
- 8 committees like one at the FCC and everybody does
- 9 things differently, I think. So, procedurally if
- I have attendance issues, who should I be
- 11 contacting? Should I be sending an e-mail to all
- 12 36 people on this call or to just you and
- 13 Jennifer?
- MS. RATH: Jennifer, me, and Antonio.
- MR. PERAERTZ: Antonio.
- MS. RATH: Yeah.
- MR. PERAERTZ: Okay, got it. Thank you.
- MS. RATH: And actually, I think one of
- the things that we're probably going to talk to
- NTIA about is actually, you know, you can never
- schedule so that everybody can make a meeting, but
- to talk about how to schedule, and at least, you

- 1 know, get some opportunity to hear who can or
- 2 can't make specific dates. But we can talk about
- 3 that offline.
- 4 MR. PERAERTZ: Okay, thank you.
- MS. RATH: Karl, you have a question?
- 6 MR. NEBBIA: Yeah, I just wanted to be
- 7 clear. I understand that group, Working Group 1
- 8 is starting later, certainly after number 4, but I
- 9 wasn't clear on 2 and 3, when they were starting
- and whether we could actually get each of the
- 11 co-chairs broken out a list of things that they
- see it underneath these subjects that we could get
- that and some sort of written form and then it
- 14 also calls Charles' clarifications on the
- questions have been asked. That would certainly
- help me determine which ones that I actually
- wanted to sign up for. Thanks.
- MS. RATH: Yeah, and just to answer the
- first part of your question. Three of the
- subcommittees are beginning immediately. The
- Ultra-Wideband, which will be the first reporting
- out there, NTIA would like to have a report out

- within a year, 6G and beyond, and the electromatic
- 2 compatibility. The only one that's being delayed
- 3 and staggered is Spectrum Relocation fund to pick
- 4 up when, you know, approximately when UWB is
- 5 ending so that it just gives the numbers a little
- 6 bit more, you know, ability to participate in all
- of the committees' subcommittees.
- But, you know, second part of your question, I
- 9 will, you know, I think we can ask the
- subcommittees, you know, how their subcommittee
- 11 co-chairs, how they're planning on handling it,
- and also, I think, just, you know, to Charles,
- too, for a little bit. Does anybody have any
- thoughts on that?
- MR. DOMBROWSKY: You know, jump in
- without a hand raise, but I mean I think the
- questions that were, that I went through, I think
- you can share them with people, as far as I'm
- 19 concerned. I have no trouble with that being
- shared, and frankly I thought they had been shared
- so people had an idea what they were signing up
- 22 for.

- MS. RATH: Yeah. no, the full questions.
- 2 And Karl, I don't know if you were asking
- 3 something different than the document that we sent
- 4 around a couple of days ago that has the full list
- of questions on them. Actually, it was last week.
- 6 MR. NEBBIA: Yeah, I, to be honest with
- you, I've not seen that document so.
- MS. RATH: Okay. We'll send it around
- 9 again. I think it was either Wednesday or
- 10 Thursday of last week, and it's from me. So,
- 11 yeah, that actually answered, may answer your
- 12 question?
- MR. NEBBIA: Hey.
- MS. RATH: That's good.
- MR. NEBBIA: I'll raise my hand up again
- when all the work's done, so.
- MS. RATH: Anything else before we move
- on to public comment? Jennifer, anything from
- you? All right, so we're now in the portion of
- the meeting where the public who, you know, or are
- dialed in have an opportunity to ask questions.
- 22 Antonio, I don't know if there is, is there a way

- for you to manage that, or, you know, because I
- 2 can't see if someone wants to ask a question.
- MR. RICHARDSON: Currently, yes, I am
- 4 managing that, and right now I don't see anything.
- 5 Actually, I would just ask if anyone from the
- 6 public wants to make a comment to go ahead on and
- just start speaking, and we'll just recognize
- 8 them, but we ask that you identify yourself and
- 9 then go from there, please.
- MS. RATH: Is there anything we need to
- do to come off mute, or are they?
- MR. RICHARDSON: No, I'm sorry, I said
- that, they're not muted right now. Actually, it's
- been pretty good. They've, we've had no problems
- doing this and crossing my fingers now.
- MS. RATH: Excellent, great.
- MR. RICHARDSON: But I'm hoping we get
- something here, maybe. If we don't, again, you
- know, the public have an opportunity, they can
- send questions to me at arichardson.ntia.gov, and
- I will pass them on or something more to that
- effect. But yeah, right now I'm not seeing any

- 1 movement out there, from the public that is.
- MS. RATH: Okay, well, I think we should
- move on and if somebody breaks through while
- 4 Jennifer is doing her closing remarks, we'll just,
- 5 we'll deal with that because I know sometimes it
- 6 can be a little bit cringy.
- 7 MR. RICHARDSON: Let, I'm sorry, let me
- gives just do a check here, make sure everything is fine
- 9 there. If I could have Rich Orselak, please,
- speak for a moment, I'd appreciate it. Rich
- Orselak, do you hear me? Let's try one more.
- Rebecca, can you hear me? Don't be afraid,
- Rebecca. I won't say the last name. Wow, this is
- unusual. I'm not feeling any movement or, and
- anything right now.
- MS. RATH: There's no need.
- MR. SHARKEY: Hey, Tony.
- MS. RATH: Yeah, there you go.
- MR. SHARKEY: Tony, can you hear me?
- MR. RICHARDSON: Yes.
- MR. SHARKEY: This is Steve. I don't
- 22 know if this passes the test or not, but, you can

- 1 hear me and I can hear you.
- MS. RATH: If you're on the phone, it
- passes the test. Excellent Steve, thank you.
- 4 MR. RICHARDSON: Yeah, actually Steve
- 5 maybe in another way. I just got a text, someone
- from the public is saying something, but we, we're
- 7 not hearing them. Give me a second to do a check
- 8 here to find out what might be happening here,
- give me one second.
- 10 (Pause)
- MR. RICHARDSON: Are you guys hearing me
- on the phone?
- SPEAKERS: Yes, yeah, yes, yeah, yes.
- MR. RICHARDSON: We can hear each other,
- we can't hear you.
- MS. RATH: Actually, I could hear both,
- we can hear each other, and I could hear you,
- 18 Antonio. Were you just calling in on the bridge?
- 19 (Pause)
- MR. RATH: I can't hear you anymore.
- MR. RICHARDSON: So, I've actually lost
- everyone on the bridge here. I would actually

- 1 have to go back and connect. Not quite sure what
- was going on there but let me try and do another
- 3 connection here really quick. You guys are
- 4 hearing me on the Teams, correct?
- 5 MS. RATH: Yes.
- 6 MR. RICHARDSON: Okay. about this guy.
- 7 MS. RATH: Sorry about this, guys. If
- we can just wait a little patiently.
- 9 (Pause)
- MS. RATH: Antonio, are you still there?
- MR. COOPER: We can give it another
- minute or so. I just saw another number pop in on
- the Teams here.
- MS. RATH: Okay. I was just going to
- 15 ask.
- 16 (Pause)
- MR. RICHARDSON: Yeah, I'm not sure
- what's going on here with Teams. This is, it's
- not merging both calls though.
- MR. KHLOPIN: Hey, Antonio, it's Derek.
- But if they get back connected by a voice, would
- one option be to offer your email address again

- and they could.
- MR. RICHARDSON: Okay, you might hear a
- little bleed over for a minute. Did anyone hear
- 4 Christine?
- MS. RATH: No. We only hear you,
- 6 Antonio. And there's a hand raised from
- 7 703-336-9247. I don't know who that is.
- MR. RICHARDSON: Okay. It seems like no
- one on the public side can hear. We can hear
- them, however they can't hear us speaking here, so
- I'm going to ask anyone from the public, if you
- would please email me at arichardson, that's
- 13 A-R-I-C-H-A-R- D-S-O-N, at ntia.gov with your
- questions and I will, and that way it will, we'll
- get some type of response out to your questions
- here and go from there.
- 17 Again, I just received a note, so again, the
- email address is arichardson, that's
- 19 A-R-I-C-H-A-R-D-S-O-N, at ntia.gov. Also, if you
- have a public notice, you'll see my email address
- in there just in case, and you can, again email
- me, and I will make sure that your questions are

- answered from the committee. If you have a
- question to a direct person, again, just let me
- know and I will ensure that your question is
- 4 answered. And again, my apologies. I'm not quite
- sure what happened here, but we'll get an answer
- out for you guys. Thank you.
- 7 MS. RATH: Thanks, Antonio. Jennifer, I
- 8 think that means moving back to you to, for
- 9 closing remarks.
- MS. MANNER: Thank you so much, Charla.
- I did confirm I just got an e-mail from someone
- confirming that they've been cut off so, just an
- 13 FYI. So, with that, I do want to say it's an
- honor to be able to co-chair with Charla this
- year's, on this term's CSMAC with such critical
- spectrum policy issues that will help the
- government as they start to move forward into the
- future on critical spectrum issues. And more
- importantly I'd say it's really an honor to be
- able to work with the members of the CSMAC. I've
- known many of you for a long time, and some of you
- I'm just meeting today. I think we're going to

- 1 have some great conversations and discussions as
- we work through these critical issues.
- Finally, I'd like to thank Assistant Secretary
- 4 Davidson, Charles Cooper, Antonio Richardson,
- 5 April Delaney, Derek Khlopin, and the rest of the
- 6 NTIA staff for supporting the important work of
- 7 the committee, and we really look forward to
- 8 continuing our work this time and, of course,
- 9 working with my co-chair, Charla Rath. And so
- with that, Charla, I wanted to turn it over to you
- to see if you have anything else to add.
- MS. RATH: No, I think that's it other
- than I would love for somebody to actually add up
- 14 all the years of spectrum experience of all the
- people on this call. It's really kind of amazing.
- So, thank you all and looking forward to
- 17 subcommittee meetings and our next full committee
- meeting. Thank you very much, and also just a
- reminder, we all need to stay behind after the
- meeting closes to have our ethics briefing. So,
- 21 Antonio, I guess it's over to you, we're
- 22 adjourned.

22

1 MR. RICHARDSON: Yes, thank you and that 2 will complete the CSMAC meeting for today and 3 again if those out there in the public can hear me 4 again, by all means please email me, and like I 5 said, we'll get answers for you, guys. And at 6 this time, we'll consider it adjourned and just again, just to CSMAC members, please stay on. And 8 if I have Mr. Eli Sherlock, if you're on the call. 9 MR. SHERLOCK: I'm here, yeah. 10 MR. RICHARDSON: Okay, good. So, I'm 11 going to disconnect the public now and then you 12 can go ahead and start the ethics briefing. 13 MR. SHERLOCK: Okay. Just let me know 14 once you've disconnected the public. Antonio. 15 MR. RICHARDSON: Okay. 16 MR. SHERLOCK: This, yeah, at this 17 point. 18 MR. RICHARDSON: Okay. Go forward now. 19 MR. SHERLOCK: Okay. Hi, everyone. 20 name is Eli Sherlock. I am an Ethics Attorney for 21 the Department of Commerce. As part of your

advisory or as a special government employee

- advisory committees, you have been selected to
 provide CSMAC and thus provide the Department of
- 3 Commerce overall with consensus advice based on
- 4 the personal expertise that you carry.
- 5 The concern with doing that is we want to make
- sure that you do not inadvertently give any advice
- that could lead to, you know, benefiting yourself
- 8 and so for that reason SGEs are considered partial
- 9 government employees for the purposes of the
- ethics rules applicable to federal employees,
- which means that some federal ethics rules are
- going to be applicable to you as you move forward
- in the enactment of the responsibilities of your
- position. So, I'm going to take this time to go
- over what those duties are. If anyone has a
- question throughout, you can feel free to just
- unmute yourself and ask away.
- Okay. So, first off, as I'm sure you're all
- aware, the financial conflicts of interest are
- applicable to you. That's why you are responsible
- for filling out F450s, which are financial
- conflict of interest disclosures. The main rule

- is that you cannot participate in any federal
- 2 matters, including by giving advice or
- 3 recommendations where you or your spouse, minor
- 4 child, partner, household members, or employers
- 5 have financial interest.
- There are some exceptions to this general
- 7 rule, including situations where we're aware that
- you have a financial conflict, and we grant a
- 9 waiver for you, but if that's the case for you, it
- has to be done preemptively so we have to review
- your conflict of interest, and then, you know,
- make the determination that you're going to be
- working on a particular matter involving a party
- in which you might have a financial conflict or
- financial interest and we'll create a waiver for
- 16 you.
- So, yeah. Again, there are other exceptions.
- 18 The biggest example that I can give is that if
- you're going to be giving, let's say consensus
- advice about, you know, a contract with a specific
- company, or you're saying, for instance, this
- 22 entity or this company provides the best services

- in X-realm, we want to make sure that you don't
- have a financial interest such as, you know, stock
- 3 holdings in the company that you're recommending
- 4 or endorsing at that point. So, like I said,
- 5 there are exceptions to that.
- 6 So one that I've already gone over is if the
- 7 conflict of interest is covered by a waiver. If
- 8 the conflict of interest stems from an investment
- 9 that you have through a diversified and
- 10 non-sector-specific mutual fund, mutual funds
- 11 never create conflicts. If you have
- sector-specific mutual funds, but they're worth
- under \$50,000, then you can still give advice on
- whatever that industry is that's covered by that
- sector's specific mutual fund. Publicly traded
- stocks under \$15,000, those don't create conflicts
- if you're advising on specific party matters,
- which are matters that only affect one specific
- company or entity, or if you're giving policy
- advice that might affect an entire industry or a
- group of companies, and you have interests in that
- group of companies, but they are not more than

- 1 \$25,000, in any one company or \$50,000 overall.
- 2 Yeah.
- Additionally, you are barred from using
- 4 insider information to make investment decisions,
- which means that if you're aware, you know, if you
- 6 have non-public information or insider information
- 7 from, you know, from this position or from
- 8 information that you've obtained from your work
- 9 with FACA that's not public, you can't use that to
- make investment decisions. All this should be
- 11 fairly straightforward.
- Okay. Let's see, moving on, and again if
- anyone has any questions, you can just feel free
- to ask me. So, you want to avoid any situation
- where it appears that you've lost your
- impartiality in enacting the duties of your
- position while working as a committee member. And
- what that means is that not only can you or should
- you not engage in outside activities that would
- conflict with your, you know, duties or
- responsibilities for this community, but you also
- should try to avoid any situation where there's an

- 1 appearance that you are, you know, that you have
- lost your impartiality or that you might be biased
- and giving advice to this committee, you know,
- 4 about a matter that you might have a personal
- 5 stake in.
- 6 So, the general rule for this is you can't
- 7 participate in a matter where a person that you
- 8 have a covered relationship with is before the
- 9 government as a party and your participation would
- cause a reasonable person to question your
- 11 impartiality.
- So, you have a covered relationship with
- either current or, you know, like potential
- employers. So, people that you're seeking a
- business relationship or employment-based
- relationship with, people who employ your spouse
- or your parents or dependent children, or clients
- of your spouse, parents, or dependent children,
- and recent employers and clients of up to one
- year.
- 21 So that means that if you've worked for a
- company within the last year, you shouldn't give

10

11

12

13

14

15

16

- advice on that specific company. There is a big
 exception for this if you're going to be giving
 advice about a group of companies, and one of them
 is your current employer. So again, if you guys
 have any questions about that, you just reach out
 to me or reach out to the ethics attorney assigned
 to this committee, Jeffrey Harrington, who
 unfortunately was not able to make it here.
 - Again, there is an exception also where we can create a waiver if you are weighing in on a matter where there might be a conflict created by our relationship that you have with a party outside of the federal government, but the determination is made that your importance in assisting the federal government in that specific case outweighs appearance-based concerns.

Okay. Also while you're serving as an SGE,

you cannot be a registered lobbyist, you can't be

your registered foreign agent, and you can't

represent someone or be compensated from someone

else's representation before a federal agency or

court about a matter involving specific parties

- that you participated as an SGE. Now that is
- extremely unlikely to happen. In fact, it is more
- 3 than likely that you guys will not be working on
- 4 specific party matters which are federal matters
- 5 that only impact one specific company or entity.
- 6 Yeah.
- 7 So generally advisory committees give
- 8 consensus advice on broad policy matters. So,
- 9 when we're talking about matters that might impact
- one to find company that rarely if ever occurs.
- But you know, I'm telling you this just in case.
- Let's see. So, for gifts, the general rule
- for federal employees is, you may not accept the
- gift offered from someone with matters before
- commerce or who's offering you the gift based on
- your federal position unless an exception applies.
- And for the purposes of that rule, a gift is
- anything that has value. Now in your specific
- situation, there are a lot of exceptions that
- apply, and there are a lot of people who might be
- offering you gifts. And for the most part that's
- fine. But if anyone offers you a gift or

- 1 something of value based on your position as an
- 2 SGE for CSMAC, you should reach out to ethics to
- determine whether or not it would be acceptable or
- 4 permissible for you to accept.
- Okay. And honestly, that about covers it.
- 6 The only other real rules that I would give to you
- are, if you're given access to non-public
- information which, for the purposes of the rule
- 9 that I'm about to say, I would just assume to be
- any information that's given to you outside of the
- 11 public meetings, you should treat that as
- non-public information. You shouldn't use that in
- your personal capacity or for your own benefit.
- Just hold that really close to your chest. Don't
- do any, like don't use it unless you have explicit
- permission outside the context of, you know, your
- work as an SGE.
- You also cannot use federal resources for
- anything other than federal purposes, but for the
- 20 purposes of these rules, I don't really think that
- you guys as SGEs are going to have access to a lot
- of federal resources. So generally, we're talking

- about things like, you know, a work computer, a
- phone, email address, stuff like that. And I
- don't believe that you guys have any of that. If
- 4 you've been given access to federal letterhead and
- 5 stuff like that, you should not be using that, and
- 6 you definitely should not use your title as a
- 7 committee member of CSMAC outside of the scope of
- 8 or the context of the work that you're performing
- 9 for CSMAC.
- So basically, that means that when you're not
- in meetings with CSMAC, you shouldn't be going
- 12 around making representations and saying that
- you're, you know, making statements as a committee
- member of CSMAC, if that makes sense. And I'm
- saying that largely because we've gotten questions
- before from community members who have been
- 17 contacted by journalists to ask about the work of
- their committee and it's outside the scope of, you
- know, it happens outside of their, you know, the
- hours of their work on the committee.
- If that happens, if you're asked the question,
- you know, for whatever reason, by, you know, a

20

21

22

- COMMERCE SPECTRUM MANAGEMENT ADVISORY COMMITTEE (CSMAC) 1 journalist or reporter, anything like that outside 2 of these committee meetings, and they're asking 3 you about the work of CSMAC or they're asking you 4 to, you know, provide input in your official 5 capacity as a committee member, I would try not to 6 answer that question and just give them the contact information of your DFO, Antonio, and we 8 can figure out what to do from there. But that's really important. You should not be giving 10 substantive input or speaking on behalf of the 11 Advisory Committee outside of your, you know, 12 Advisory Committee working hours.
- 13 And that about covers it. There are one or 14 two other ethics rules that apply, but they are 15 not very likely to come up, and my understanding 16 is you've all been given the SGE guidance that we give to everyone, so you should be able to review 17 18 that and just look at all the rules that are 19 applicable.

- 1 promptly as we can. Yeah, and that about covers
- it. Thanks so much for your time. If anyone has
- any questions, you can feel free to ask now. If
- 4 not, we can move on.
- 5 MR. MARGIE: Hey, this is Paul Margie.
- 6 I'm, as I'm new, I just want to make sure I'm
- you said correctly on a couple
- 8 things. One is, it sounds like we can still meet
- 9 with NTIA on behalf of our company or a client,
- even if we're serving this year. That's right.
- MR. SHERLOCK: Yeah, so you can as long
- as it's not related at all to the work that you're
- doing for this advisory committee. And it
- doesn't, so, like, yeah, does that make sense?
- MR. MARGIE: I think it does, but if
- there's a topic like 6G, does that mean that none
- of us could meet with NTIA on the topic of 6G?
- MR. SHERLOCK: No, no, no, that's
- broader. So, the technical restriction is that
- you can't represent someone before a federal
- agency about a specific party matter that you
- participated in as an SGE. Specific party matters

1 are, it's a pretty tight legal definition. 2 basically matters that you worked on well in the 3 federal service that only affected one clearly 4 defined party. So, a good example that I would 5 give, and this doesn't really apply to your 6 committee, but, you know, if your agency was a compliance enforcement agency and you are enacting 8 an enforcement action against a company, that would be an example of a specific party matter 10 because it only touches that one entity. 11 For the most part, you know, if you guys are 12 working on 6G, you're working on a really, really 13 broad policy matter and so there's no restriction 14 against you representing your client before NTIA 15 about that. With that being said, you also 16 shouldn't be using any non-public information that 17 you have, you know, for your own benefit. 18 So, you know, putting that one specific legal 19 restriction aside, if you have information about, 20 you know, things like, if you have non-public 21 information about 6G, I would advise you against, 22 you know, contacting NTIA on behalf of your client

- as a way of like monetizing on that, if that makes
- 2 sense.
- MR. MARGIE: It does, very helpful. And
- 4 then just the other side of that coin is it sounds
- 5 like as long as we're giving generalized advice to
- 6 the advisory committee that's not company
- 5 specific, we don't need to seek a special, a
- 8 specific waiver for you if our company or one of
- 9 our clients has an interest in 6G, right? It's
- only for company specific things.
- MR. SHARKEY: Yeah, I mean, I would also
- say we should try to cross that bridge when we get
- there because it kind of depends on what the
- situation is. So, for instance, if you guys were
- involved in funding opportunities relating to 6G,
- then that would be probably different. And there
- won't be restrictions against your company going
- before NTIA, but there would be restrictions
- against you going before NTIA on behalf of your
- company, worst case scenario. But yeah, that's
- kind of a, it's a little bit more fact specific.
- Alright, I see Jennifer has a question.

1 Thank you. This has been MS. WARREN: 2 helpful, and I wanted to kind of just follow up to 3 remind myself of this conversation. I know there 4 was a link in the emails that went out with our 5 financial disclosure certifications. The link 6 didn't work, but it was the link to the summary of the ethics rules. Could a new link, at least, it didn't when I opened it, tried to open it. Could either an attachment go out with that or a resend 10 of the link because I would like to just on my own 11 time read those again in case I have further 12 question. Thank you. 13 MR. SHERLOCK: Yeah. So, what I'm going 14 to do is I'm going send Antonio, and I just sent 15 it to him. I sent him a link to the ethics rules 16 applicable to SGEs that's on our website at 17 commerce.gov, and he can circulate it to you guys 18 after this. 19 MS. WARREN: Perfect, thank you. 20 MR. SHERLOCK: Yep. And again, 21 generally, if what you guys are working on is 22 broad policy matters, so, you know, things that

- aren't related to funding that are just kind of,
- give me advice on the direction that you think,
- you know, the U.S. needs to go down relating to
- 4 things like 6G. You know, that's such a broad
- 5 subject matter.
- 6 Generally there aren't a lot of ethics
- 7 restrictions that would actually apply to you.
- 8 The general ethics restrictions about, you know,
- you being able to weigh in on federal matters or
- you know there being an appearance that you might,
- 11 you know, be able to benefit personally or that
- like a company that you have an interest in, might
- benefit from the advice you're rendering. Those
- are generally based on the presumption that you're
- going to be rendering advice that is more narrowly
- tailored so things that would affect a specific
- industry or things that would affect a specific
- set of companies, or just one company, stuff like
- 19 that.
- If, you know, you're giving advice that could
- 21 affect the entirety of the U.S. economy, or, you
- know, that doesn't really have much of an economic

- effect, that's the type of stuff where financial
- 2 conflict of interest rules and relationship-based
- 3 conflict of interest rules would not apply. But
- 4 with that being said, you know, it's important to
- 5 at least know what those ethics rules are.
- 6 Okay, does anyone else have any questions for
- me? Okay. Well, if not, if you have any
- 8 questions later on, you can either reach out to
- 9 Antonio or you can reach out to the ethics
- division. We have an email address and a phone
- 11 number that's kind of a hotline. You also have an
- assigned ethics attorney who unfortunately just
- wasn't able to make it today. His name is Jeffrey
- 14 Harrington. Antonio has his contact information,
- so, you know, if you have any questions, worst
- case scenario, you can reach out to Antonio, and
- 17 he can reach out to us. But, you know, these are
- just important rules to keep in mind as you move
- 19 forward. So, thank you all very much for your
- time, and I hope you have a great day.
- MR. RICHARDSON: All right, thanks Eli.
- We appreciate that. I'm assuming there's no

```
1
      further questions from any of the committee
2
      members. And since I, oh, I thought someone had
3
      raised their hand. I guess they put it down.
4
      Anyway, that's it. I want to thank you all for
5
      coming and again, Jennifer and Chara, thank you
6
      for co-chairing this committee and that's all I
      have.
             Does someone have something for me because
      I'm a little frustrated right now. So, other than
      that, that's it for me. Anyone? No one? You all
10
      have a great weekend and look forward to emailing
11
      you and getting those subcommittees you want to
12
      work on, so thanks a lot and.
13
                MR. PERAERTZ: Thanks for the support,
14
      Antonio.
15
                           Thanks, everybody.
                MS. RASH:
16
                MR. RICHARDSON:
                                  Thanks, everybody.
17
                      (Whereupon, at 3:00 p.m., the
18
                     MEETING was adjourned.)
19
20
21
22
```

CERTIFICATE OF NOTARY PUBLIC COMMONWEALTH OF VIRGINIA

I, Kendra L. Hammer, notary public in and for the Commonwealth of Virginia, do hereby certify that the forgoing PROCEEDING was duly recorded and thereafter reduced to print under my direction; that the witnesses were sworn to tell the truth under penalty of perjury; that said transcript is a true record of the testimony given by witnesses; that I am neither counsel for, related to, nor employed by any of the parties to the action in which this proceeding was called; and, furthermore, that I am not a relative or employee of any attorney or counsel employed by the parties hereto, nor financially or otherwise interested in the outcome of this action.

Kenare Hammer

Notary Public, in and for the Commonwealth Virginia

My Commission Expires: September 30, 2025

Notary Public Number 7916662